# Partial Commutation and Traces

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# Introduction

### Motivation

- Model of parallelism and concurrency
- Rigorous mathematical model

### What is the trace

- A sequence of letters
- One process containing jobs
- Some jobs can be commuted or executed simultaneously
- Some jobs have to be execute in order

# Independence relation

### Definition

- Let  $\Sigma$  a finite alpabet of letters
- $I \subseteq \Sigma \times \Sigma$  is a independece relation
- If  $(a, b) \in I$ , then their order doesn't matter
- $l(a) = \{b \in \Sigma | (a, b) \in I\}$

# Graphic representation

### Graphic representation

- (Σ, I) is called independence alphabet
- For example  $\Sigma = \{a, b, c, d\},\$  $I = \{(a, d), (d, a), (b, c), (c, b)\}$



# Dependence relation

### Definition

- $D \subseteq \Sigma \times \Sigma$  is a dependence relation
- If (a, b) ∈ D, then there exist dependency between a and b and order is important
- $D = \Sigma \times \Sigma \setminus I$
- $D(a) = \{b \in \Sigma \mid (a,b) \notin I\}$
- Since *I* is irreflexive, there is  $a \in D(a)$

### Graphic representation

(Σ, D) is called Dependence alphabet

$$(\Sigma, D) = \begin{vmatrix} a & & b \\ & & \\ & & \\ c & & d \end{vmatrix}$$

# Equivalence of traces

### Equivalence relation

- The relation / induces  $\sim_l$  over  $\Sigma^*$
- Two words x and y are equivalent under  $\sim_l$  (denoted by  $x \sim_l y$ ), if there exist a sequence  $z_1, z_2, ..., z_k$  of words such that  $x = z_1$  and  $y = z_k$  and for all  $i, 1 \le i < k$  there exists words  $z'_i, z''_i$  and letters  $a_i, b_i$  satisfying:  $z_i = z'_i a_i b_i z''_i, z_{i+1} = z'_i b_i a_i z''_i$  and  $(a, b) \in l$

#### Example

- $\Sigma = \{a, b, c, d\}$
- $I = \{(a, d), (d, a), (b, c), (c, b)\}$
- acdb  $\sim_l$  cabd
- acdb and cabd are the same trace
- abcd and cabd are different traces

# Trace monoids

#### Free partially commutative monoids

- $\sim_l$  is a congruence over  $\Sigma^*$
- Monoid induced by the relation *l* is the Free partially commutative monoid M(Σ, *l*)
- The elements of M(Σ, I) which are equivalence classes of words of Σ\* under the relation ~<sub>I</sub> are called **traces**
- $M(\Sigma, I)$  is called **Trace monoid** too

# Normal forms

#### Normal forms of traces

- There are two normal forms
- Lexicographic normal form
- Foata normal form
- Σ must be totally ordered

#### Lexicographic normal form

 A word x is the lexicographic normal form of a trace if and only if for all factorization x = ybuaz, where y, u, z ∈ Σ\*, (a, b) ∈ l and a < b, there exist a letter of u which does not commute with a.

# Normal forms

#### Foata normal form

- A word x of  $\Sigma^*$  is in the Foata normal form, if it is the empty word or if there exist an integer n > 0 and non-empty words  $x_i$  ( $1 \le i \le n$ ) such that
  - $X = X_1...X_n$
  - for each *i*, the word *x<sub>i</sub>* is a product of pairwise independent letters and *x<sub>i</sub>* is minimal with respect to the lexicographic ordering
  - For each  $1 \le i < n$  and for each letter a of  $x_{i+1}$  there exist a letter b of  $x_i$  such that  $(a, b) \in D$
- Every trace has a unique Foata normal form.

# Computing normal forms

#### Algorithm to prepare data structures

- There is a simple algorithm to compute normal forms
- Let  $M(\Sigma, I)$  is a partially commutative monoid
- We use a stack for each letter of the alphabet Σ
- We scan word x ( $x \in \Sigma^*$ ) from right to left
- When processing a letter *a* it is pushed on its stack and a marker is pushed on the stack of all the letters *b* (*b* ≠ *a*) which do not commute with *a*
- When all letters has been processed we can compute either the lexicographic normal form or the Foata normal form

# Retrieve normal forms

### How to get lexicographic normal form

- We get a letter from top of stack of minimal letters
- We we pop a marker on each stack corresponding to a letter b ( $b \neq a$ ) which does not commute with a
- We repeat this loop until all stack are empty

#### How to get foata normal form

- We take within a loop the set formed by letters being on the top of stacks
- Arrange the letters in the lexicographic order
- Pop corresponding markers (for each letter)
- Repeat this loop until all stacks are empty

### Normal forms - lexNF example

#### Lexicographic normal form

- Let  $\Sigma = a, b, c, d$
- Let I = (a, d), (d, a), (b, c), (c, b)
- Let w = badacb



w' = baadbc

w ∼₁ w'

# Recognizable trace languages

### Definition

- Let M be a monoid with the unit element 1
- A subset  $T \subseteq M$  is said to be *recognizable* if there exist a homomorphism  $\eta$  from M to a finite monoid S such that  $T = \eta^{-1}(F)$  for some subset  $F \subseteq S$ .
- Homomorphism  $\eta$  recognizes T.

### M-automaton

- $A = (M, Q, \delta, q_0, F)$
- $\delta: Q \times M \rightarrow Q$
- $\forall q \in Q \ \delta(q, 1) = q$
- $\forall q \in Q \ \forall m_1, m_2 \in M \ \delta(q, m_1 m_2) = \delta(\delta(q, m_1), m_2)$
- The subset *T* of *M* recognized by the automaton *A* is defined by  $T = \{m \in M \mid \delta(q_0, m) \in F\}$

# Dependence graphs

#### Dependence graph

- $G(V, E, \lambda)$
- G is directed node-labeled acyclic graph
- V is an at most countable set of vertices
- $E \subseteq V \times V$  is the directed edge relation
- $\lambda : V \to \Sigma$  is the node-labeling such that  $(\lambda(x), \lambda(y)) \in D$  if and only if  $(x, y) \in E \bigcup E^{-1} \bigcup id_V$

### Hasse diagram

- Allows visualization of factors
- Allows visual working with trace

### Example

### Dependency and input word



### Dependence graph



### Hasse diagram



# Asynchronous automata

### Asynchronous automata A

- Has a distributed finite state control such that independent actions may be performed in parallel
- The set of global states is modeled as a Cartesian product  $Q = \prod_{i \in J} Q_i$ , where the  $Q_i$  are states of the local component  $i \in J$  and J is some index set.
- With each letter  $a \in \Sigma$  we associate a read domain  $R(a) \subseteq J$  and a write domain  $W(a) \subseteq J$
- $W(a) \subseteq R(a)$

# Transition function

#### Local transition function

$$\left(\delta_{\alpha}:\prod_{i\in R(\alpha)}Q_i
ightarrow\prod_{i\in W(\alpha)}Q_i
ight)_{lpha\in\Sigma}$$

#### Global transition function

$$\delta: \left(\prod_{i\in J} Q_i\right) \times \Sigma \to \prod_{i\in J} Q_i$$

### Where

- $\delta$  is partially defined transition function
- $\delta((q_i)_{i \in J}, a) = (q'_i)_{i \in J}$  is defined if and only if  $\delta_a((Q_i)_{i \in R(a)})$  is defined

## Read-write conflicts

### Allowed conflicts

- Concurrent Read Exclusive Write if  $R(a) \cap W(b) = \emptyset$  for all  $(a, b) \in I$
- Concurrent Read Owner Write if  $R(a) \bigcap W(b) = \emptyset$  for all  $(a, b) \in I$  and  $W(a) \bigcap W(b) = \emptyset$  for all  $a \neq b$
- Exclusive Read Exclusive Write if  $R(a) \bigcap R(b) = \emptyset$  for all  $(a, b) \in I$
- Exclusive Read Owner Write if  $R(a) \bigcap R(b) = \emptyset$  for all  $(a, b) \in I$  and  $W(a) \bigcap W(b) = \emptyset$  for all  $a \neq b$

# Changing between conflicts types

#### Changing between conflicts types

- Concurrent Read can be changed to Exclusive Read
- Exclusive Write can be changed to Owner Write

#### Changing between whole conflict types

- The original definition an asynchronous automaton demands an EREW (Exclusive Read Exclusive Write) type with R(a) = W(a) for all  $a \in \Sigma$ .
- EROW (Exclusive Read Owner Write) type is even a stronger condition
- Each of the four described types can be changed to EROW type.

### Asynchronous cellular automata

#### Definition of asynchronous cellular automata

• An asynchronous automation A is called asynchronous cellular, if the state space Q can be decomposed as  $Q = \prod_{a \in \Sigma} Q_a \text{ such that } W(a) = a \text{ and}$   $R(a) = D(a) = \{b \in \Sigma | (a, b) \in D\} \text{ for all } a \in \Sigma$ 

#### Remark

• Every *CROW*-type asynchronous automata can be viewed as asynchronous cellular by trivial transformation (regrouping components) which does not change the number of reachable global states

# Practical use

### Asynchronous automata

- Parallel control mechanism
- One-phase updating in cellular automata.

#### Traces self

- Optimization of executable code (Foata normal form)
- Comparing executables

#### References

G. Rozenberg and A. Salomaa, editors. Handbook of formal languages, vol. 3: beyond words. Springer-Verlag New York, Inc., New York, NY, USA, 1997.

# Thank for your attention.