

# Models for Regular Languages

# Regular Expressions (RE): Definition

**Gist: Expressions with operators  $.$ ,  $+$ , and  $*$  that denote concatenation, union, and iteration, respectively.**

**Definition:** Let  $\Sigma$  be an alphabet. The *regular expressions* over  $\Sigma$  and the *languages they denote* are defined as follows:

- $\emptyset$  is a RE denoting the empty set
- $\varepsilon$  is a RE denoting  $\{\varepsilon\}$
- $a$ , where  $a \in \Sigma$ , is a RE denoting  $\{a\}$
- Let  $r$  and  $s$  be regular expressions denoting the languages  $L_r$  and  $L_s$ , respectively; then
  - $(r.s)$  is a RE denoting  $L = L_r L_s$
  - $(r + s)$  is a RE denoting  $L = L_r \cup L_s$
  - $(r^*)$  is a RE denoting  $L = L_r^*$

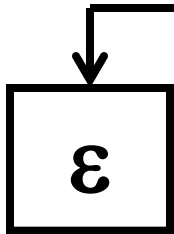
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**Is a RE over  $\Sigma$ .**

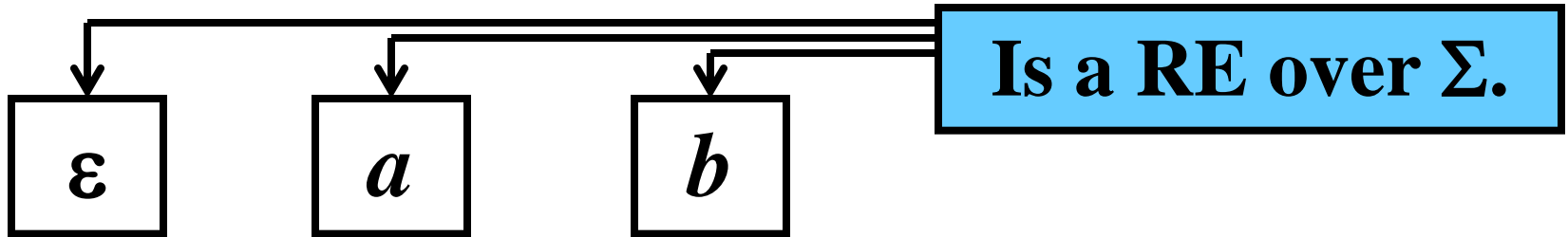
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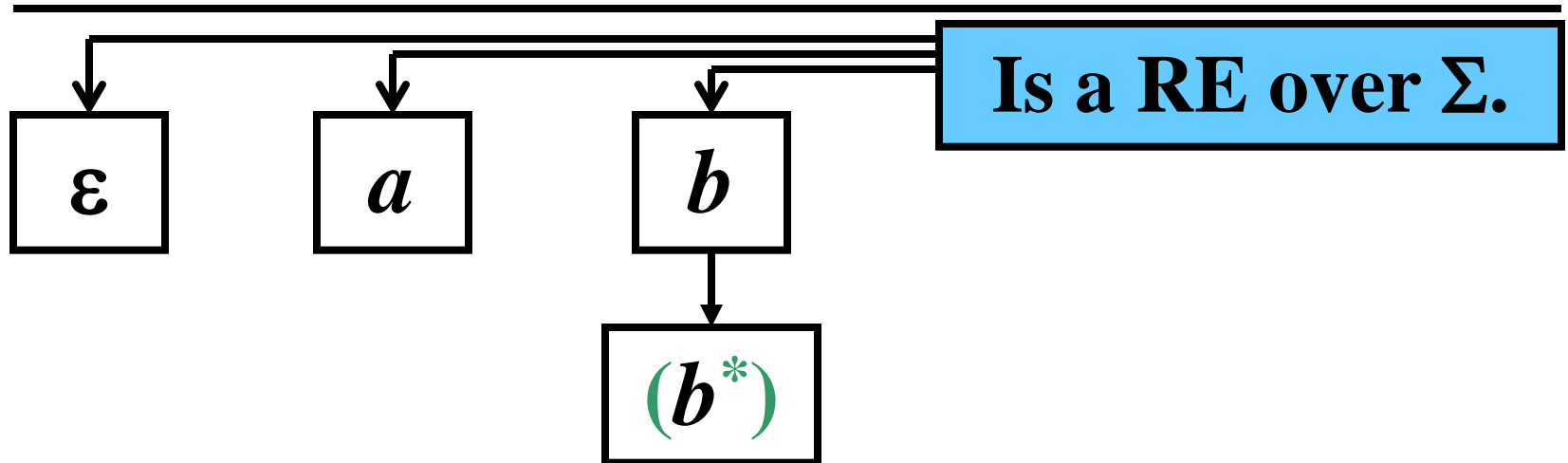
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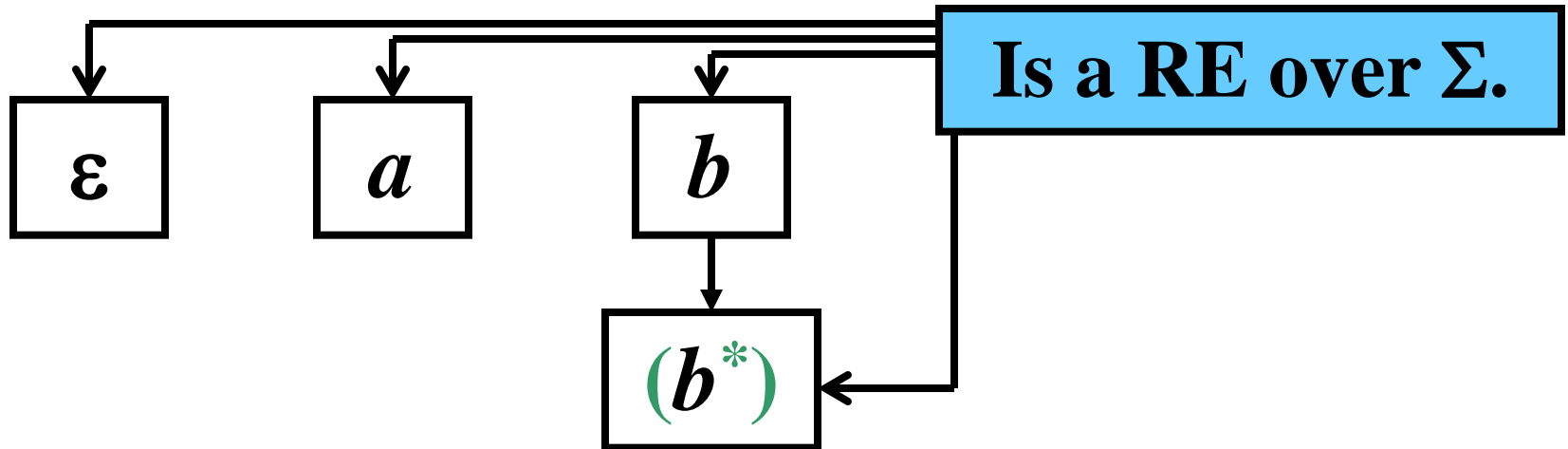
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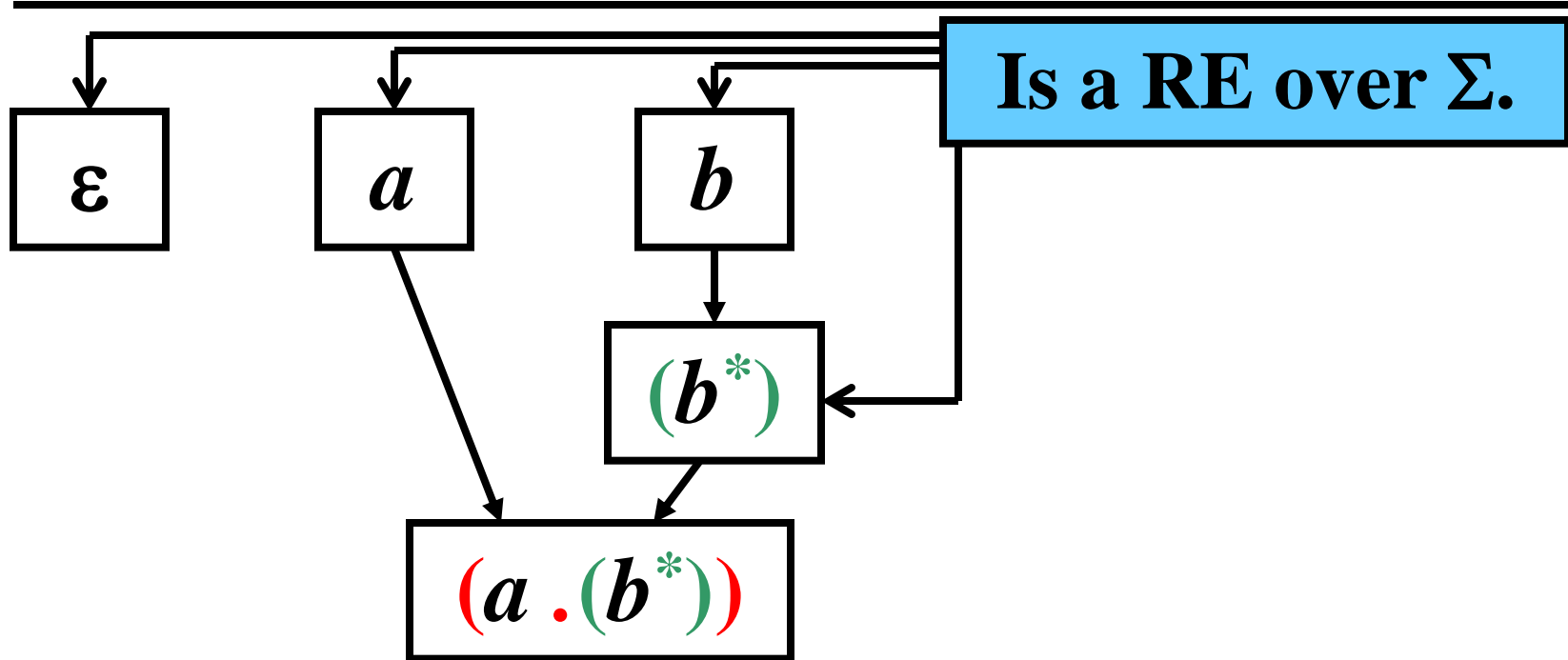
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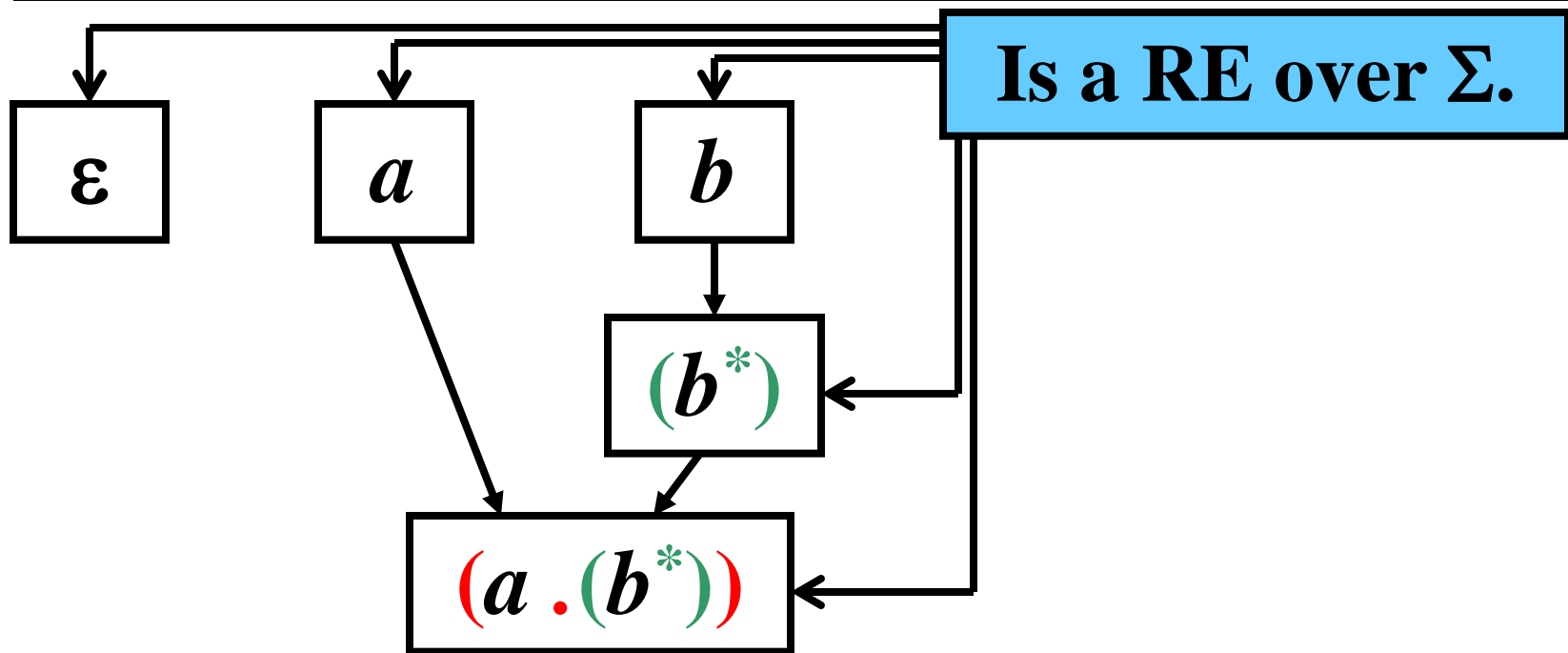
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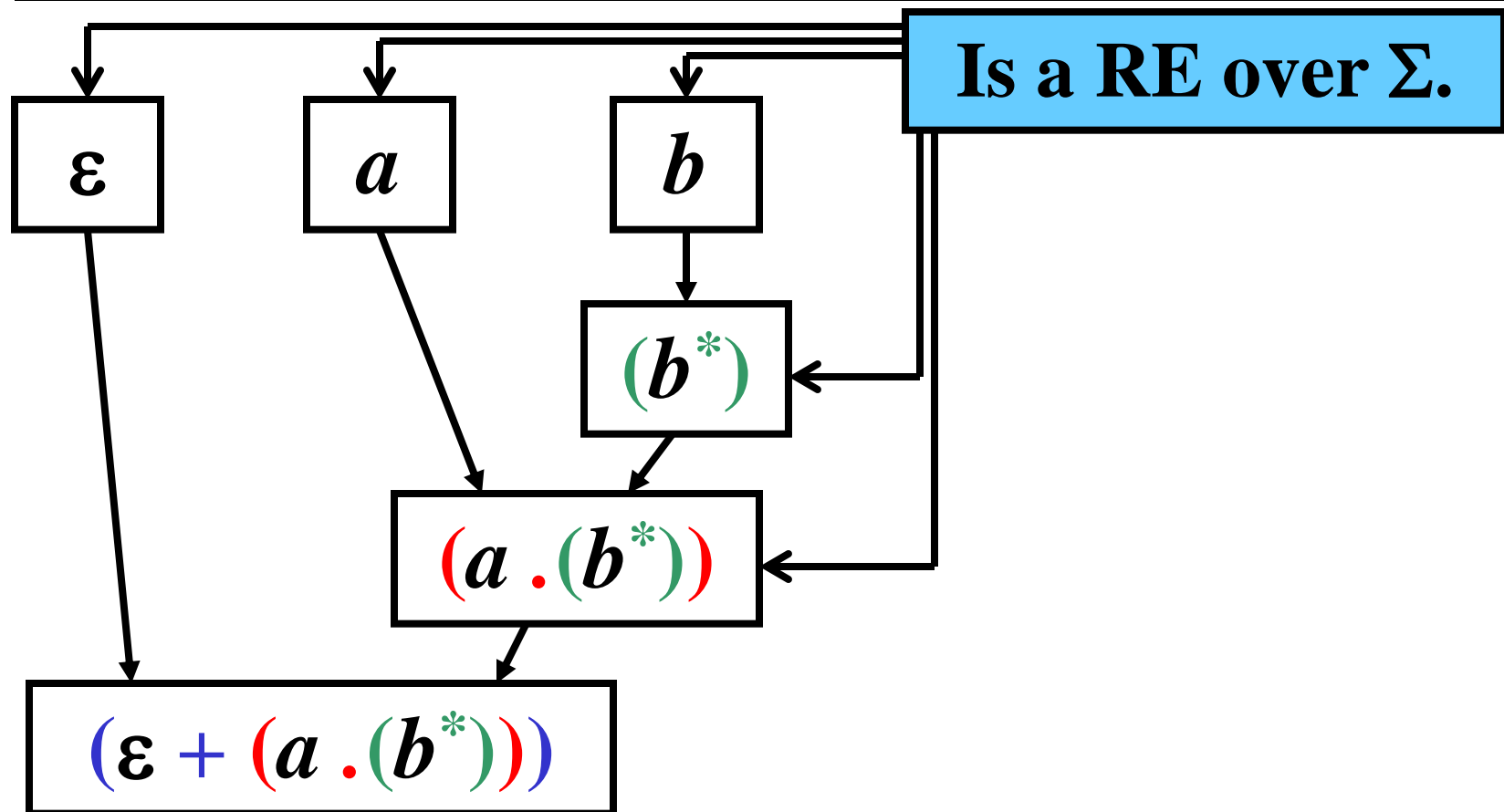
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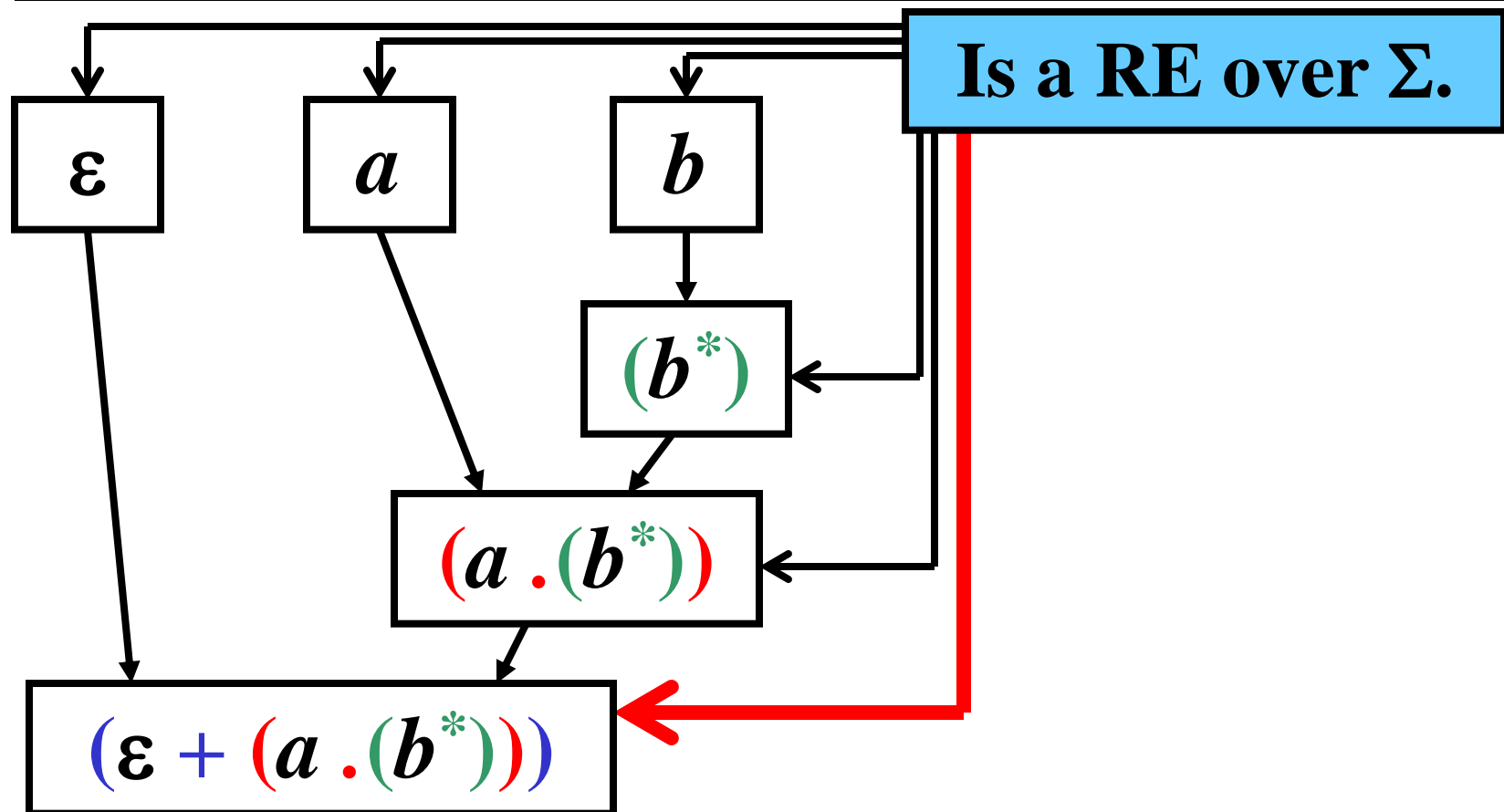
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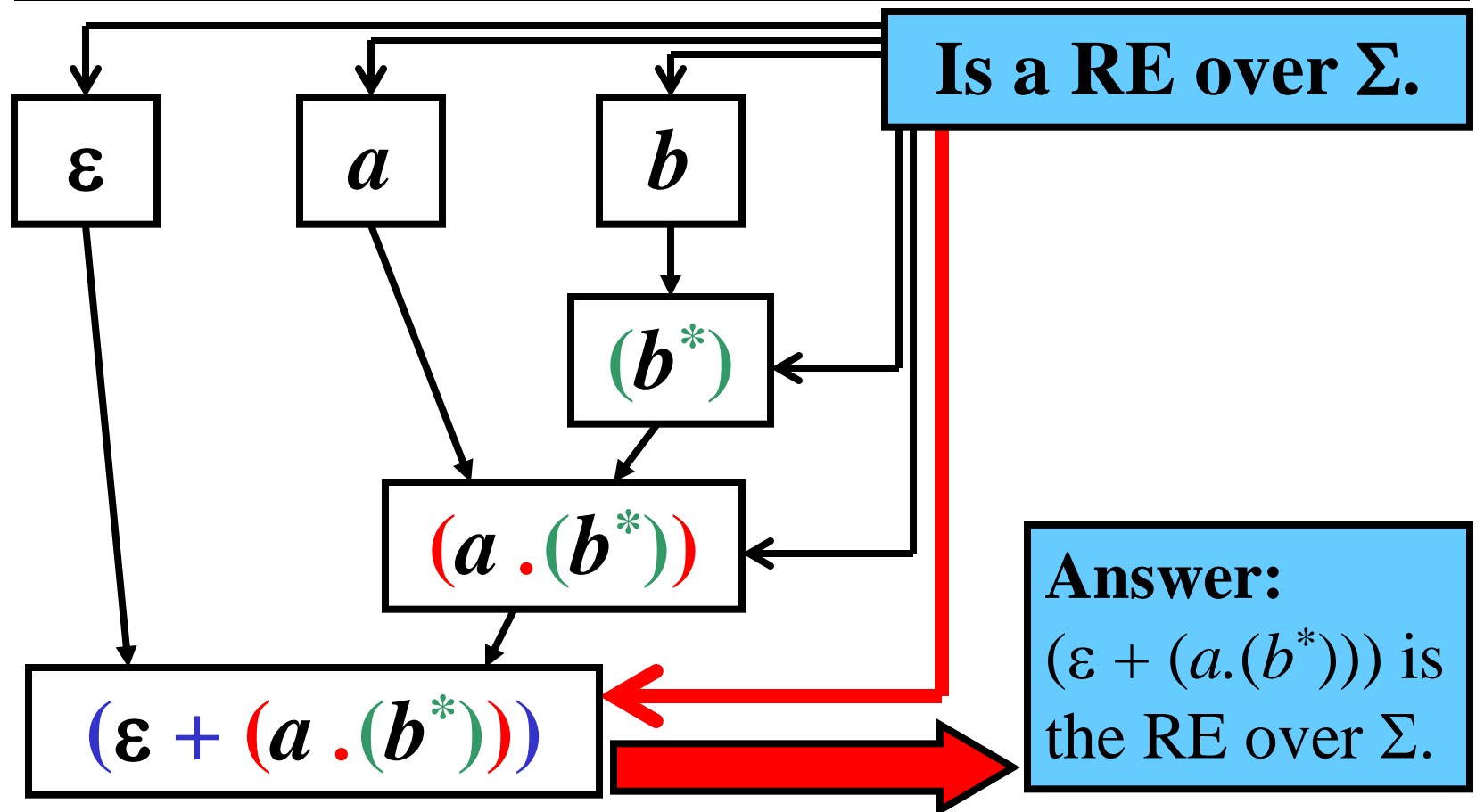
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# Simplification

1) Reduction of the number of parentheses by

Precedences:  $*$   $>$   $.$   $>$   $+$

2) Expression  $r.s$  is simplified to  $rs$

3) Expression  $rr^*$  or  $r^*r$  is simplified to  $r^+$

## Example:

$((a.(a^*)) + ((b^*).b))$  can be written as  $a.a^* + b^*.b$ ,

and  $a.a^* + b^*.b$  can be written as  $a^+ + b^+$

# Regular Language (RL)

**Gist: Every RE denotes a regular language**

**Definition:** Let  $L$  be a language.  $L$  is a *regular language* (RL) if there exists a regular expression  $r$  that denotes  $L$ .

**Denotation:**  $L(r)$  means the language denoted by  $r$ .

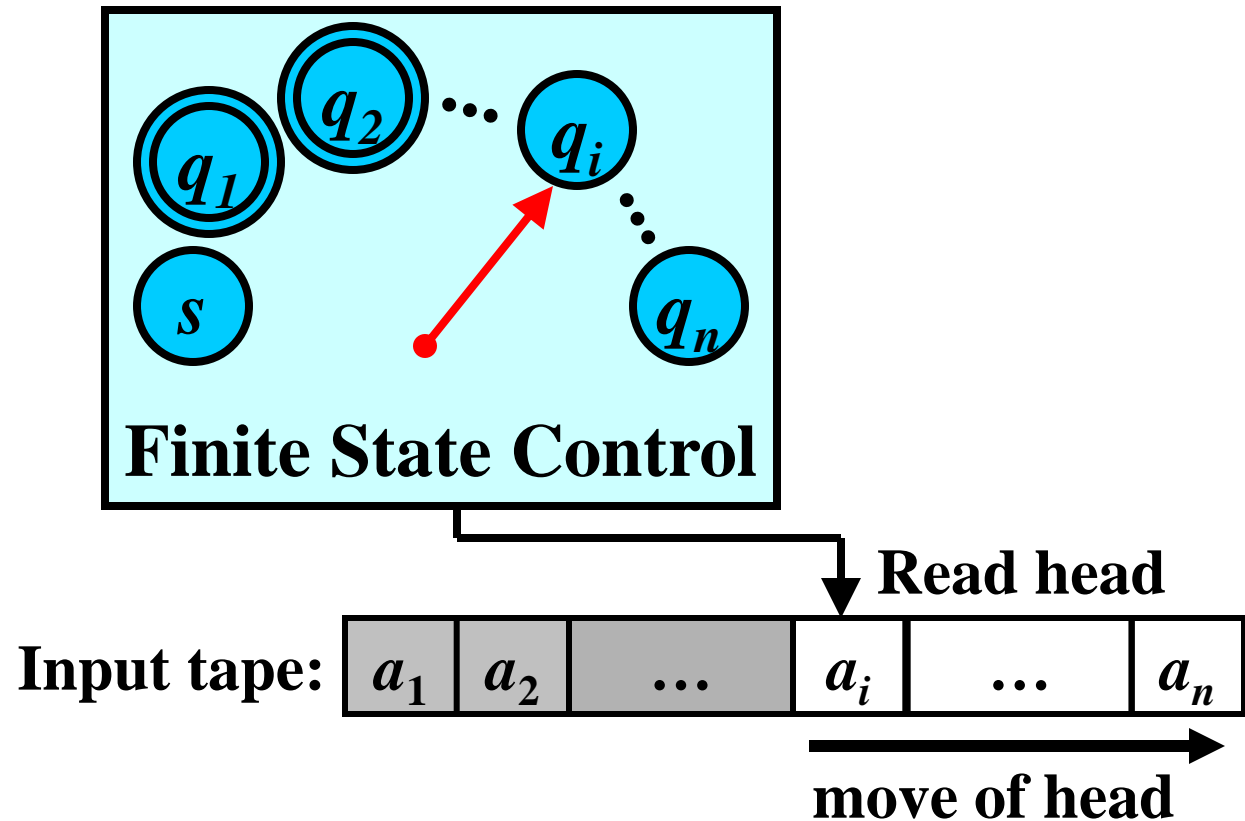
## Examples:

- |                               |                                                       |
|-------------------------------|-------------------------------------------------------|
| $r_1 = ab + ba$               | denotes $L_1 = \{ab, ba\}$                            |
| $r_2 = a^+b^*$                | denotes $L_2 = \{a^n b^m : n \geq 1, m \geq 0\}$      |
| $r_3 = ab(a + b)^*$           | denotes $L_3 = \{x : ab \text{ is prefix of } x\}$    |
| $r_4 = (a + b)^* ab(a + b)^*$ | denotes $L_4 = \{x : ab \text{ is substring of } x\}$ |

**$L_1, L_2, L_3, L_4$  are regular languages over  $\Sigma$**

# Finite Automata (FA)

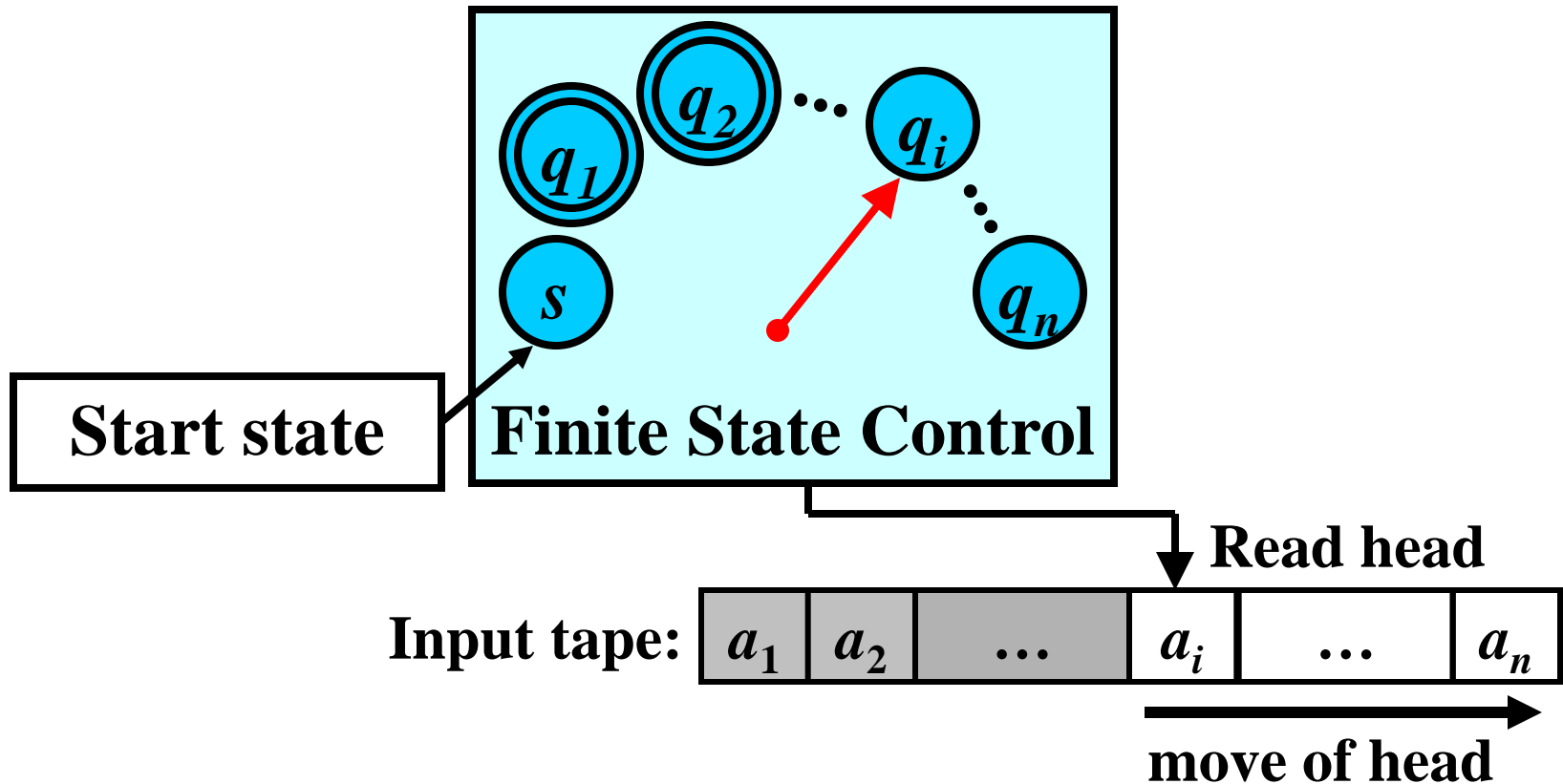
**Gist: The simplest model of computation based on a finite set of states and computational rules.**





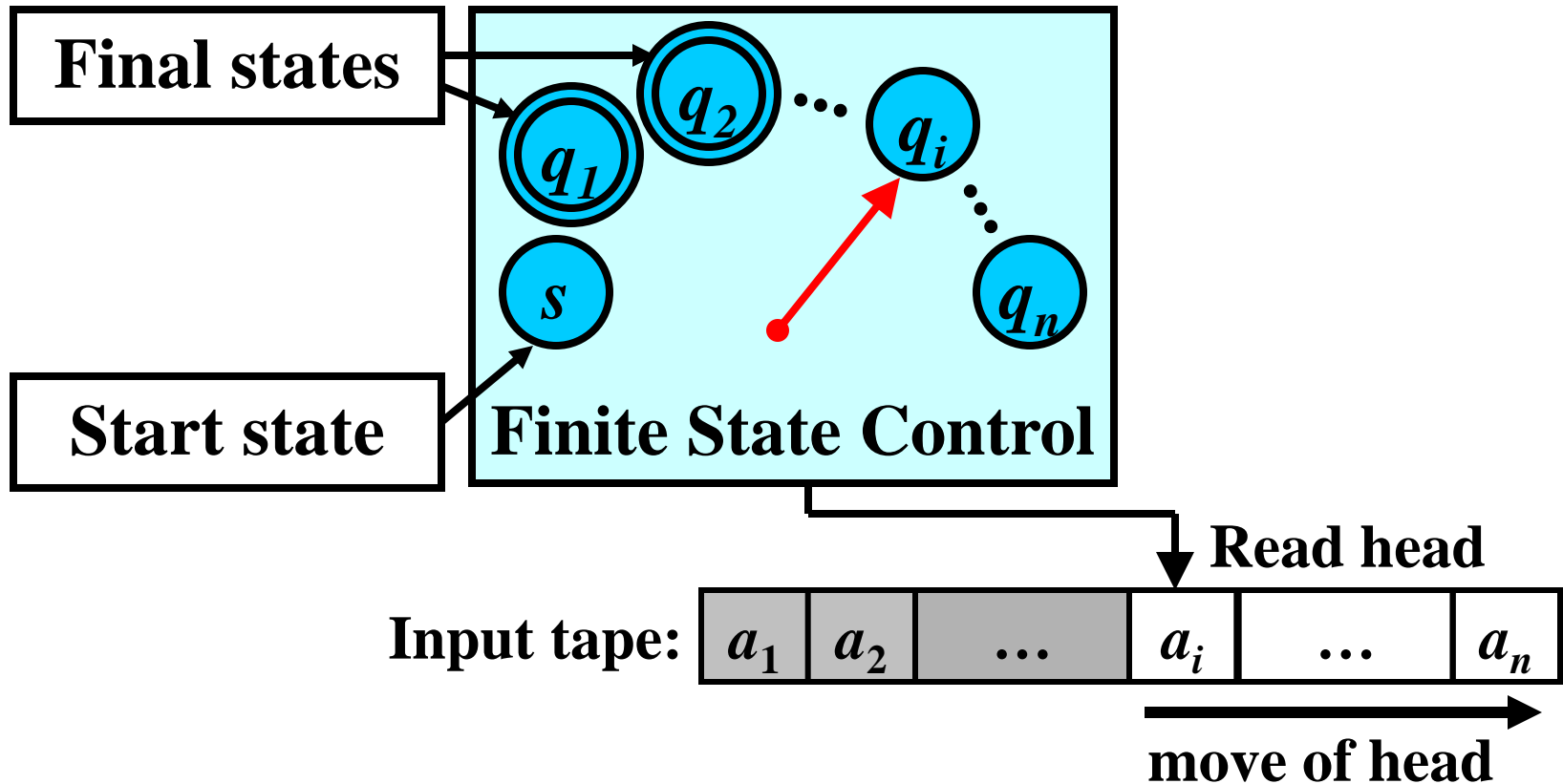
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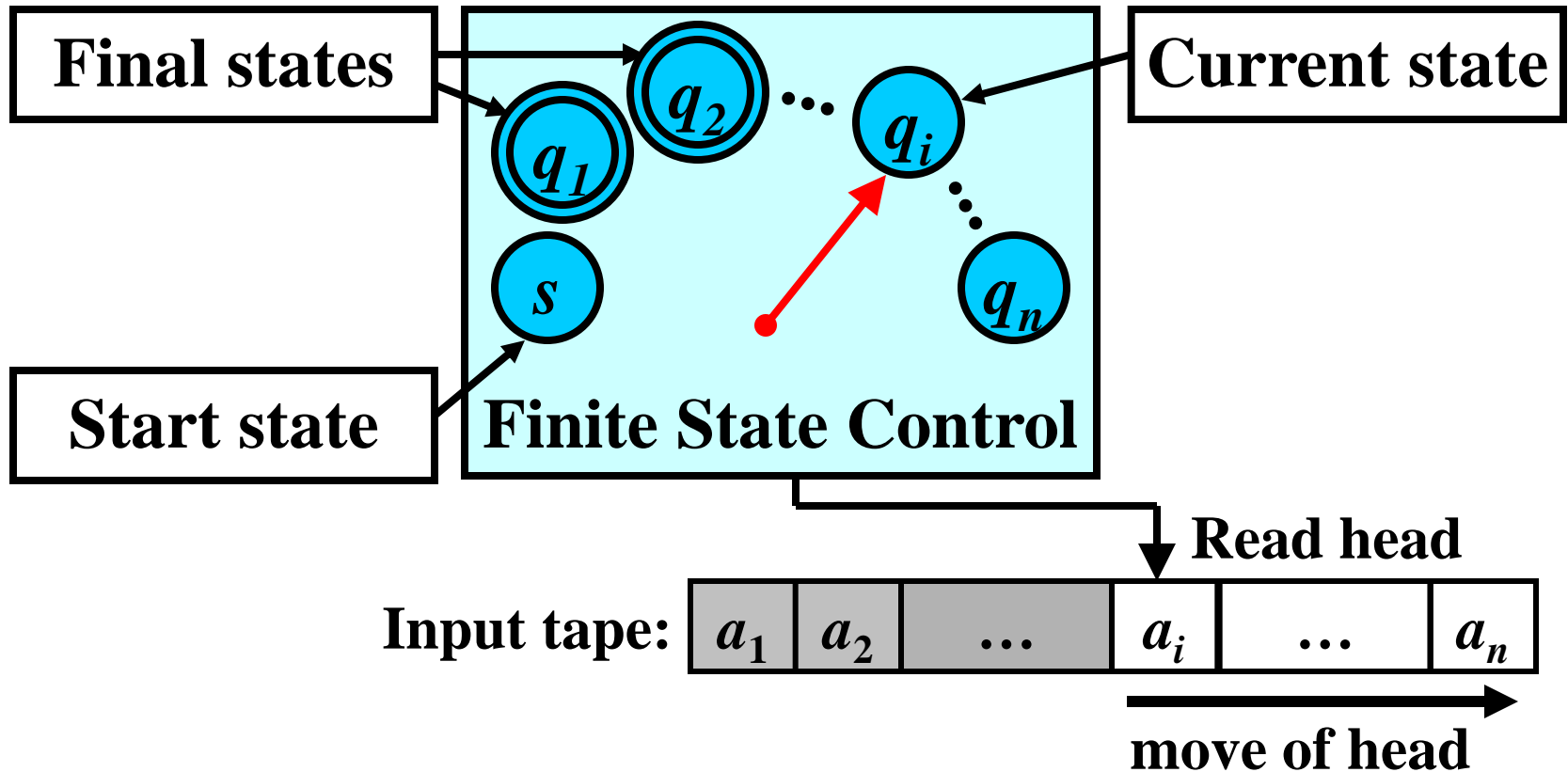
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# Finite Automata: Definition

**Definition:** A *finite automaton* (FA) is a 5-tuple:

$$M = (Q, \Sigma, R, s, F), \text{ where}$$

- $Q$  is a *finite set of states*
- $\Sigma$  is an *input alphabet*
- $R$  is a *finite set of rules* of the form:  $pa \rightarrow q$ ,  
where  $p, q \in Q, a \in \Sigma \cup \{\varepsilon\}$
- $s \in Q$  is the *start state*
- $F \subseteq Q$  is a set of *final states*


**Mathematical note on rules:**

- Strictly mathematically,  $R$  is a relation from  $Q \times (\Sigma \cup \{\varepsilon\})$  to  $Q$
- Instead of  $(pa, q)$ , however, we write the rule as  $pa \rightarrow q$

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- $pa \rightarrow q$  means that with  $a$ ,  $M$  can move from  $p$  to  $q$
- if  $a = \varepsilon$ , no symbol is read

# Graphical Representation

 denotes a state  $q \in Q$

 denotes the start state  $s \in Q$

 denotes a final state  $f \in F$

  $\xrightarrow{a}$   denotes  $pa \rightarrow q \in R$

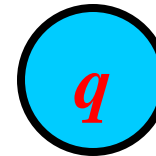
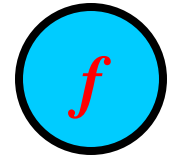
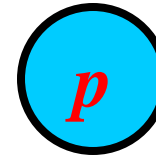
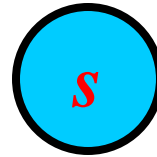
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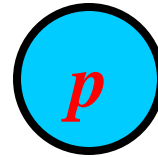
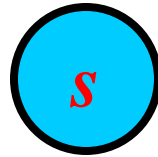


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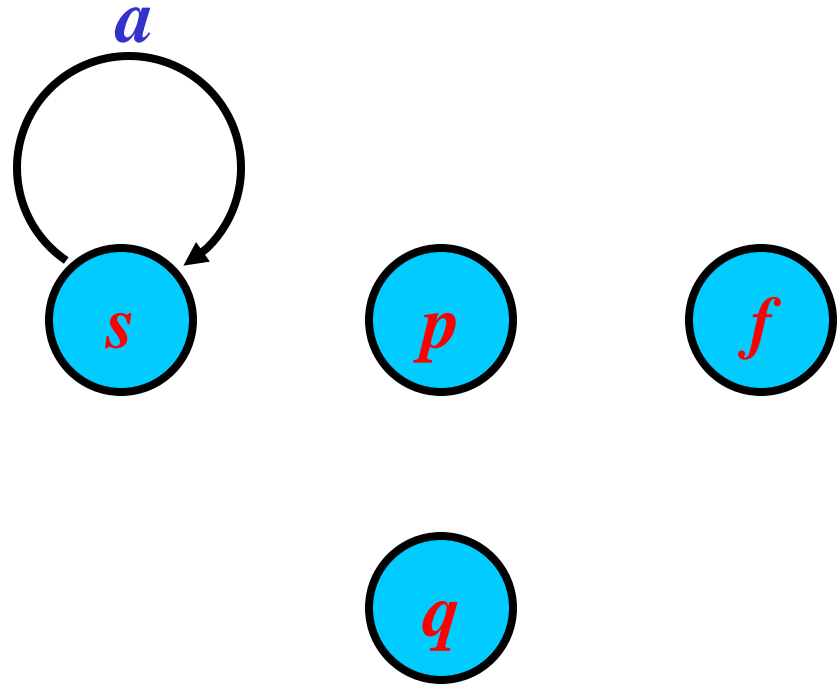


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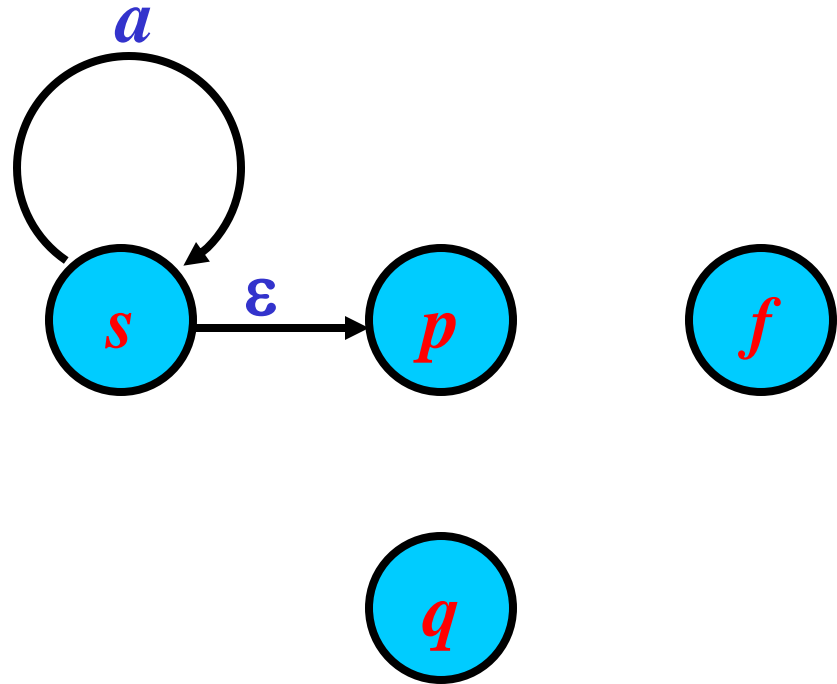


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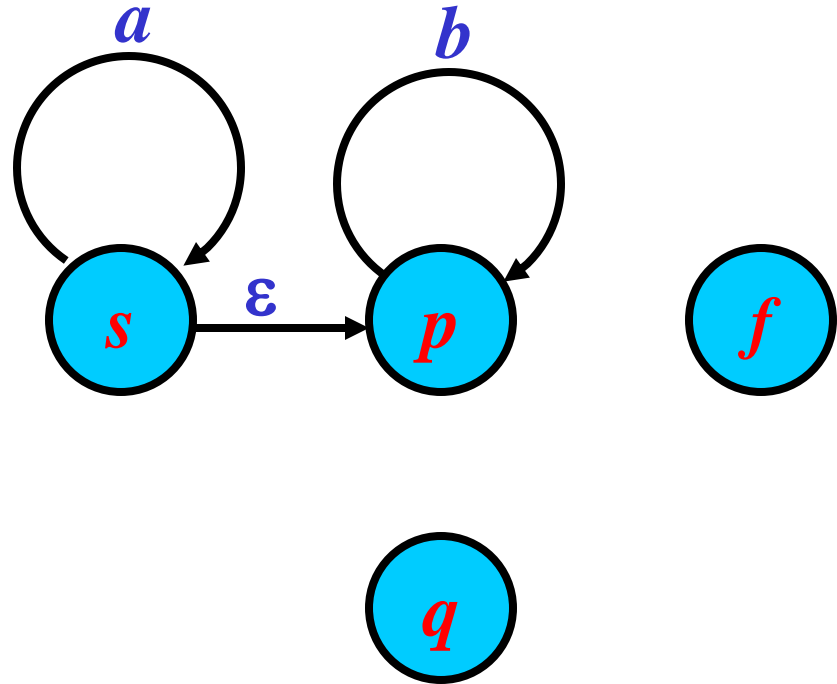
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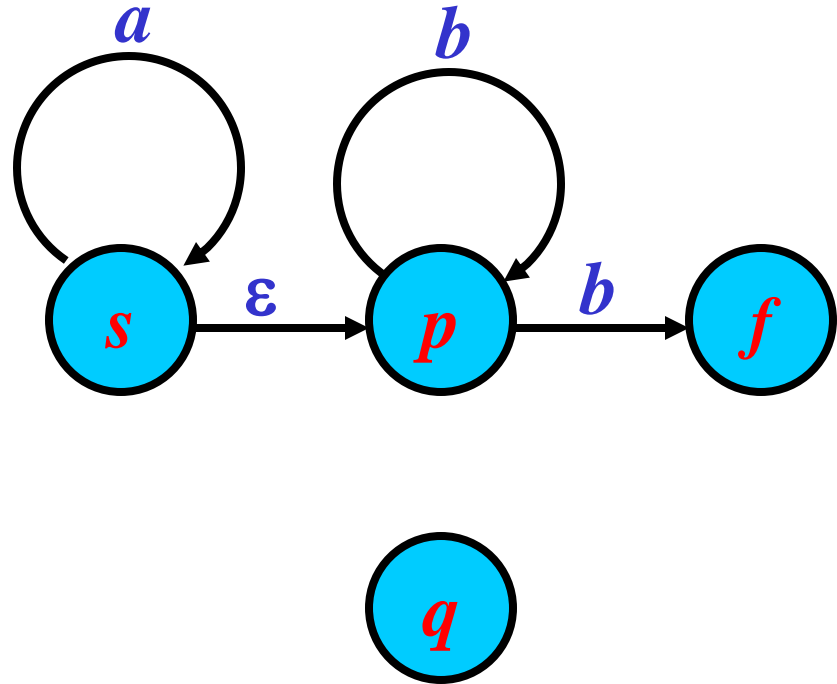
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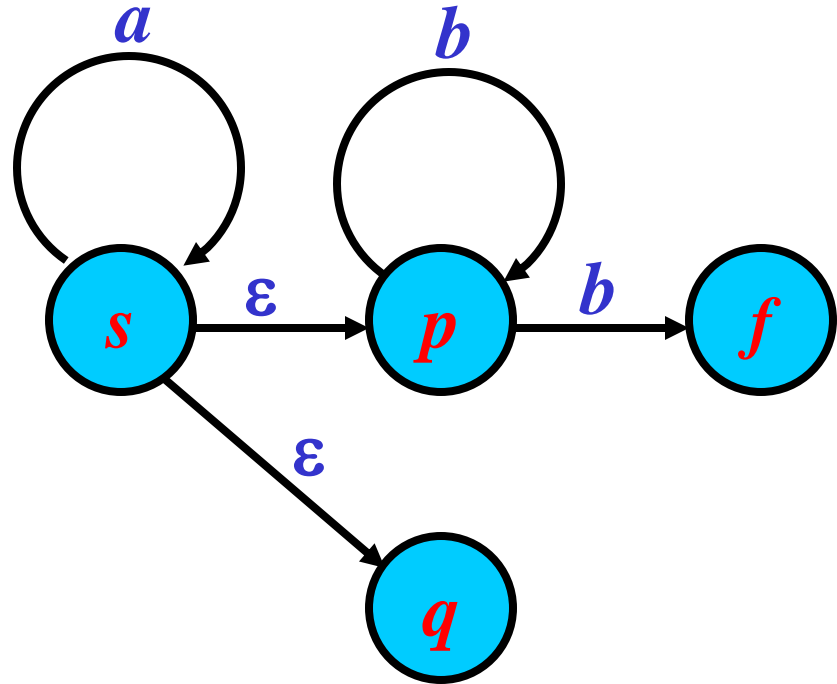
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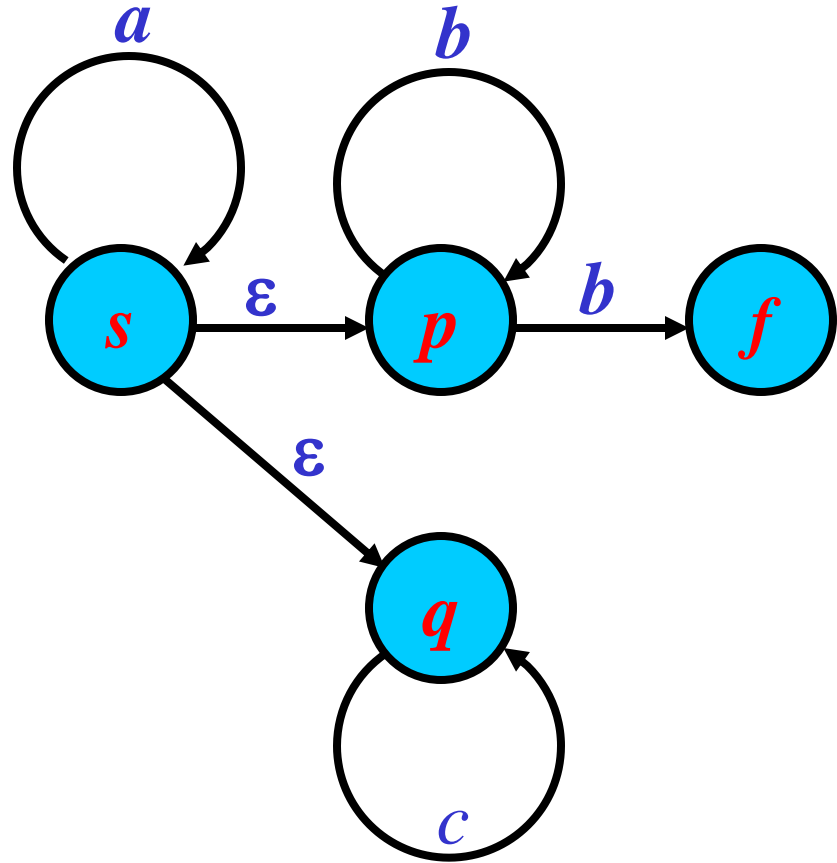
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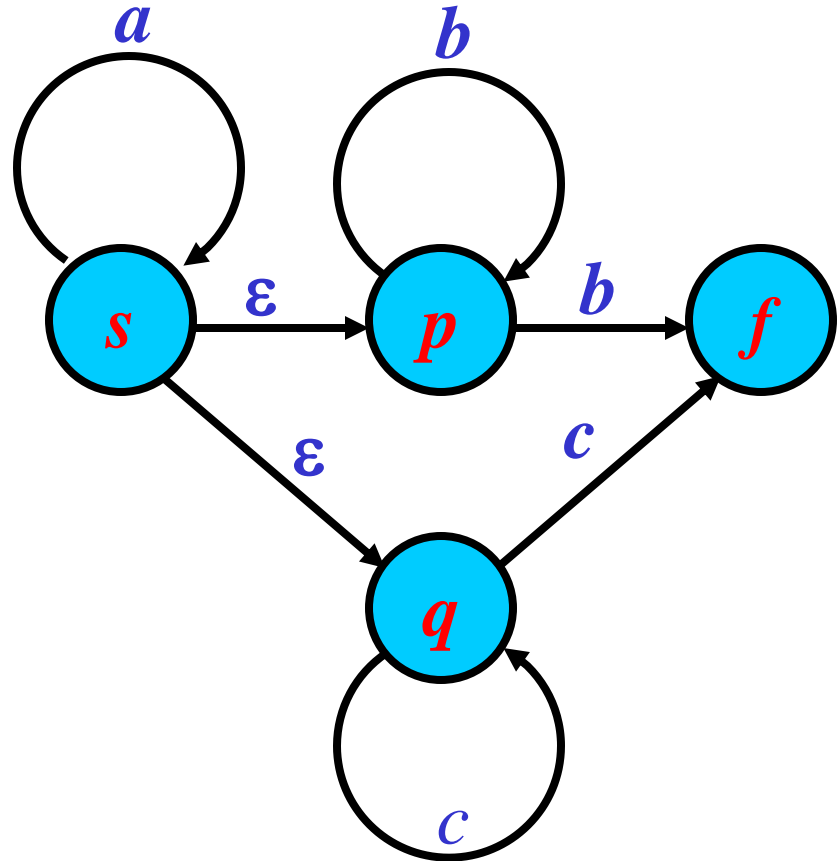
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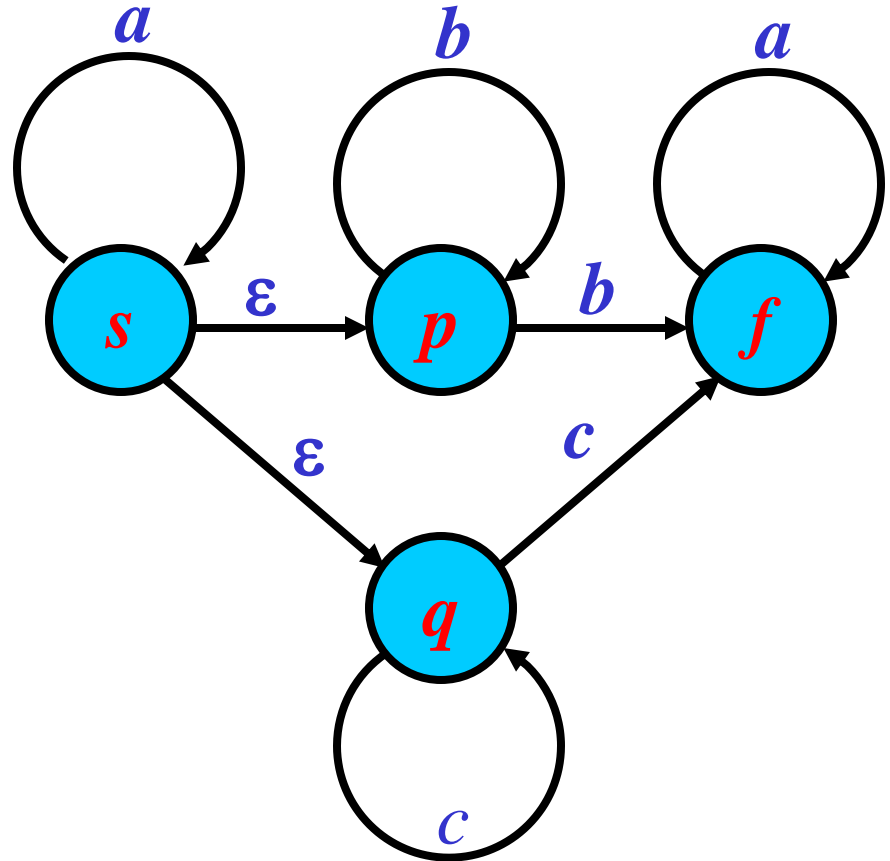
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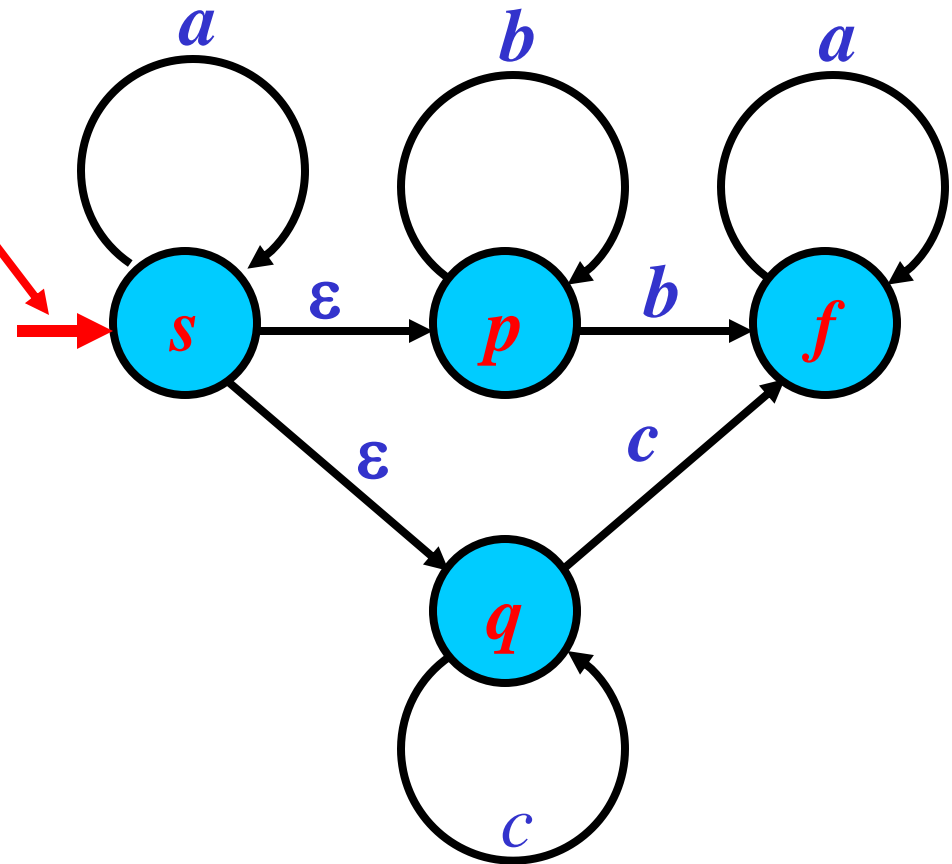


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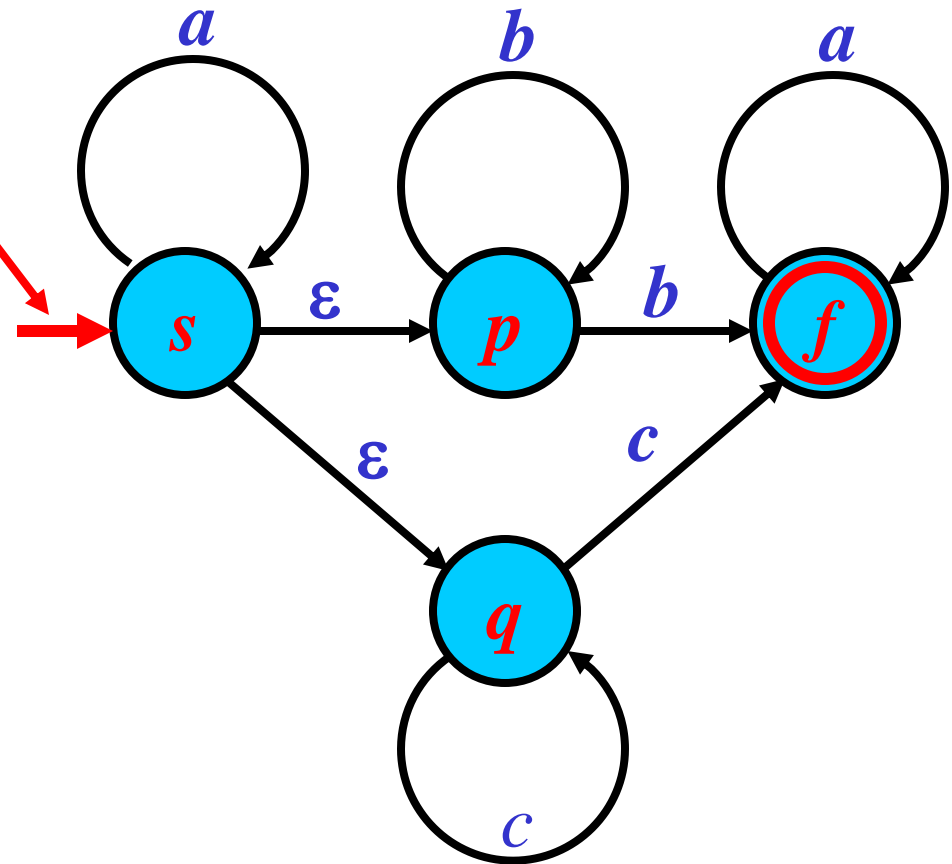


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- $F = \{f\}$



# Tabular Representation

- **Columns:** Member of  $\Sigma \cup \{\varepsilon\}$
- **Rows:** States of  $Q$
- **First row:** The start state
- **Underscored:** Final states

	...	<i>a</i>	...	$\varepsilon$
<i>s</i>				
...				
<i>p</i>		<i>t(p, a)</i>		
...				
<u><i>f</i></u>				

$$t(p, a) = \{q: pa \rightarrow q \in R\}$$

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	<i>a</i>	<i>b</i>	<i>c</i>
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$s$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$
$p$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$
$q$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$
$f$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$



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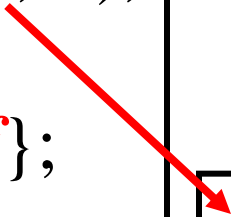
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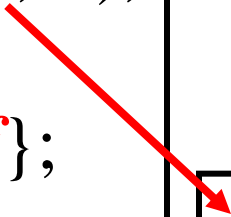


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$s$	$\{s\}$	$\emptyset$	$\emptyset$	$\{p, q\}$
$p$	$\emptyset$	$\{p, f\}$	$\emptyset$	$\emptyset$
$q$	$\emptyset$	$\emptyset$	$\{q, f\}$	$\emptyset$
$f$	$\{f\}$	$\emptyset$	$\emptyset$	$\emptyset$

# Tabular Representation: Example

$M = (Q, \Sigma, R, s, F)$ ,  
where:

- $Q = \{s, p, q, f\}$ ;
- $\Sigma = \{a, b, c\}$ ;
- $R = \{sa \rightarrow s,$   
 $s \rightarrow p,$   
 $pb \rightarrow p,$   
 $pb \rightarrow f,$   
 $s \rightarrow q,$   
 $qc \rightarrow q,$   
 $qc \rightarrow f,$   
 $fa \rightarrow f\}$ ;
- $F = \{f\}$

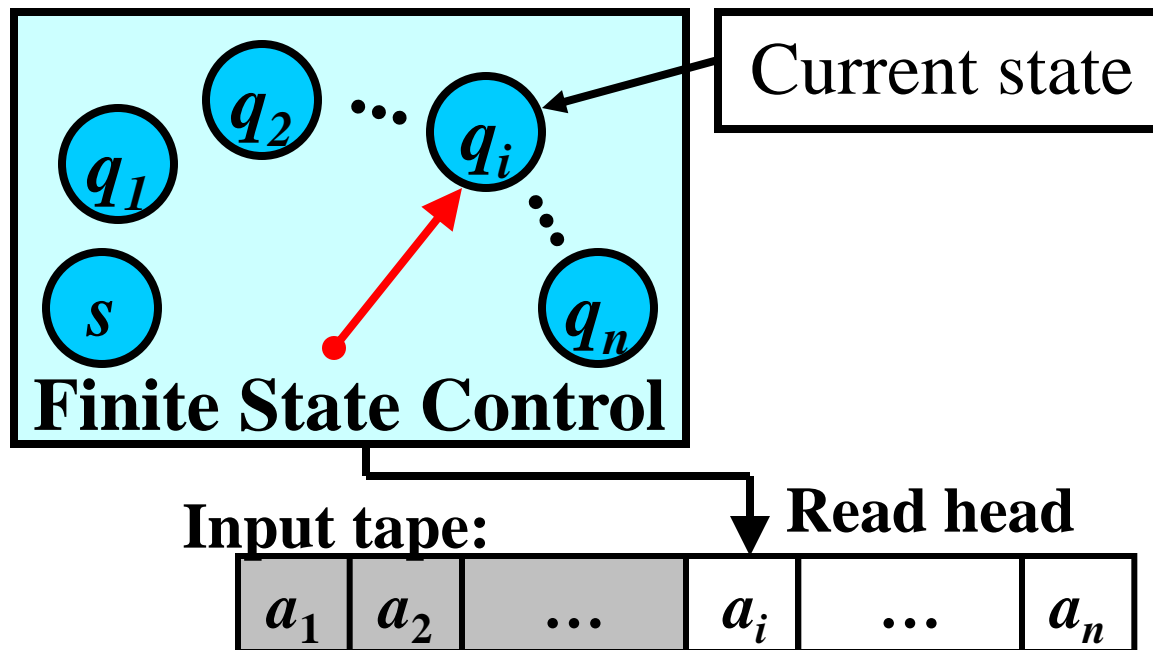


	$a$	$b$	$c$	$\epsilon$
$s$	$\{s\}$	$\emptyset$	$\emptyset$	$\{p, q\}$
$p$	$\emptyset$	$\{p, f\}$	$\emptyset$	$\emptyset$
$q$	$\emptyset$	$\emptyset$	$\{q, f\}$	$\emptyset$
$f$	$\{f\}$	$\emptyset$	$\emptyset$	$\emptyset$

# Configuration

## Gist: Instance description of FA

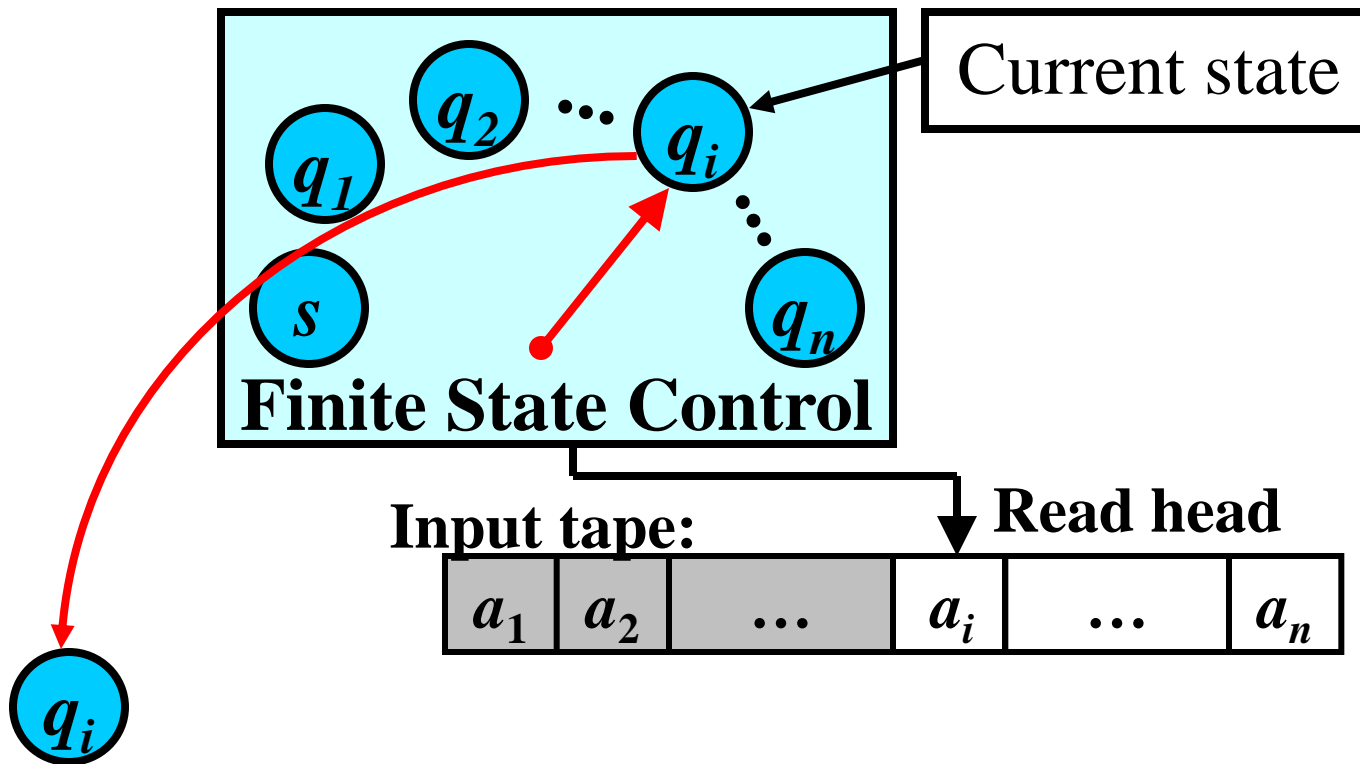
**Definition:** Let  $M = (Q, \Sigma, R, s, F)$  be a FA.  
 A *configuration* of  $M$  is a string  $\chi \in Q\Sigma^*$



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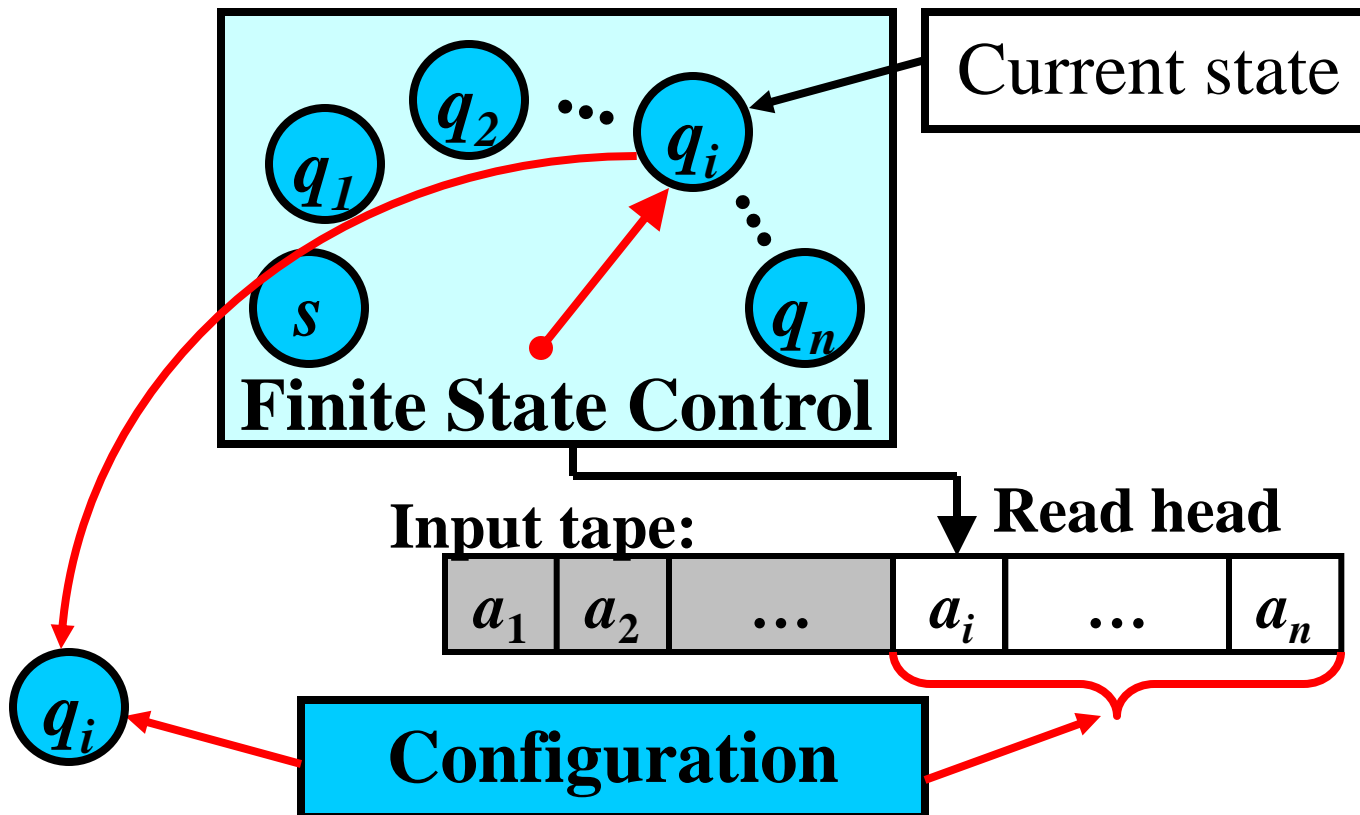
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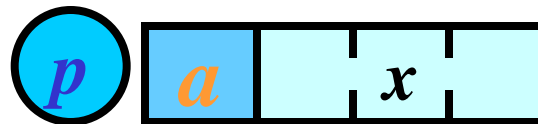
# Move

## Gist: Computational step of FA

**Definition:** Let  $pa$  and  $qx$  be two configurations of  $M$ , where  $p, q \in Q$ ,  $a \in \Sigma \cup \{\varepsilon\}$ , and  $x \in \Sigma^*$ . Let  $r = pa \rightarrow q \in R$  be a rule. Then  $M$  makes a *move* from  $pa$  to  $qx$  according to  $r$ , written as  $pa \dashv\vdash qx [r]$  or, simply,  $pa \dashv\vdash qx$

**Note:** if  $a = \varepsilon$ , no input symbol is read

**Configuration:**



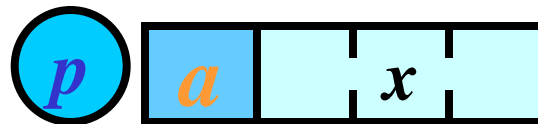
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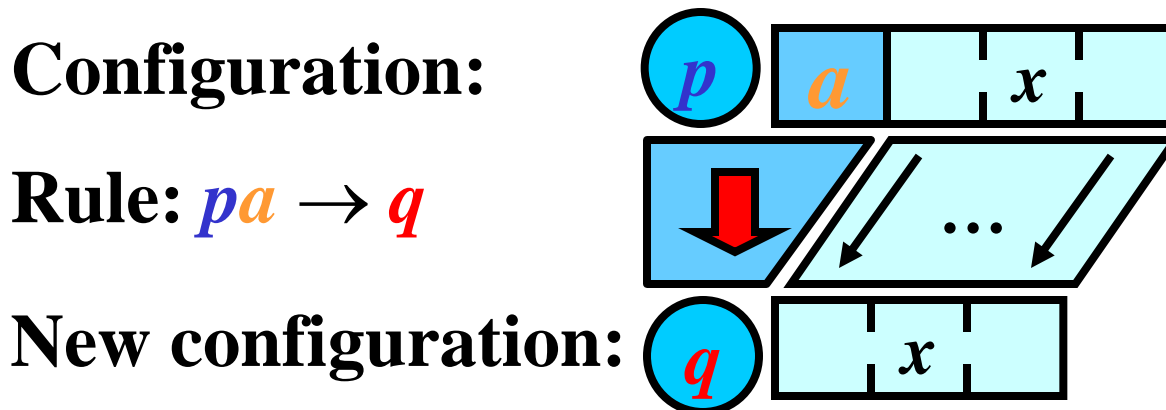
Rule:  $pa \rightarrow q$

# Move

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# Sequence of Moves 1/2

**Gist: Several consecutive computational steps**

**Definition:** Let  $\chi$  be a configuration.  $M$  makes *zero moves* from  $\chi$  to  $\chi$ ; in symbols,

$$\chi \vdash^0 \chi [\varepsilon] \text{ or, simply, } \chi \vdash^0 \chi$$

**Definition:** Let  $\chi_0, \chi_1, \dots, \chi_n$  be a sequence of configurations,  $n \geq 1$ , and  $\chi_{i-1} \vdash \chi_i [r_i]$ ,  $r_i \in R$ , for all  $i = 1, \dots, n$ ; that is,

$$\chi_0 \vdash \chi_1 [r_1] \vdash \chi_2 [r_2] \dots \vdash \chi_n [r_n]$$

Then  $M$  makes *n moves* from  $\chi_0$  to  $\chi_n$ :

$$\chi_0 \vdash^n \chi_n [r_1 \dots r_n] \text{ or, simply, } \chi_0 \vdash^n \chi_n$$

## Sequence of Moves 2/2

If  $\chi_0 \vdash^{-n} \chi_n [\rho]$  for some  $n \geq 1$ , then

$$\chi_0 \vdash^{-+} \chi_n [\rho].$$

If  $\chi_0 \vdash^{-n} \chi_n [\rho]$  for some  $n \geq 0$ , then

$$\chi_0 \vdash^{-*} \chi_n [\rho].$$

**Example:** Consider

$abc \vdash^{-} qbc$  [1:  $pa \rightarrow q$ ], and  $qbc \vdash^{-} rc$  [2:  $qb \rightarrow r$ ].

Then,  $abc \vdash^{-2} rc$  [1 2],

$abc \vdash^{-+} rc$  [1 2],

$abc \vdash^{-*} rc$  [1 2]

# Accepted Language

**Gist:**  $M$  accepts  $w$  if it can completely read  $w$  by a sequence of moves from  $s$  to a final state

**Definition:** Let  $M = (Q, \Sigma, R, s, F)$  be a FA. The *language accepted by  $M$* ,  $L(M)$ , is defined as:

$$L(M) = \{w: w \in \Sigma^*, sw \vdash^* f, f \in F\}$$

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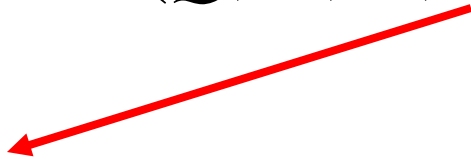
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$M = (Q, \Sigma, R, s, F)$ :

$$s \underbrace{a_1 a_2 \dots a_n}_w \vdash q_1 a_2 \dots a_n \vdash \dots \vdash q_{n-1} a_n \vdash q_n$$

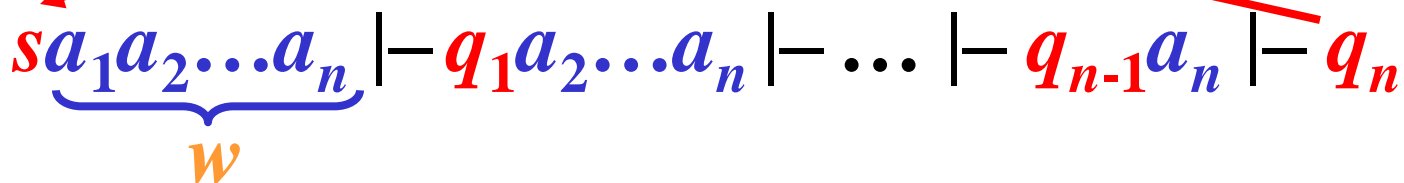
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$M = (Q, \Sigma, R, s, F)$ :

if  $q_n \in F$  then  $w \in L(M)$ ;  
otherwise,  $w \notin L(M)$

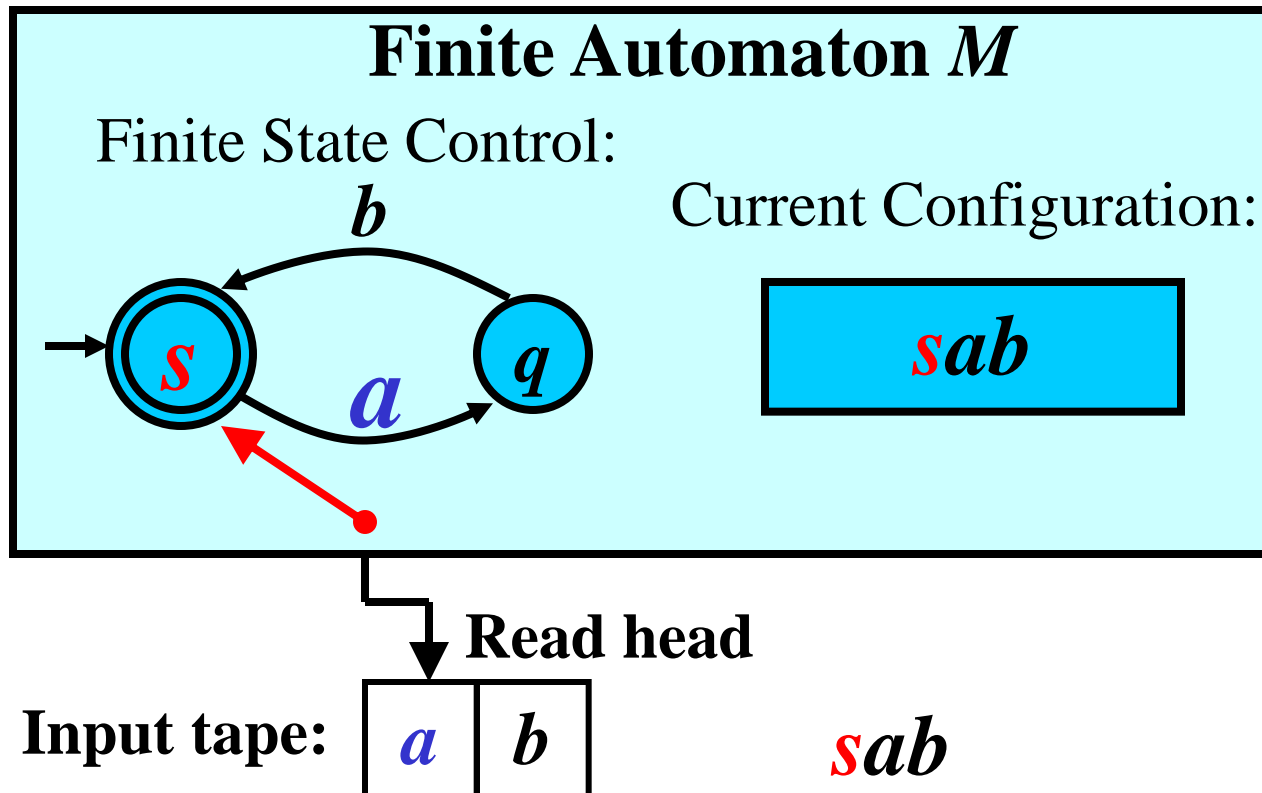
$sa_1a_2 \dots a_n \mid - q_1a_2 \dots a_n \mid - \dots \mid - q_{n-1}a_n \mid - q_n$   
 $\underbrace{\hspace{10em}}_w$

# FA: Example 1/3

$M = (Q, \Sigma, R, s, F)$ , where:

$Q = \{s, q\}$ ,  $\Sigma = \{a, b\}$ ,  $R = \{sa \rightarrow q, qb \rightarrow s\}$ ,  $F = \{s\}$

**Question:**  $ab \in L(M)$  ?



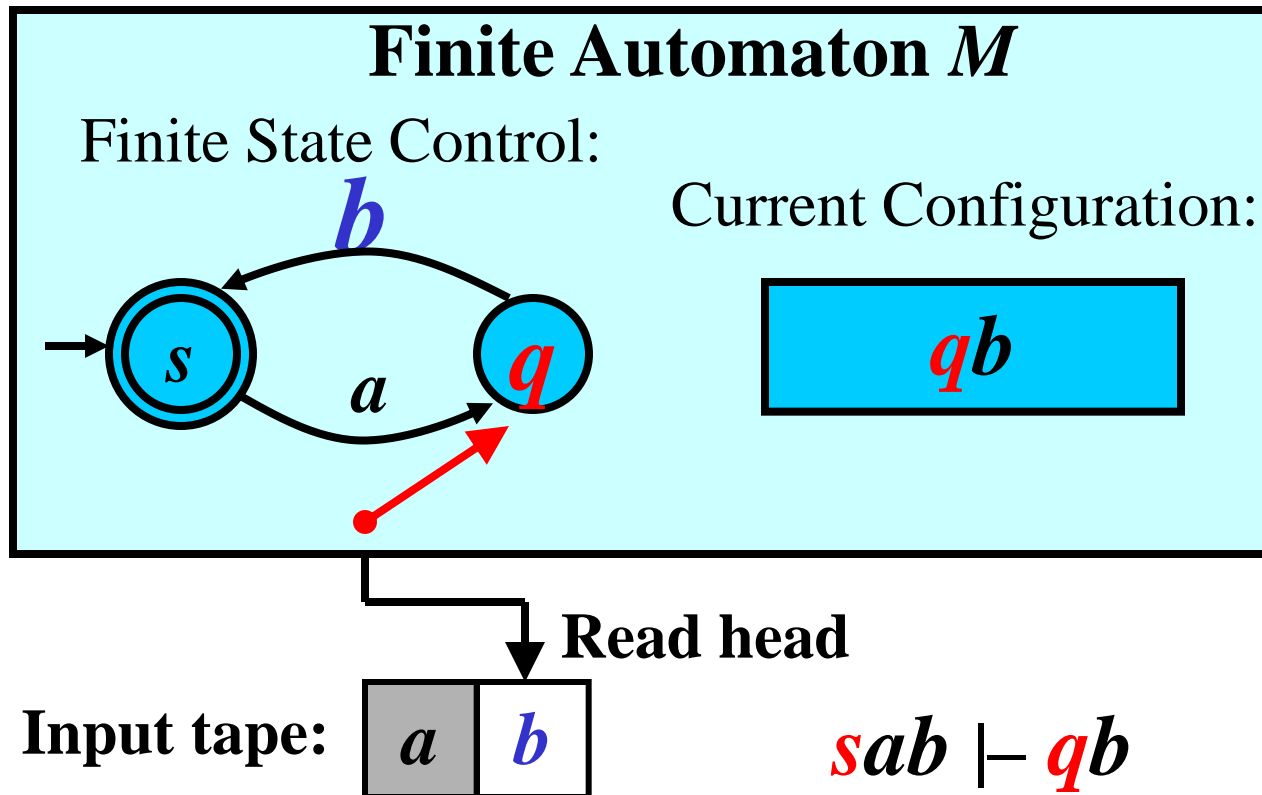


# FA: Example 2/3

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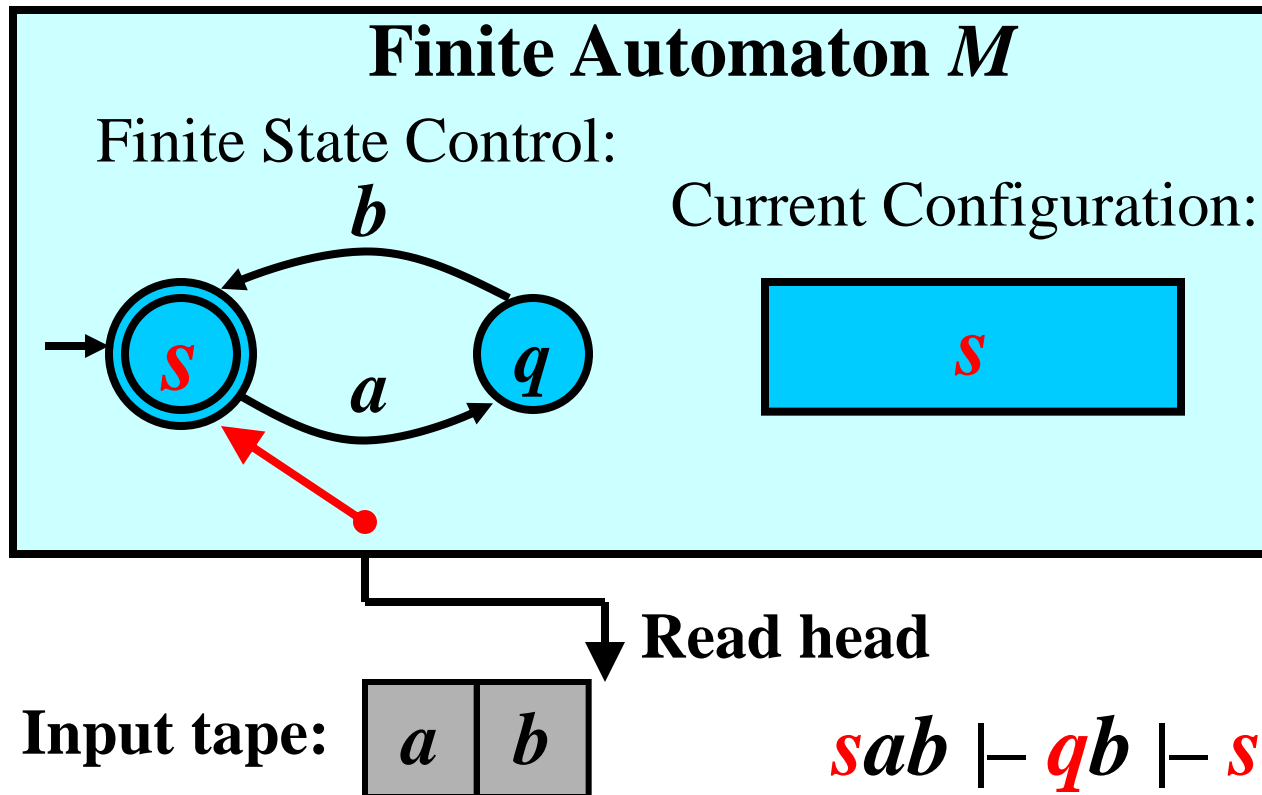


# FA: Example 3/3

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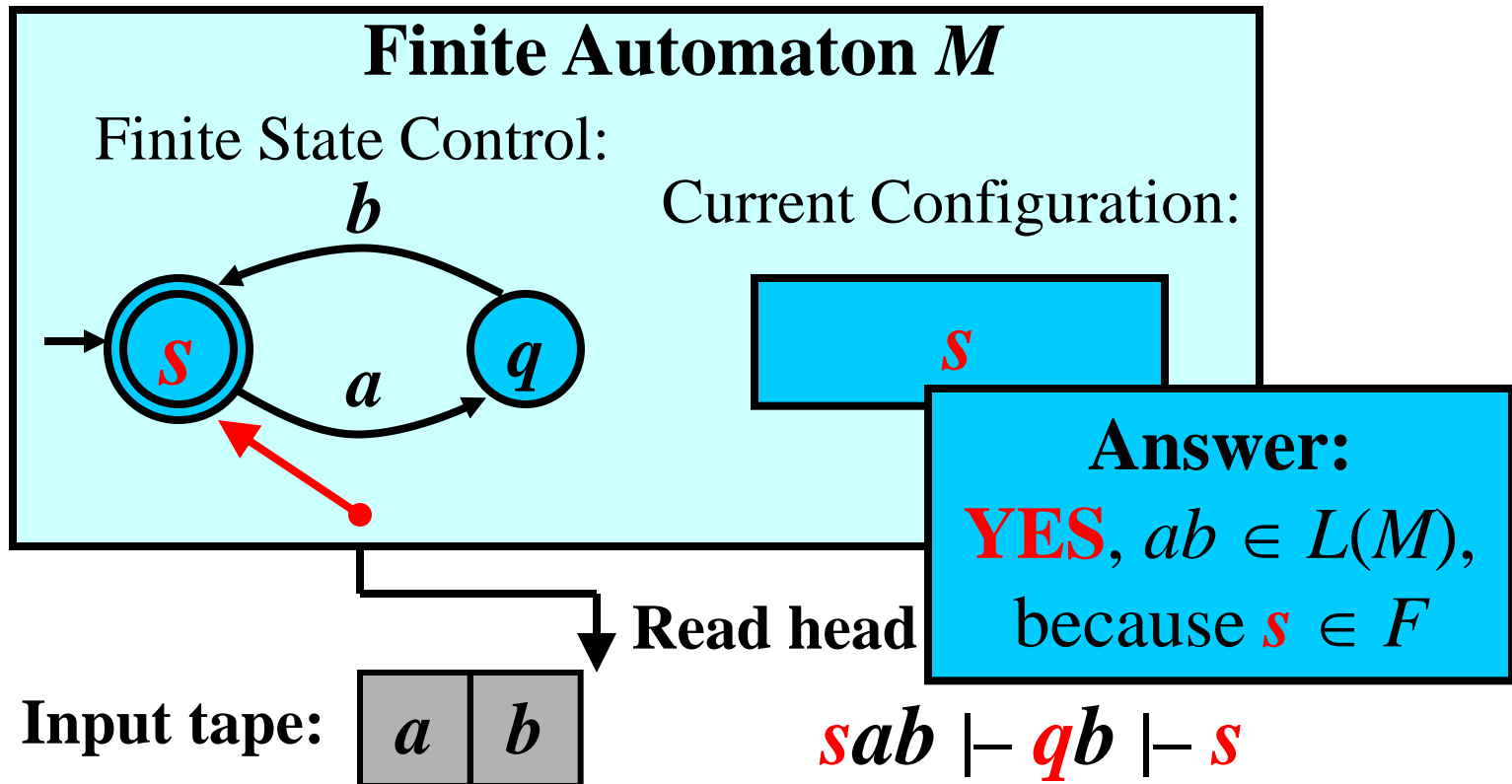


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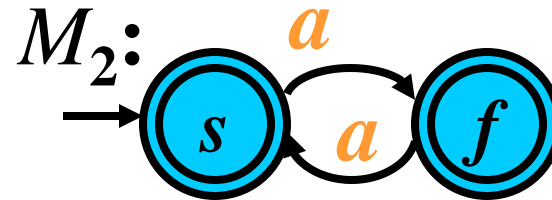
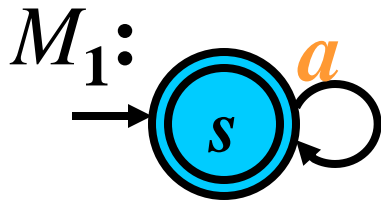
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# Equivalent Models

**Definition:** Two models for languages, such as FAs, are equivalent if they both specify the same language.

**Example:**

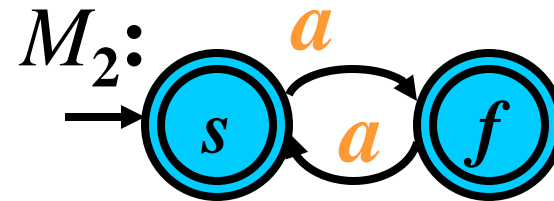
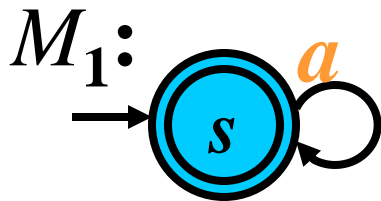


**Question:** Is  $M_1$  equivalent to  $M_2$  ?

# Equivalent Models

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**Example:**



**Question:** Is  $M_1$  equivalent to  $M_2$  ?

**Answer:**  $M_1$  and  $M_2$  are equivalent because  
 $L(M_1) = L(M_2) = \{a^n : n \geq 0\}$

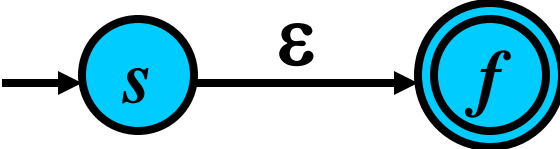
# Conversion of RE to FA: Basics 1/5

**Gist: Algorithm that converts any RE to an equivalent FA (lex in UNIX).**

- For a RE  $r = \emptyset$ , there is an equivalent FA  $M_{\emptyset}$ .

**Proof:**  $M_{\emptyset} :$  

- For a RE  $r = \varepsilon$ , there is an equivalent FA  $M_{\varepsilon}$ .

**Proof:**  $M_{\varepsilon} :$  

- For a RE  $r = a$ ,  $a \in \Sigma$ , there is an equivalent FA  $M_a$ .

**Proof:**  $M_a :$  

## RE to FA: Concatenation 2/5

- Let  $r$  be a RE over  $\Sigma$  and  $M_r = (Q_r, \Sigma, R_r, s_r, \{f_r\})$  be an FA such that  $L(M_r) = L(r)$ .
- Let  $t$  be a RE over  $\Sigma$  and  $M_t = (Q_t, \Sigma, R_t, s_t, \{f_t\})$  be an FA such that  $L(M_t) = L(t)$ .
- Then, for the RE  $r.t$ , there exists an equivalent FA  $M_{r.t}$

**Proof:** Let  $Q_r \cap Q_t = \emptyset$ .

**Construction:**

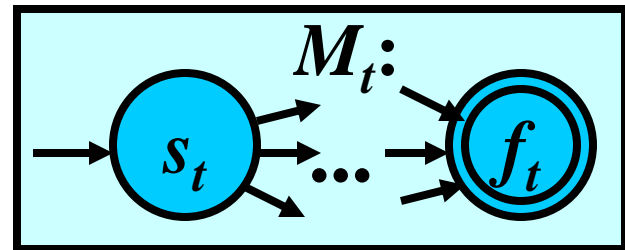
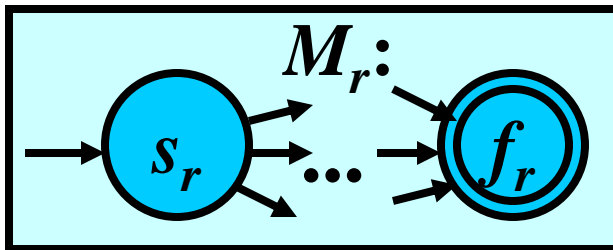
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**Construction:**

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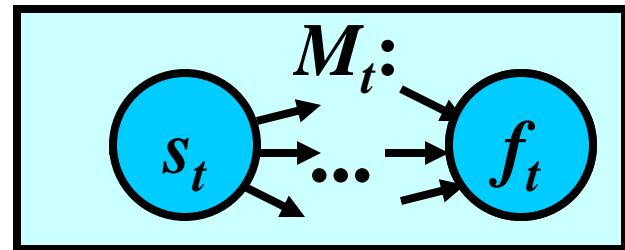
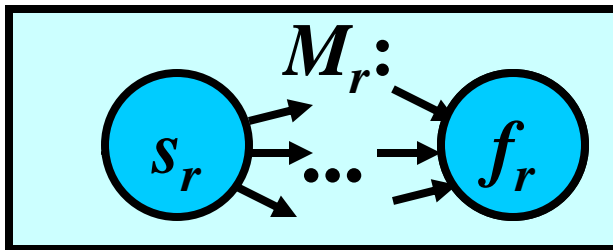
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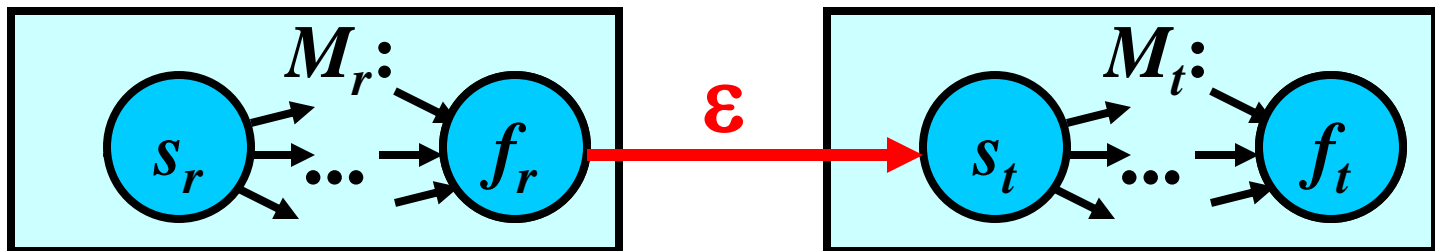
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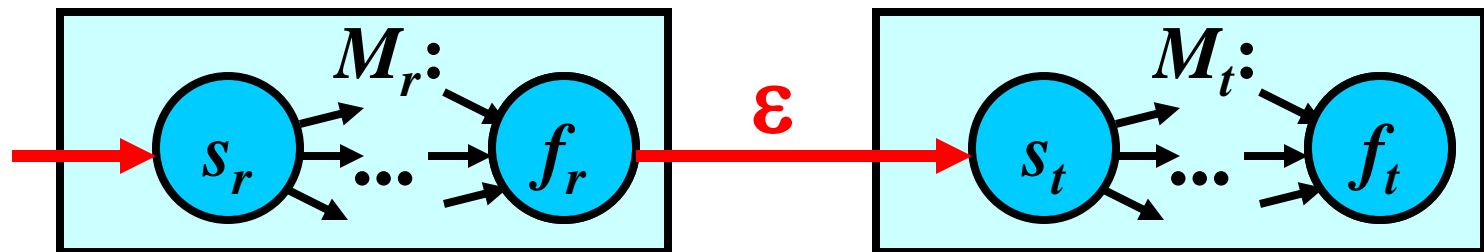
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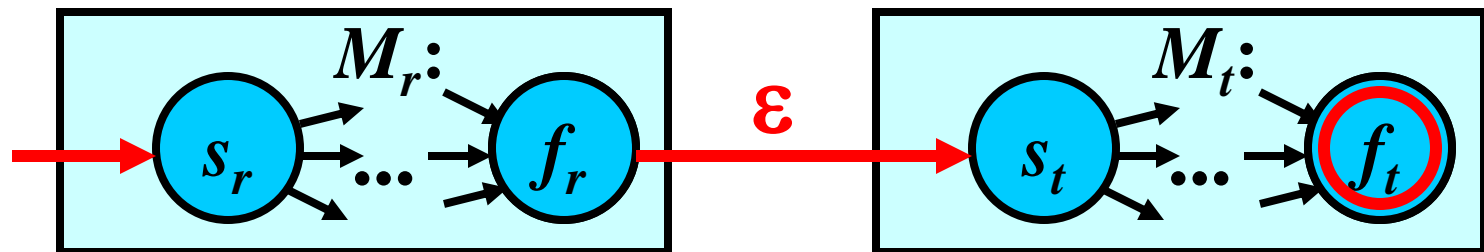
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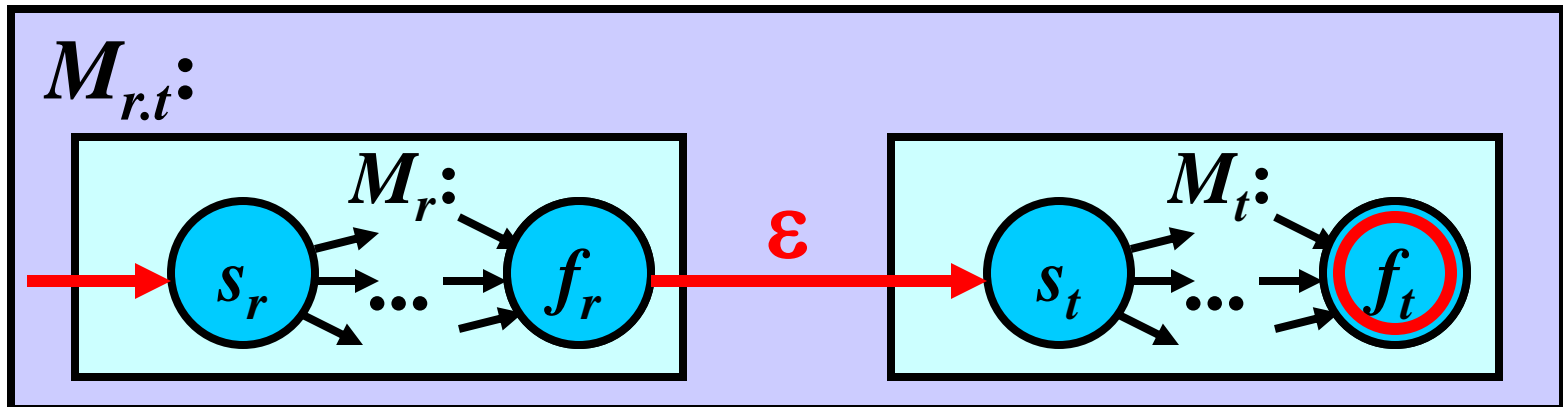
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## RE to FA: Union 3/5

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**Proof:** Let  $Q_r \cap Q_t = \emptyset, s, f \notin Q_r \cup Q_t$ .

**Construction**

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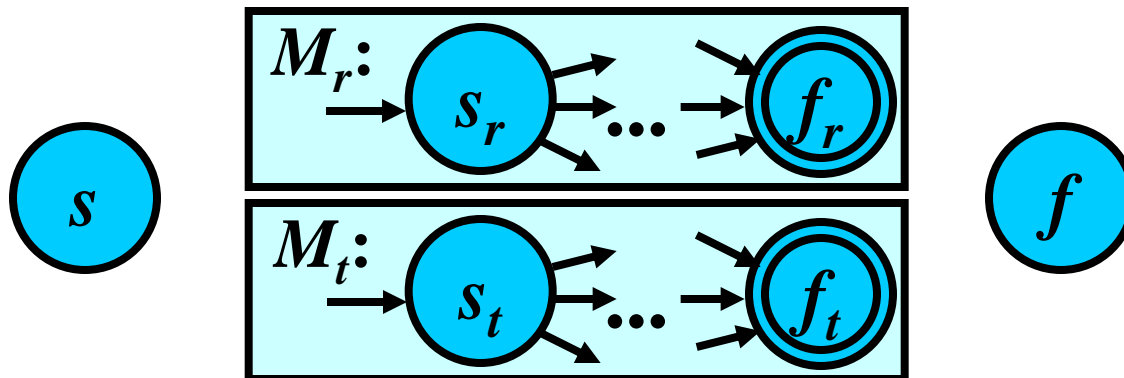
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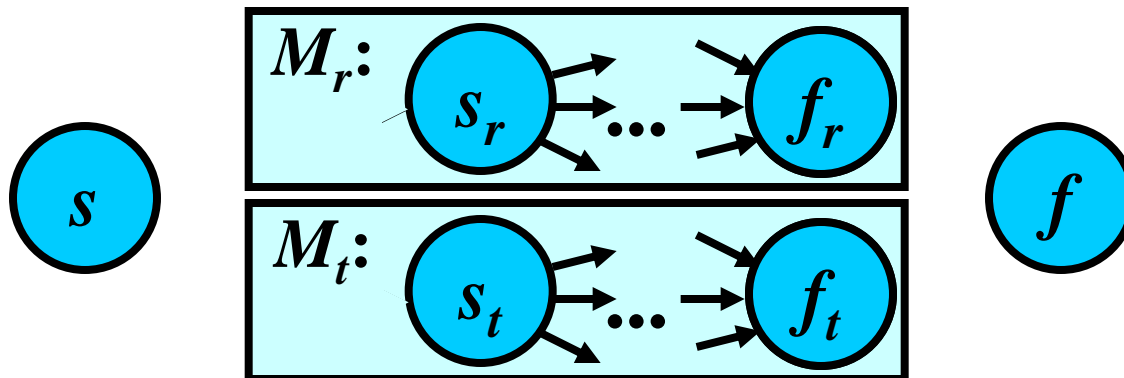
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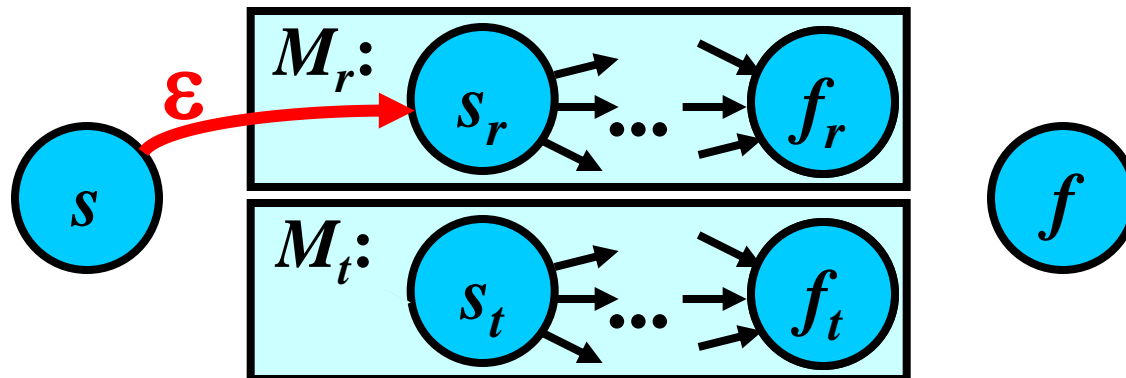
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**Proof:** Let  $Q_r \cap Q_t = \emptyset$ ,  $s, f \notin Q_r \cup Q_t$ .

**Construction**

$M_{r+t} = (Q_r \cup Q_t \cup \{s, f\}, \Sigma, R_r \cup R_t \cup \{s \rightarrow s_r\},$



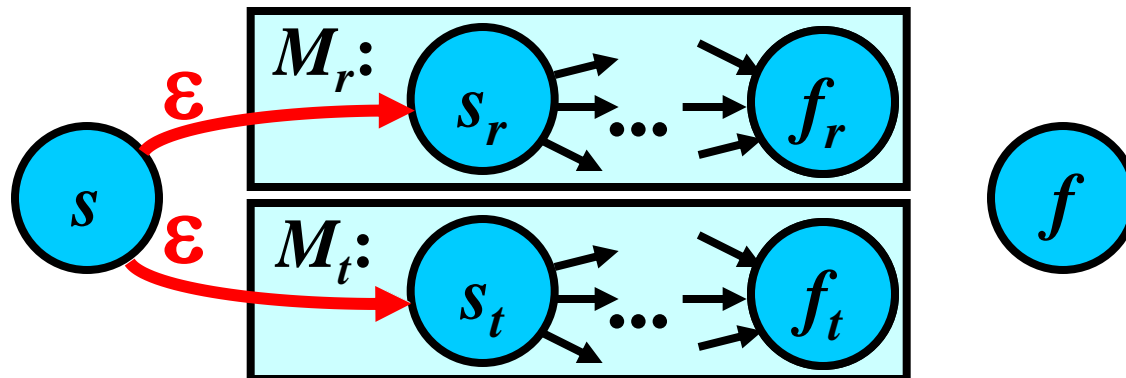
# RE to FA: Union 3/5

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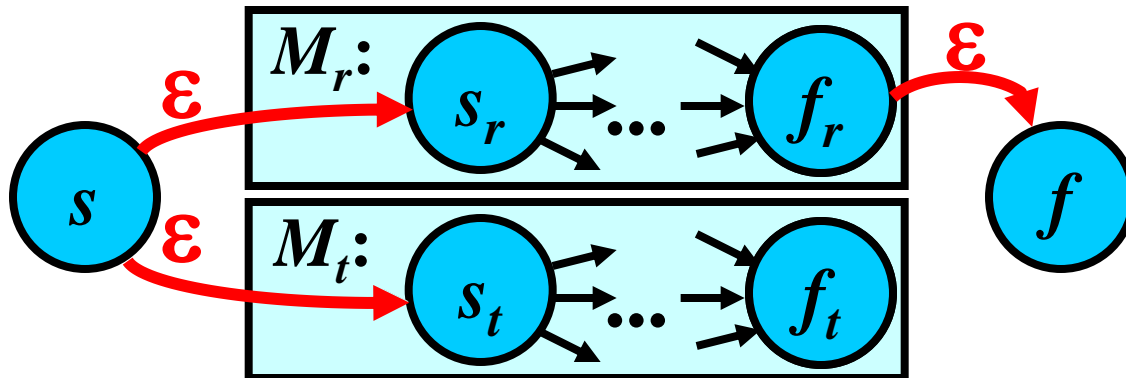
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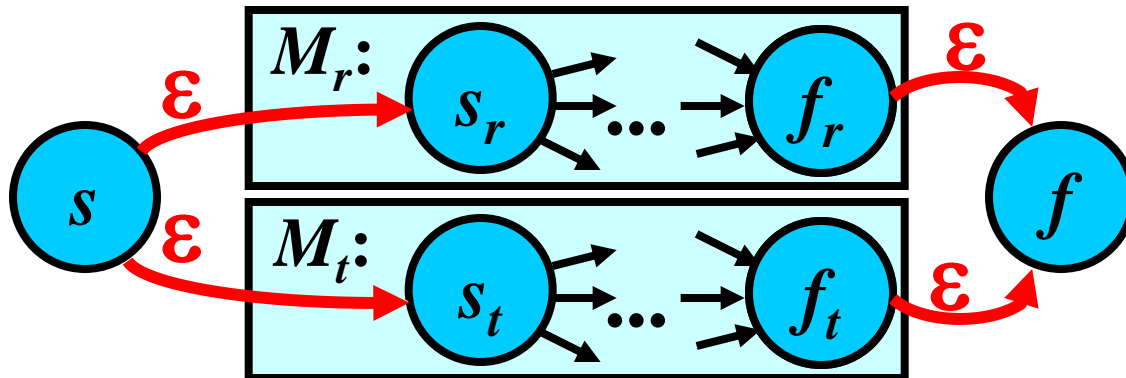
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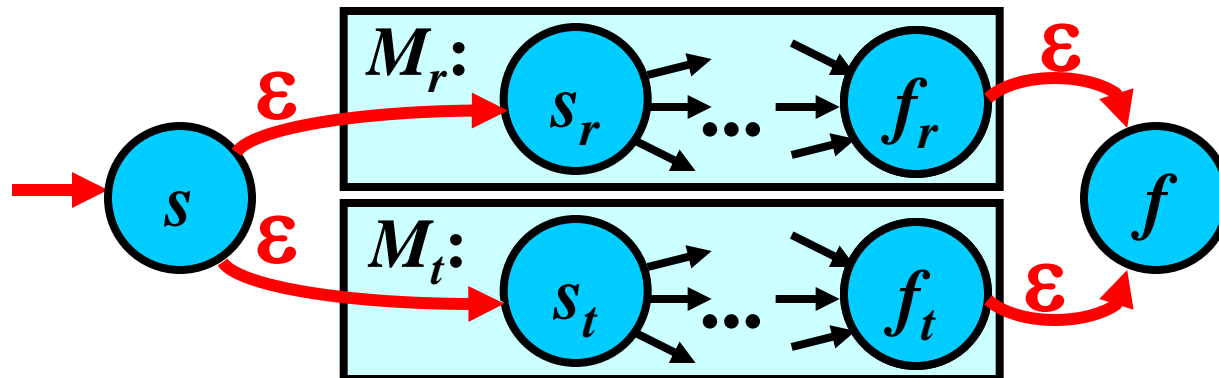
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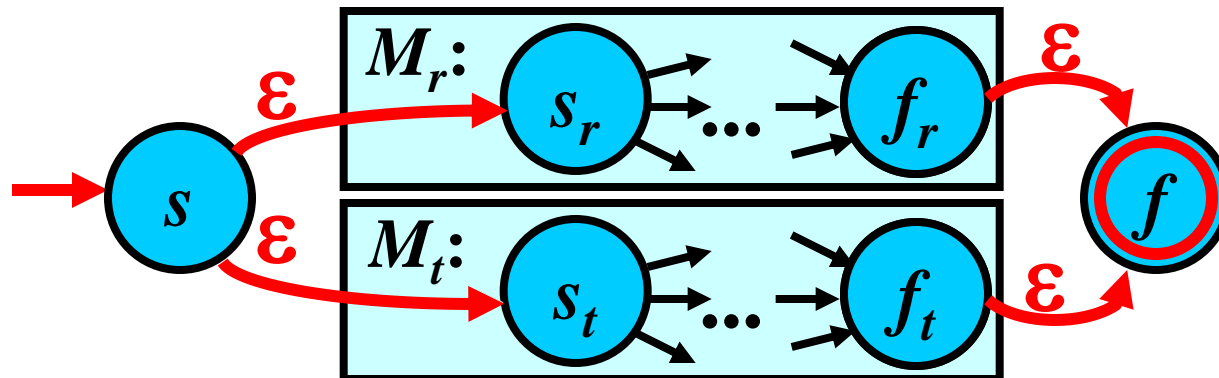
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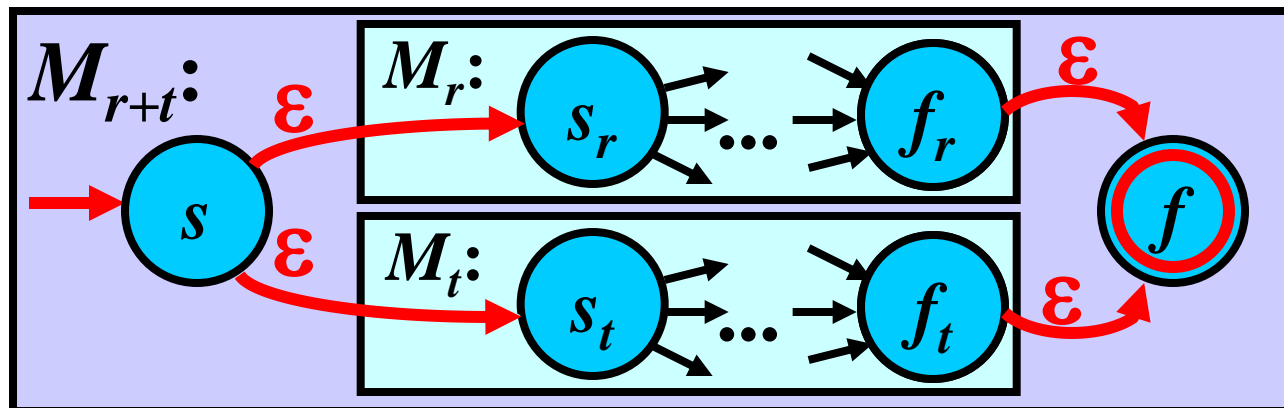
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## RE to FA: Iteration 4/5

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**Proof:** Let  $s, f \notin Q_r$ .

**Construction:**



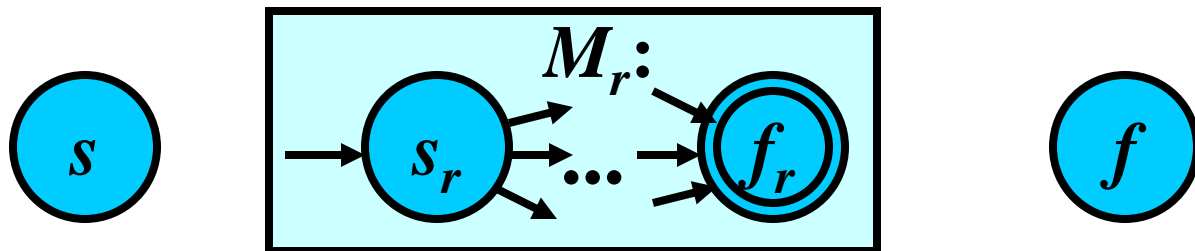
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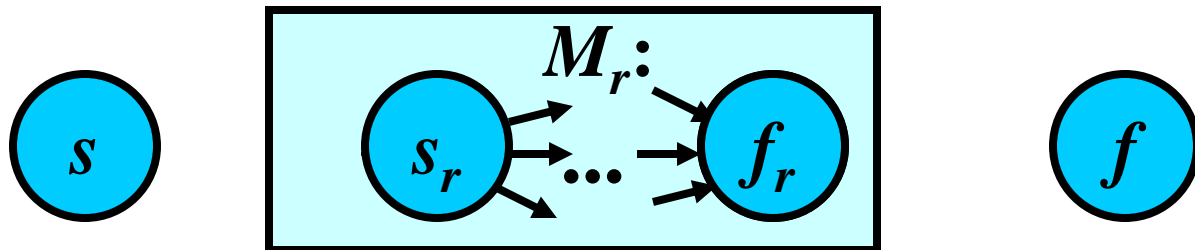
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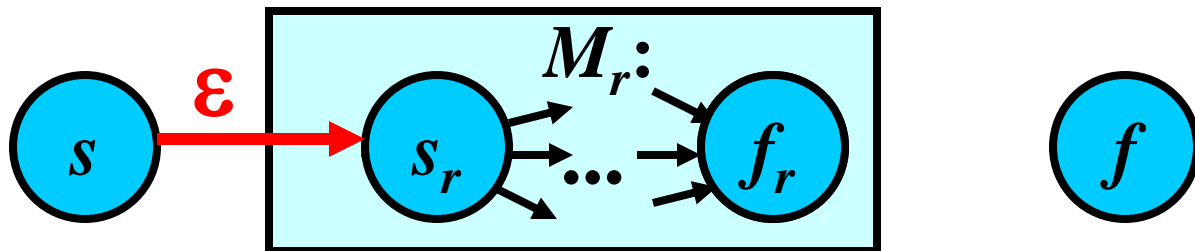
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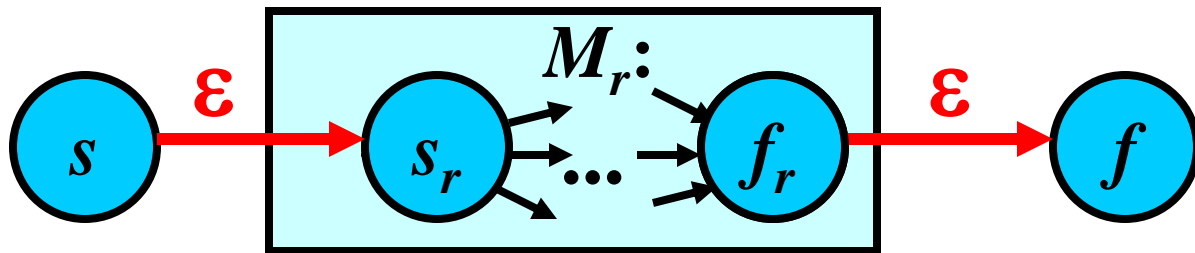
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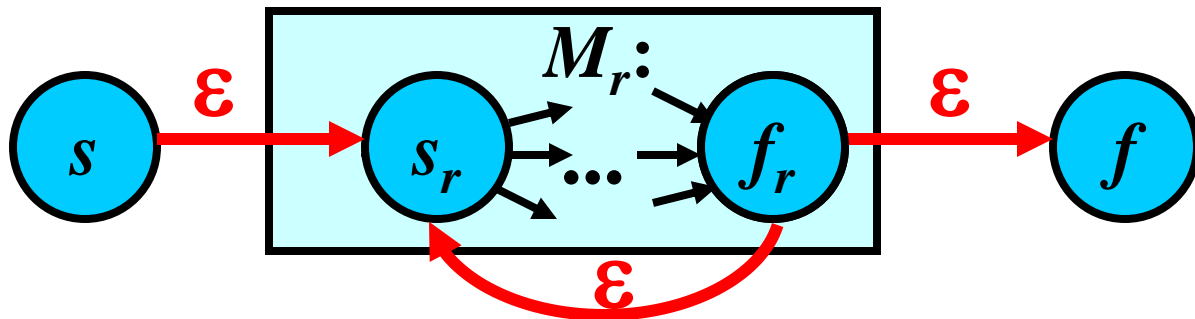
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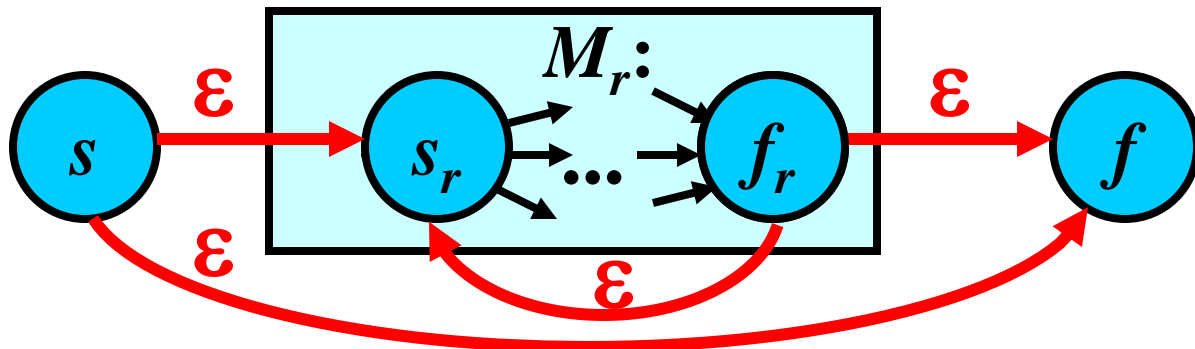
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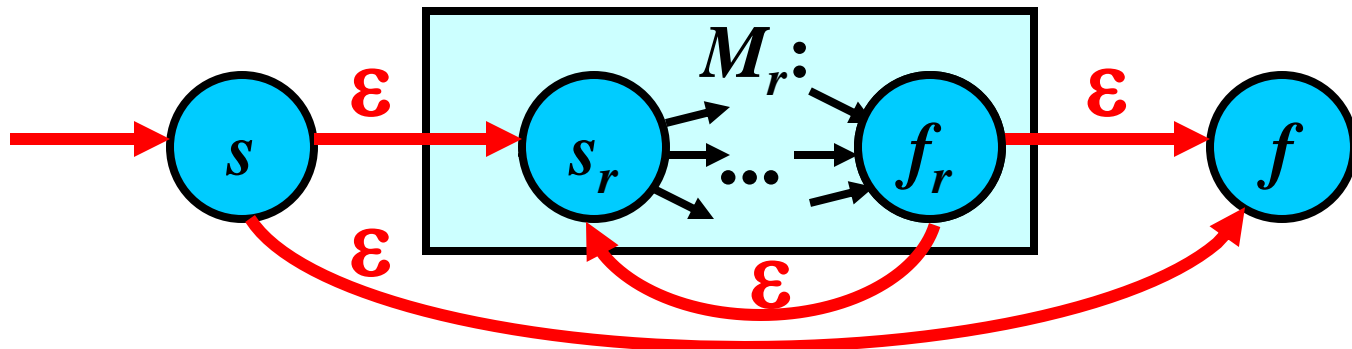
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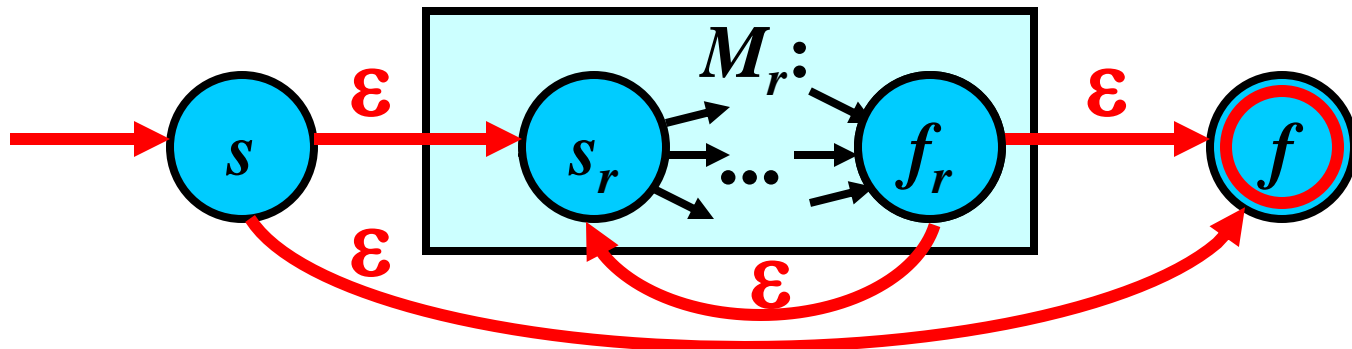
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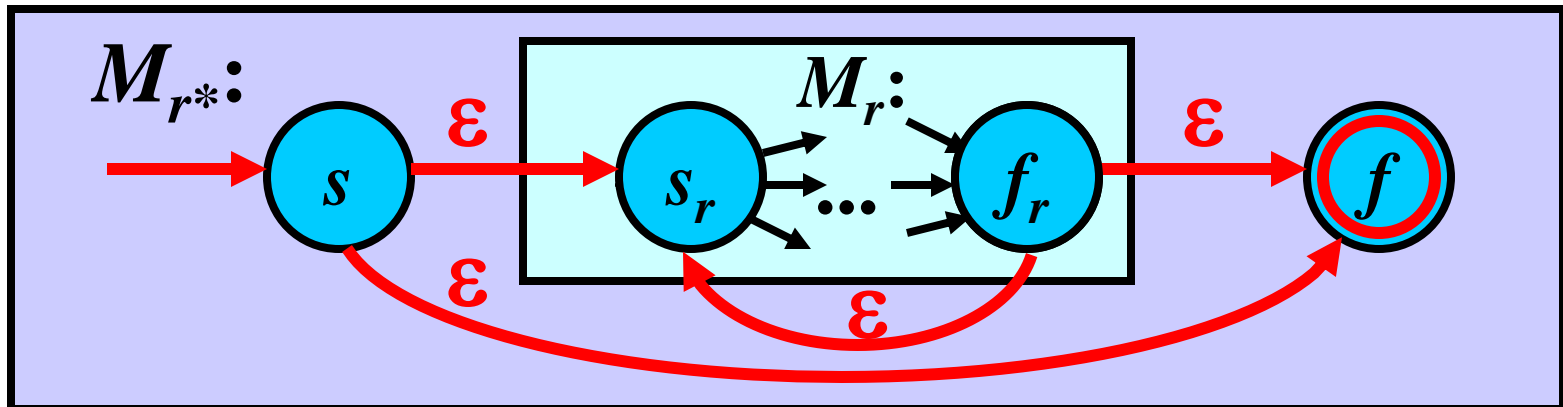
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# RE to FA: Completion 5/5

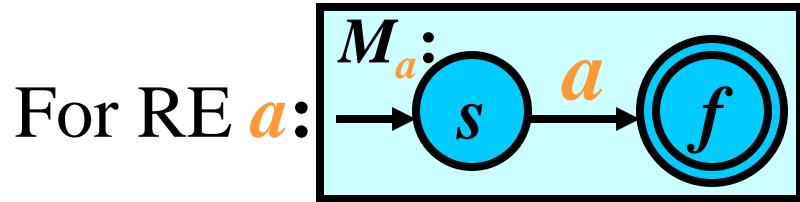
- **Input:** RE  $r$  over  $\Sigma$
  - **Output:** FA  $M$  such that  $L(r) = L(M)$
- 
- **Method:**
  - **From “inside” of  $r$ , repeatedly use the next rules to construct  $M$ :**
    - for RE  $\emptyset$ , construct FA  $M_{\emptyset}$
    - for RE  $\varepsilon$ , construct FA  $M_{\varepsilon}$
    - for RE  $a \in \Sigma$ , construct FA  $M_a$
- }  $\longrightarrow$  (see 1/5)
- **let** for REs  $r$  and  $t$ , there already exist FAs  $M_r$  and  $M_t$ , respectively; **then,**
    - for RE  $r.t$ , construct FA  $M_{r.t}$  (see 2/5)
    - for RE  $r + t$ , construct FA  $M_{r+t}$  (see 3/5)
    - for RE  $r^*$  construct FA  $M_{r^*}$  (see 4/5)

# RE to FA: Example 1/3

Transform RE  $r = ((ab) + (cd))^*$  to an equivalent FA  $M$

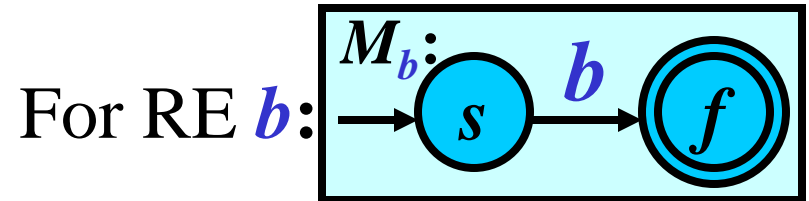
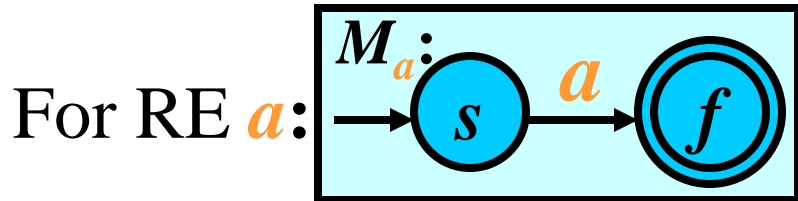
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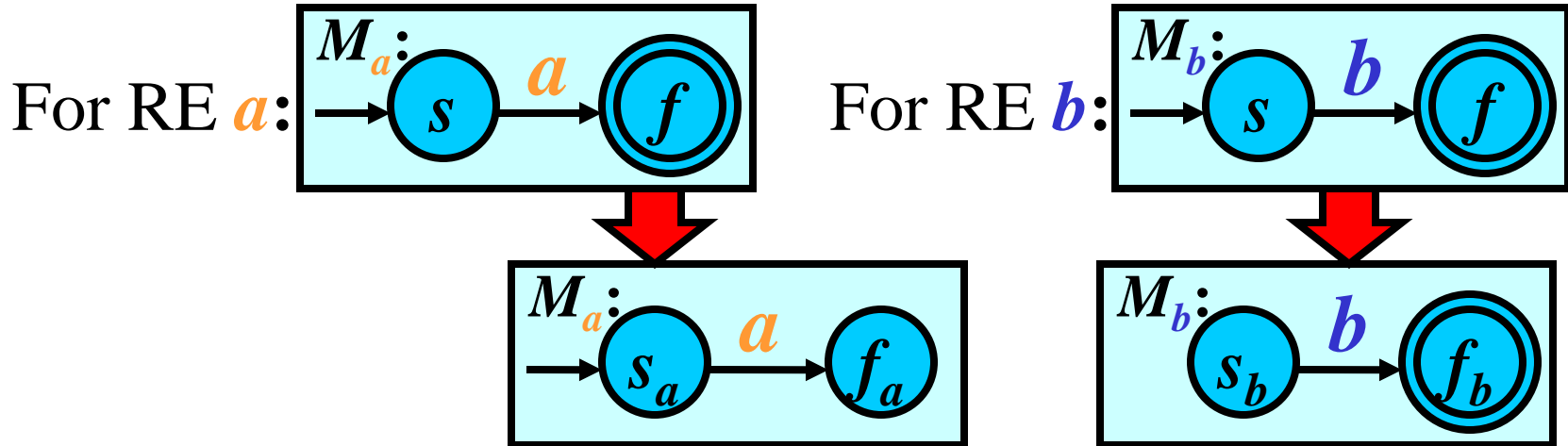
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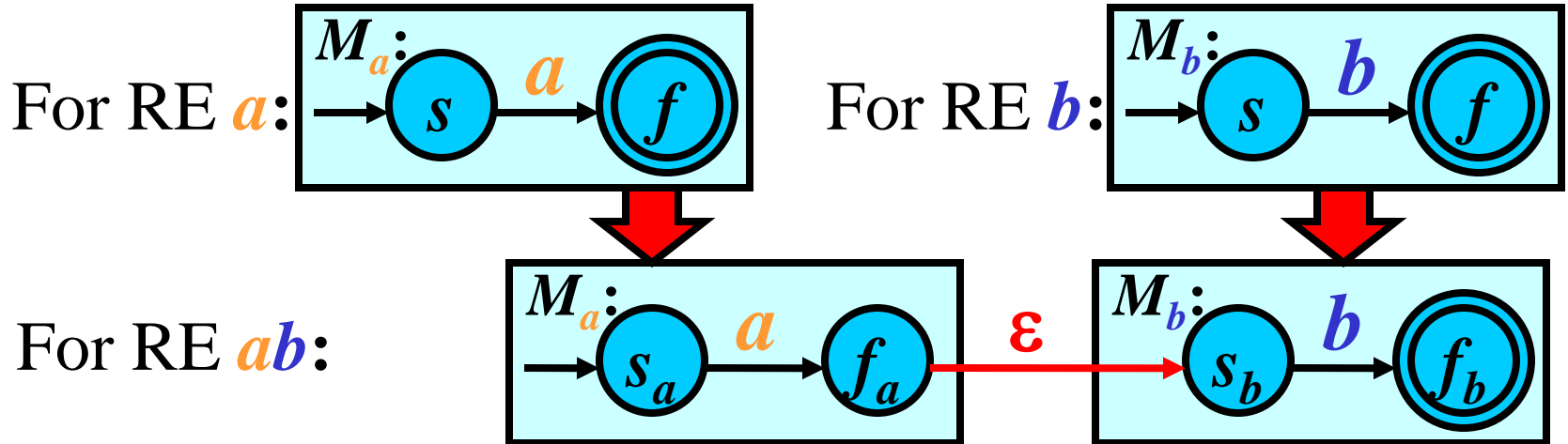
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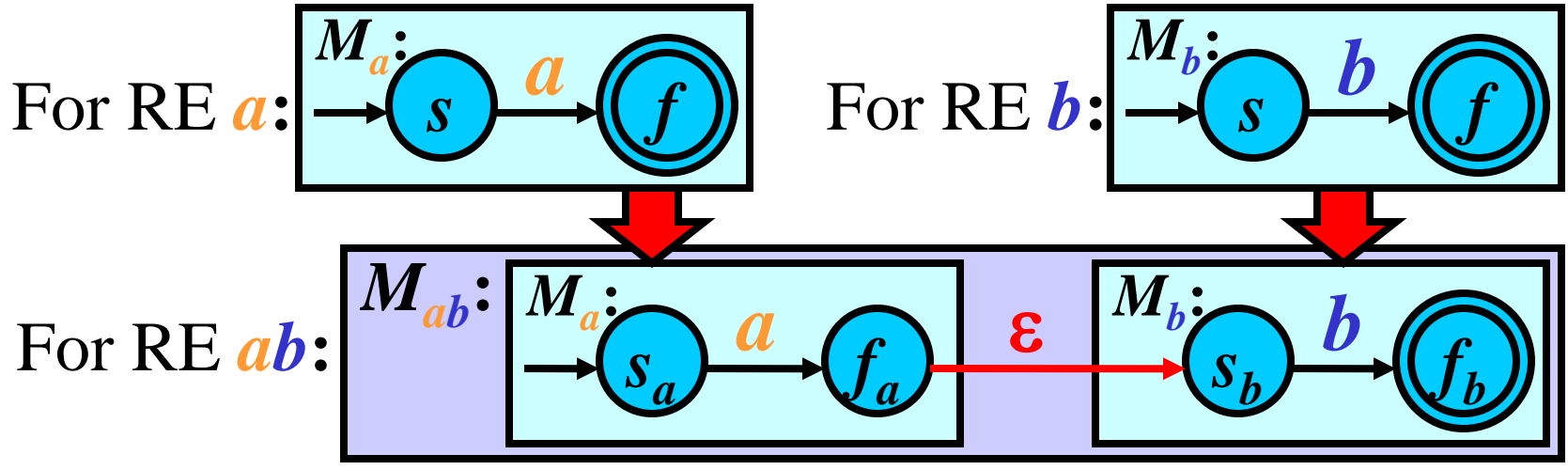
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# RE to FA: Example 1/3

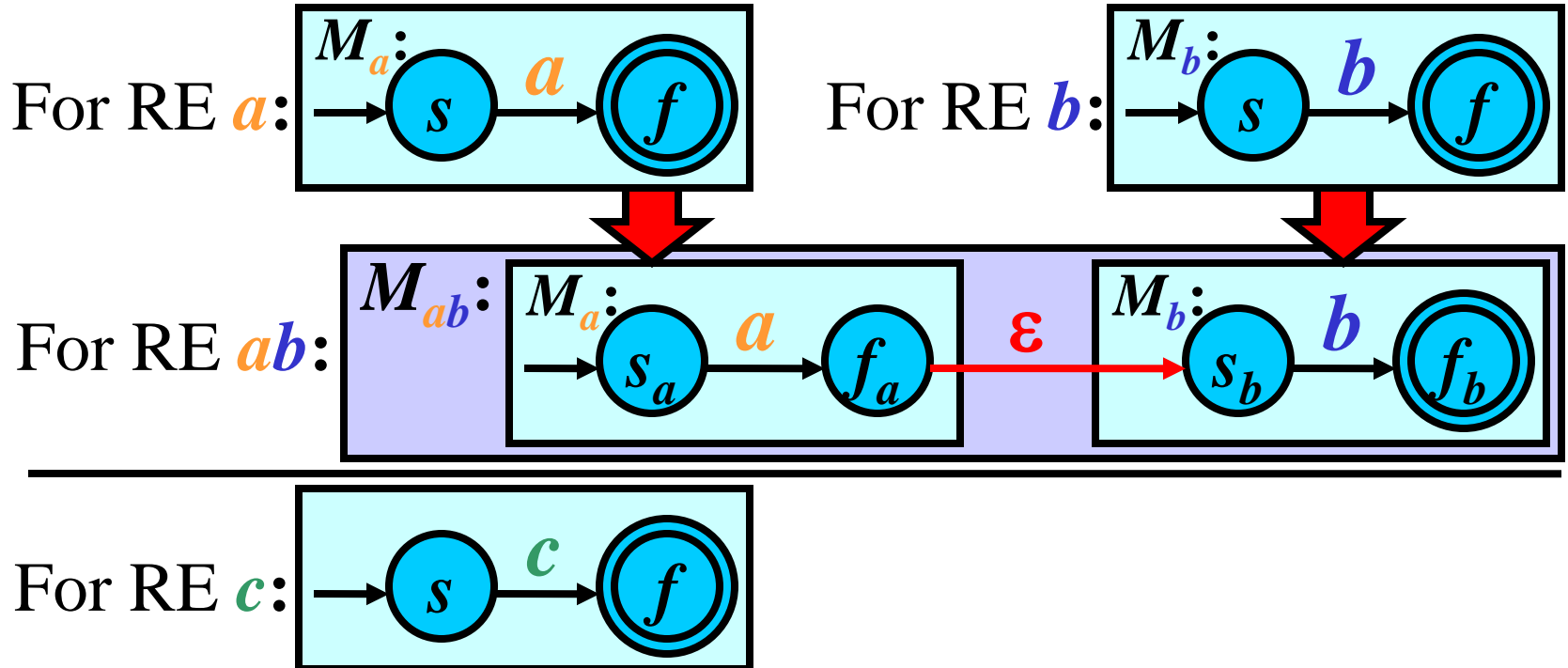
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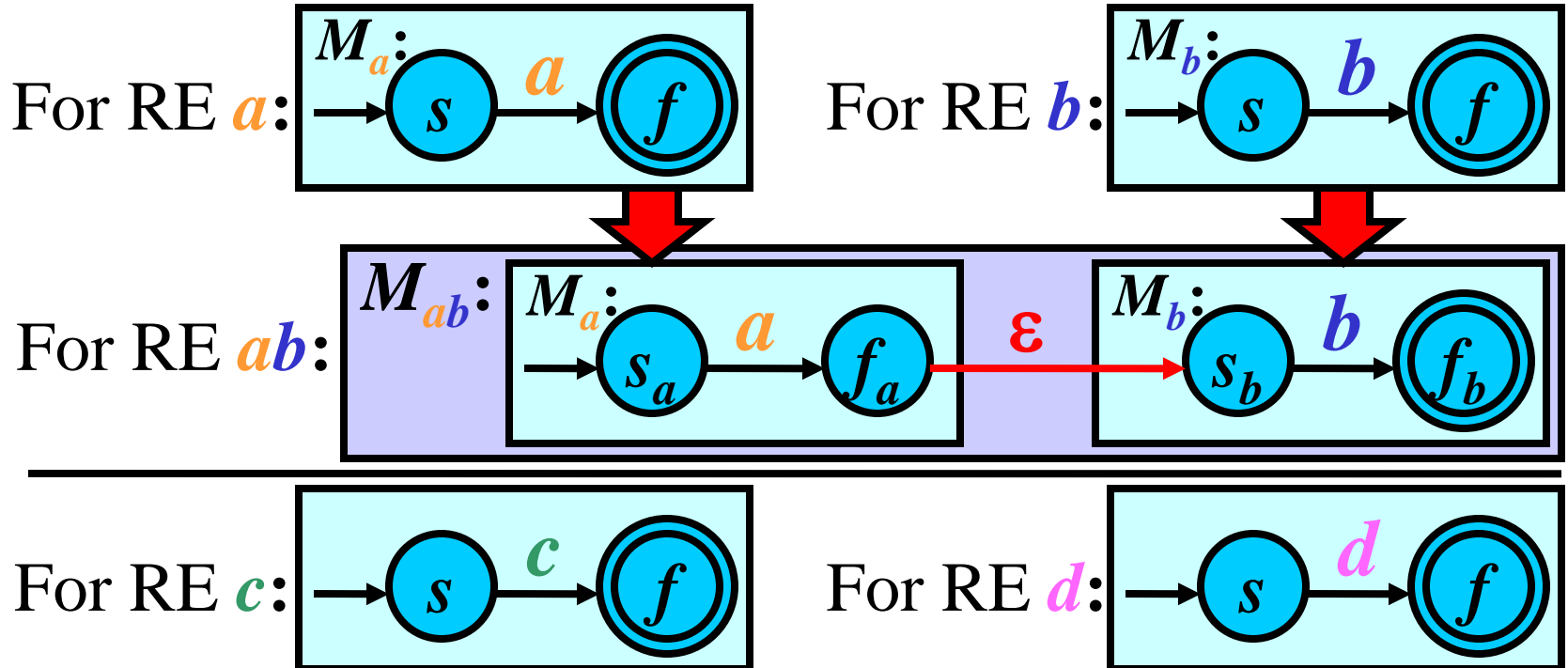
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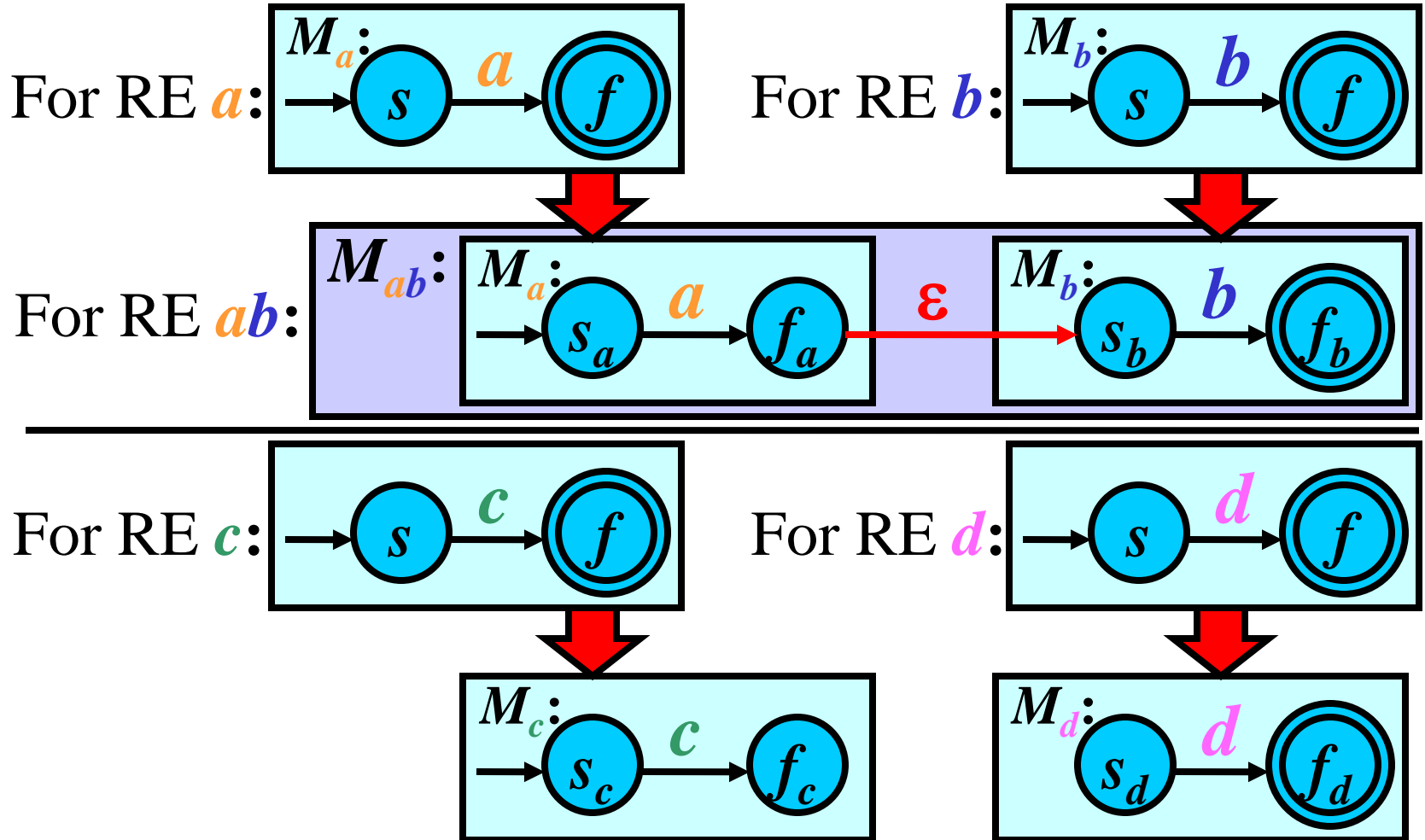
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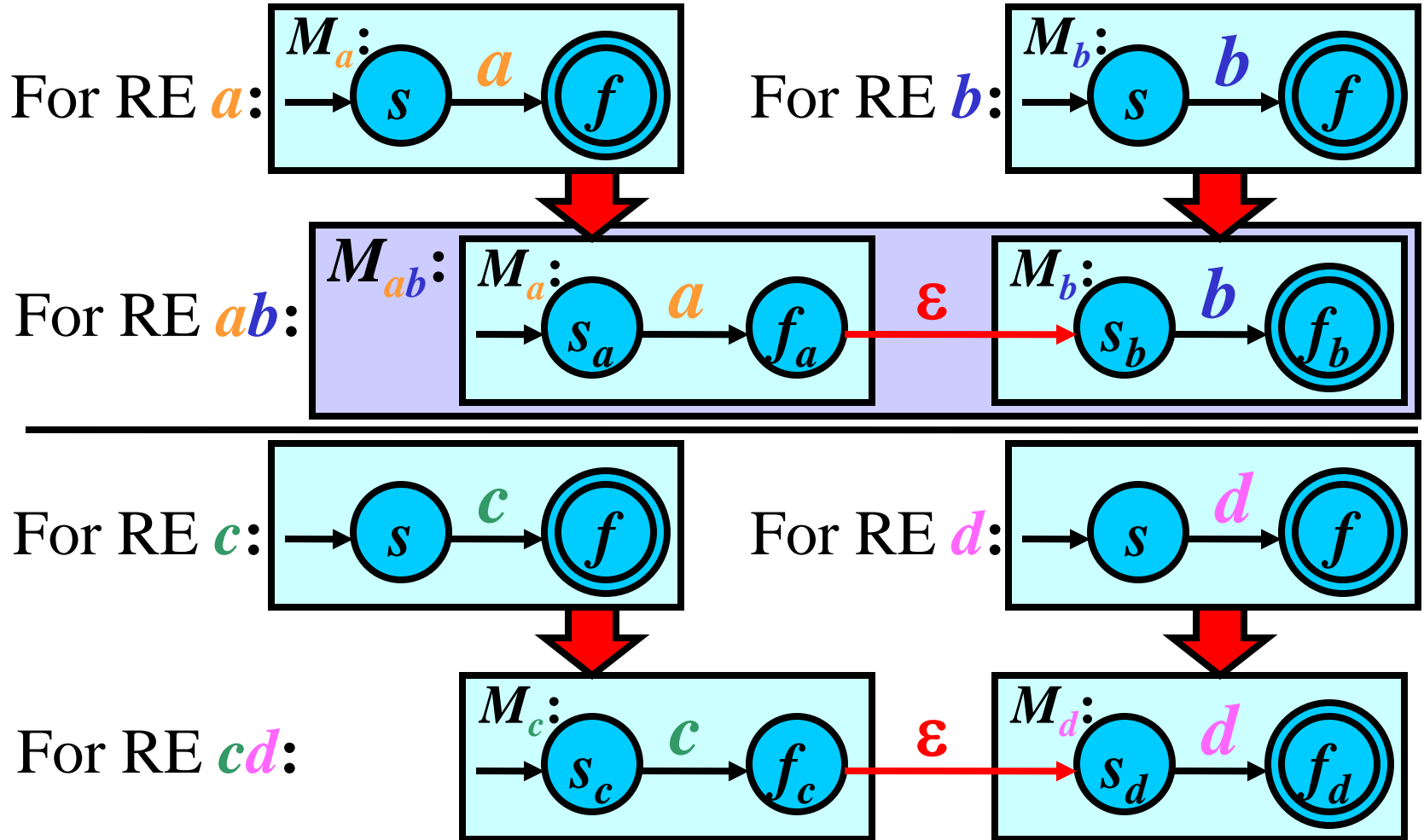
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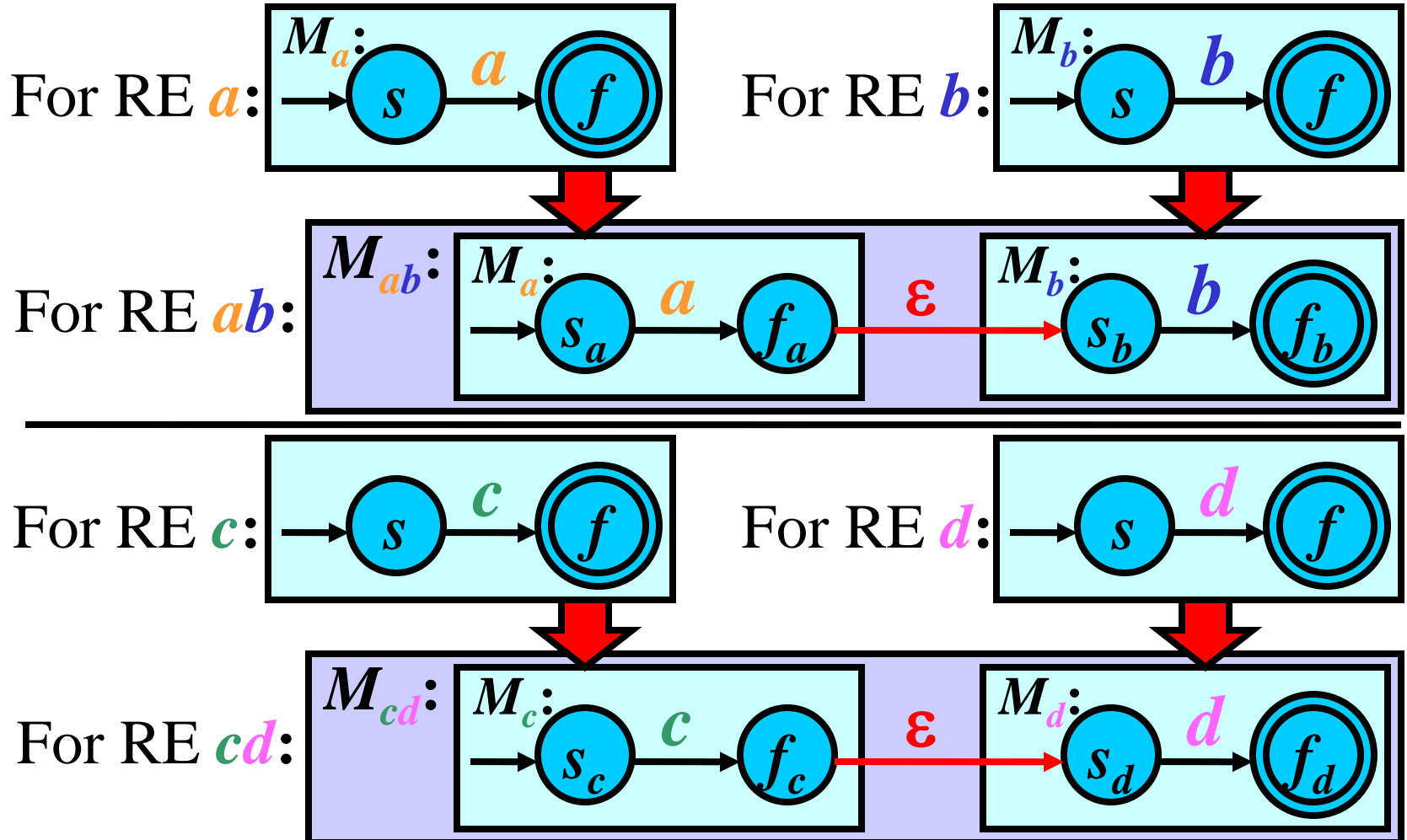
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# RE to FA: Example 1/3

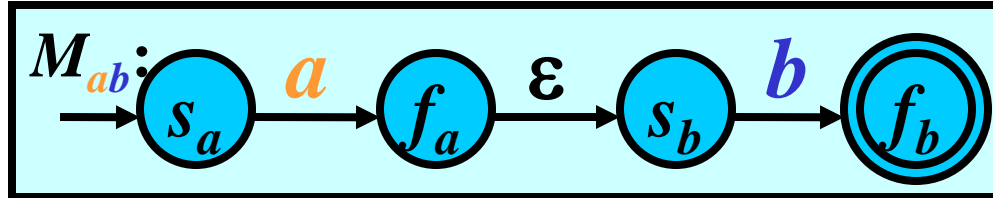
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# RE to FA: Example 2/3

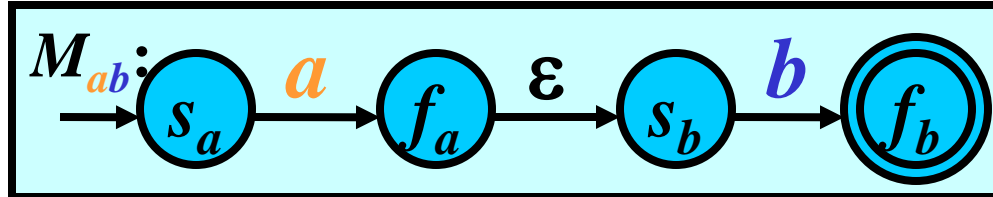
# RE to FA: Example 2/3

For RE  $ab$ :

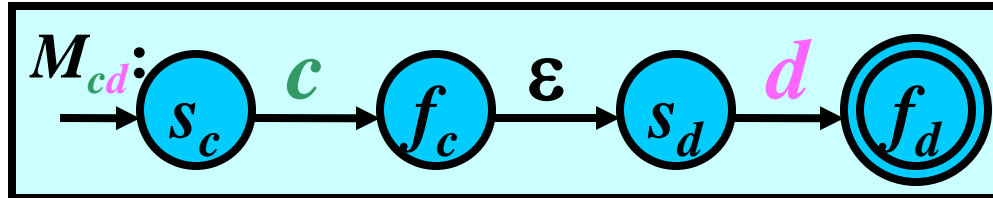


# RE to FA: Example 2/3

For RE  $ab$ :



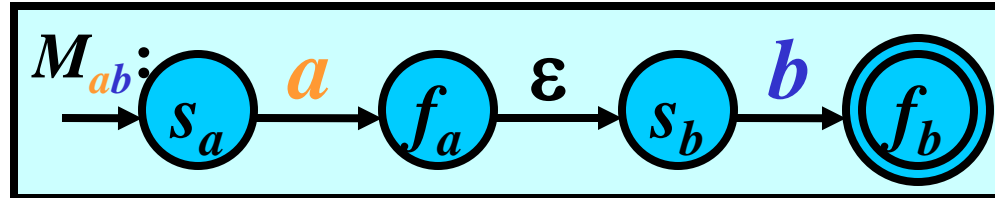
For RE  $cd$ :



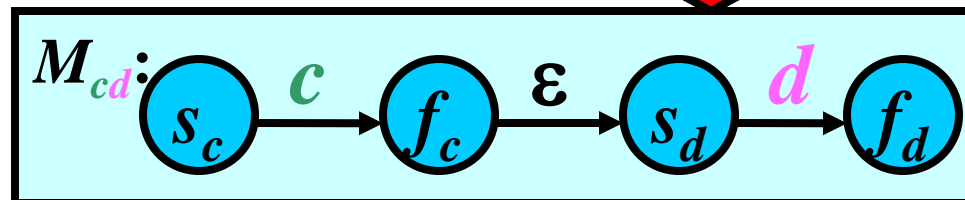
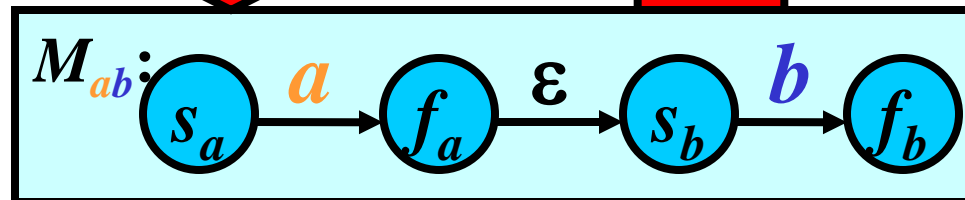
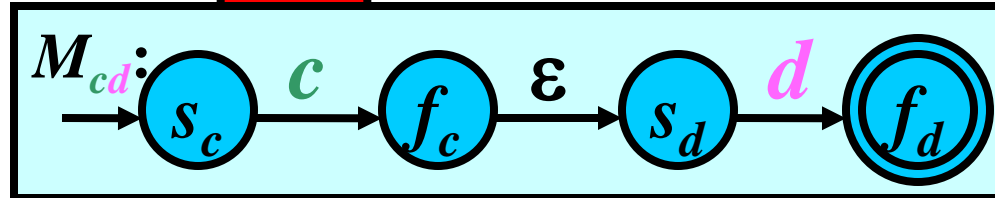


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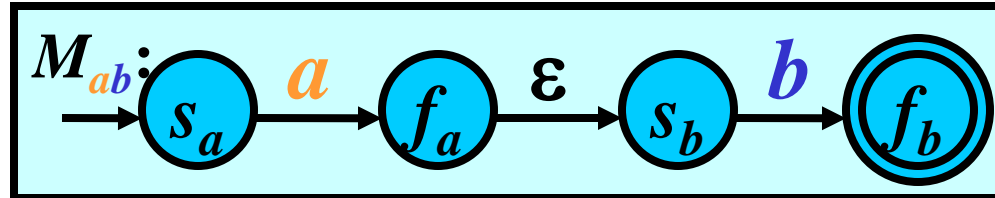


For RE  $cd$ :

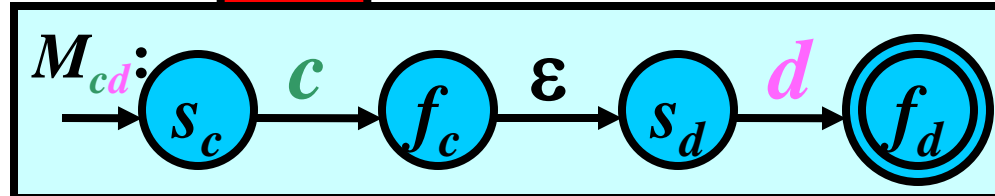


# RE to FA: Example 2/3

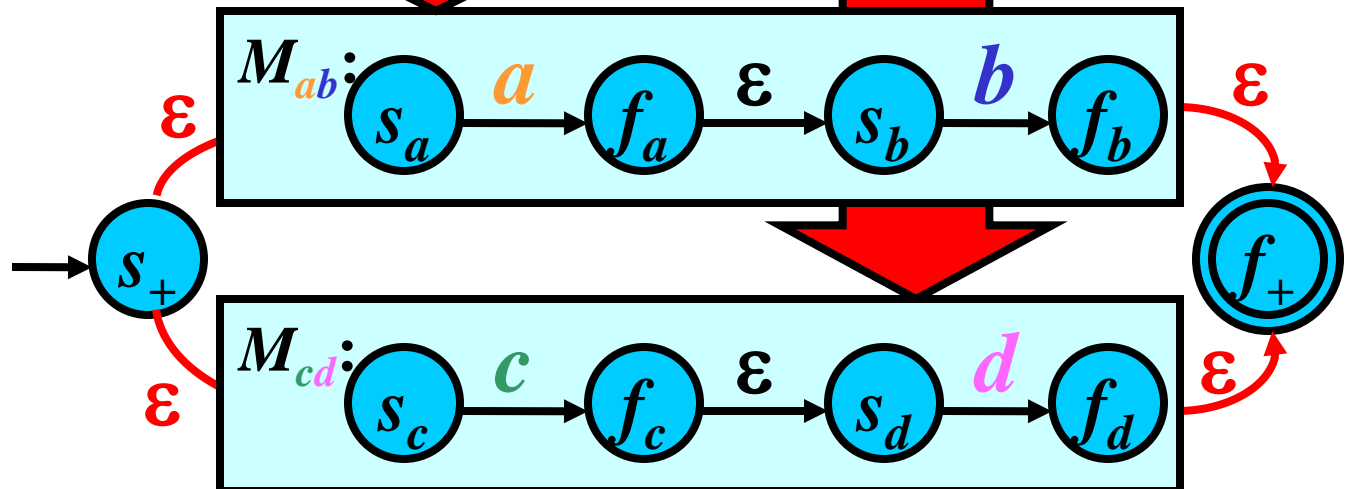
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For RE  $cd$ :

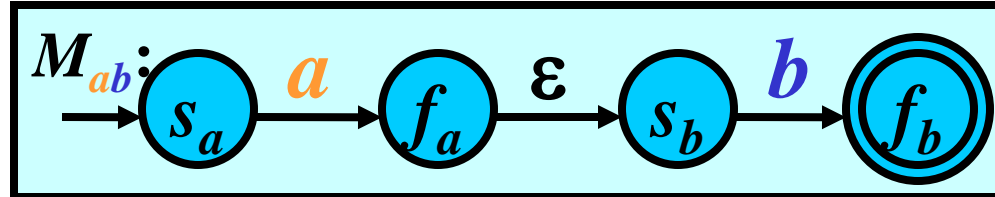


For RE  
 $ab + cd$ :

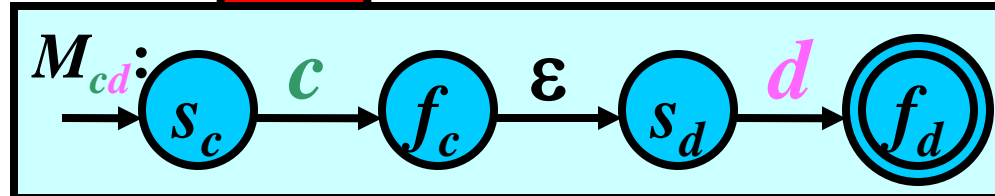


# RE to FA: Example 2/3

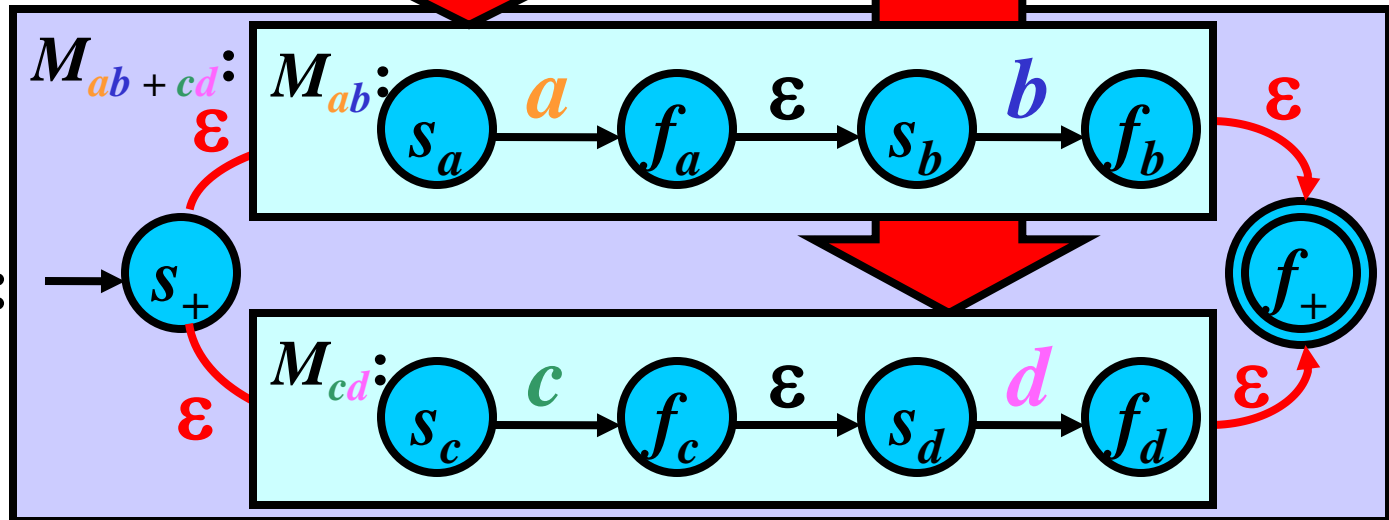
For RE  $ab$ :



For RE  $cd$ :



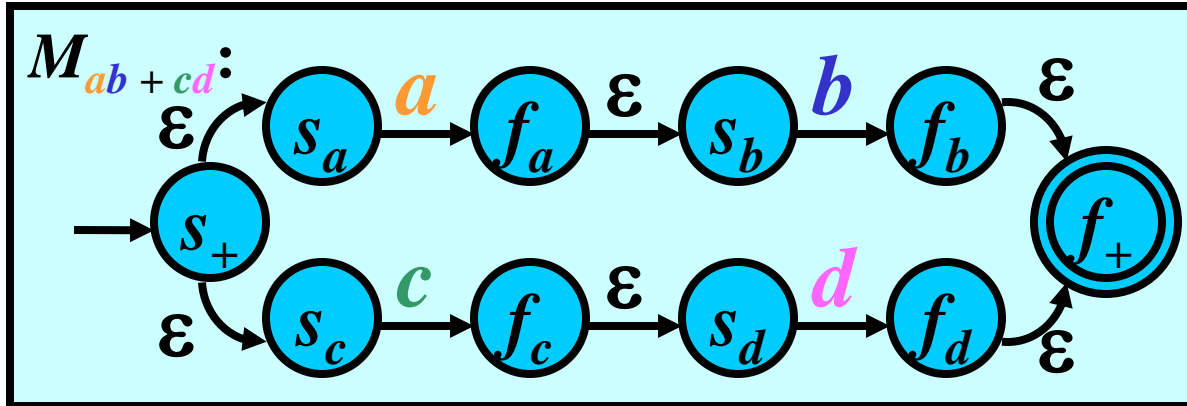
For RE  
 $ab + cd$ :



# RE to FA: Example 3/3

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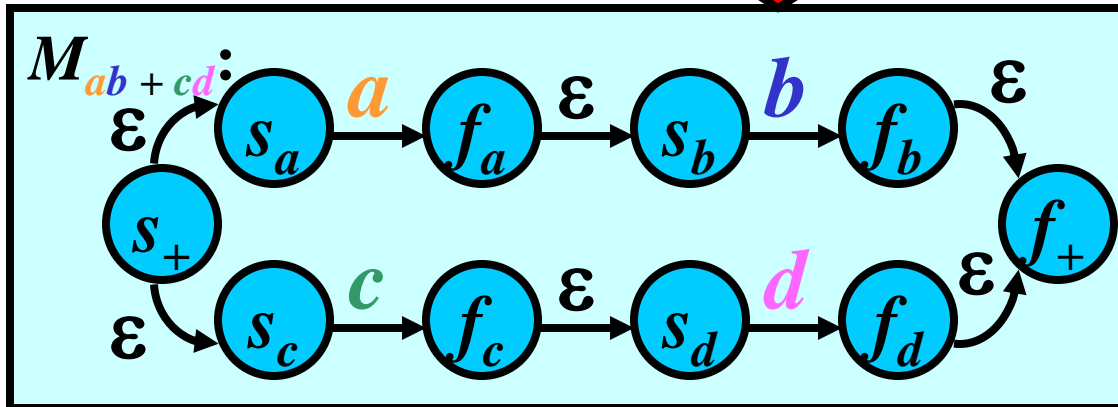
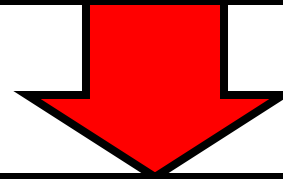
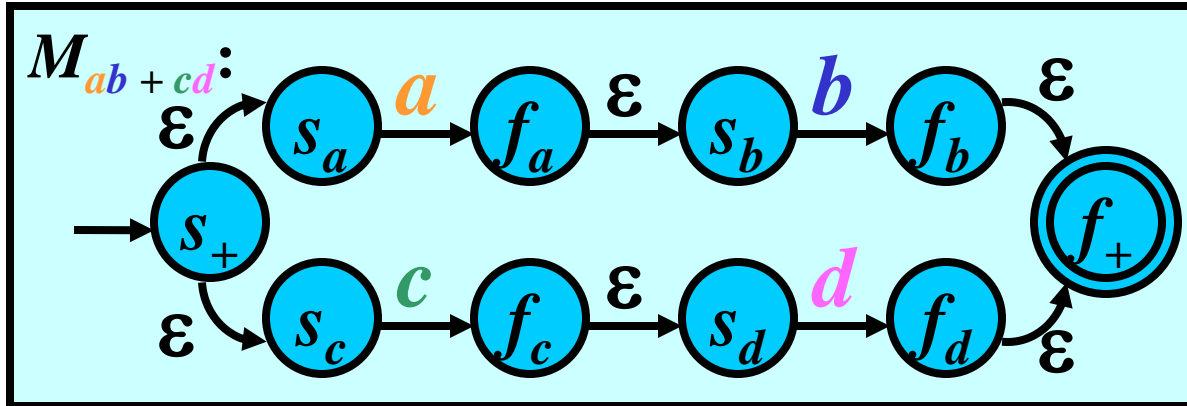
For RE  
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# RE to FA: Example 3/3

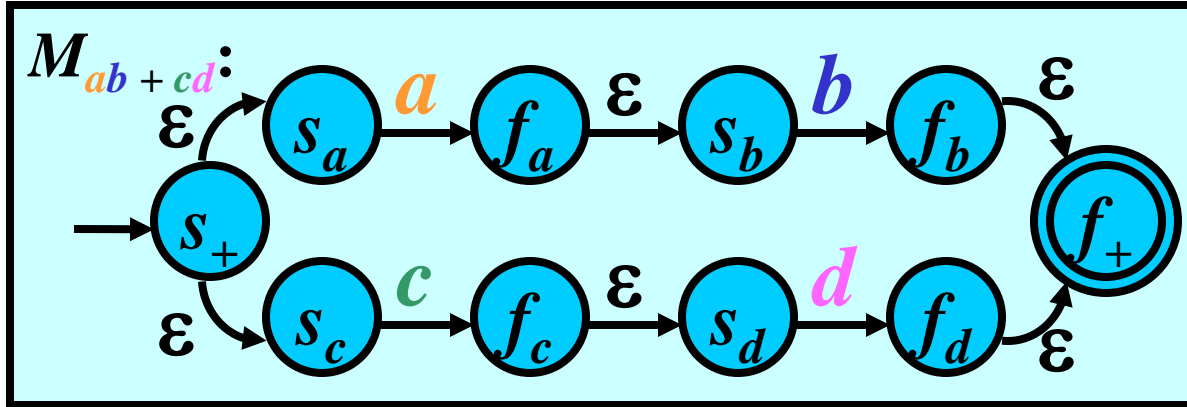
For RE

$ab + cd$ :

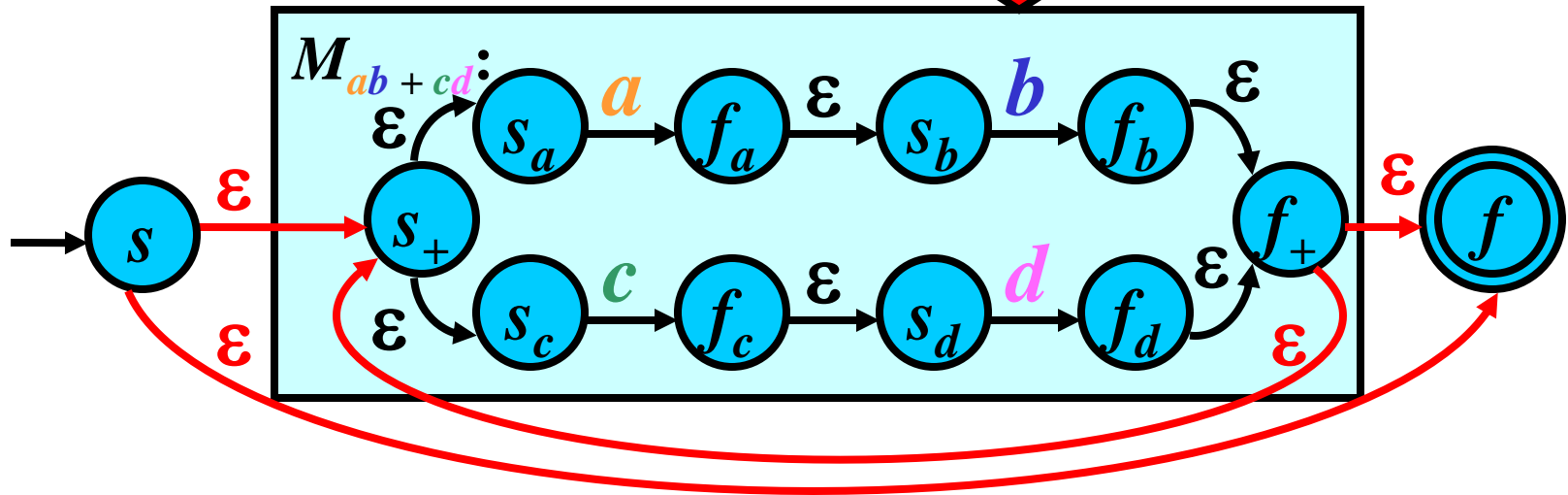


# RE to FA: Example 3/3

For RE  
 $ab + cd$ :

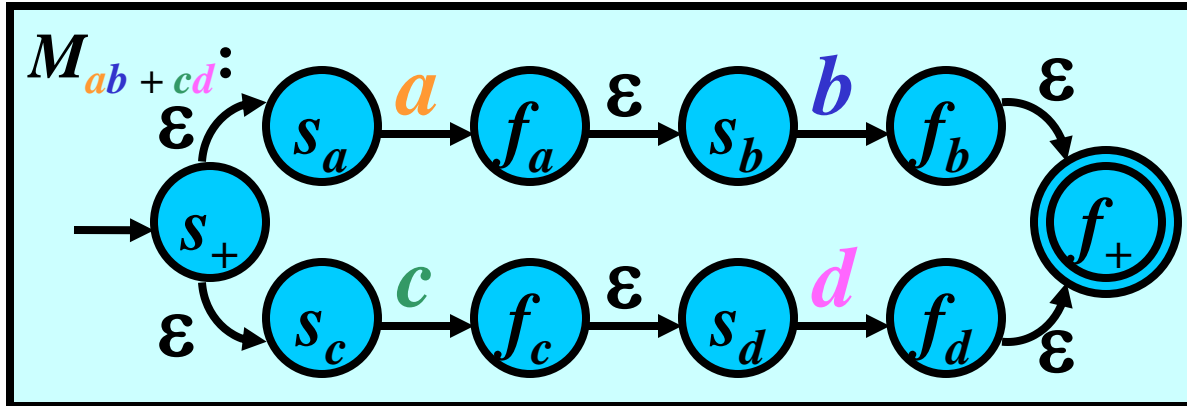


For a final RE  $(ab + cd)^*$ :

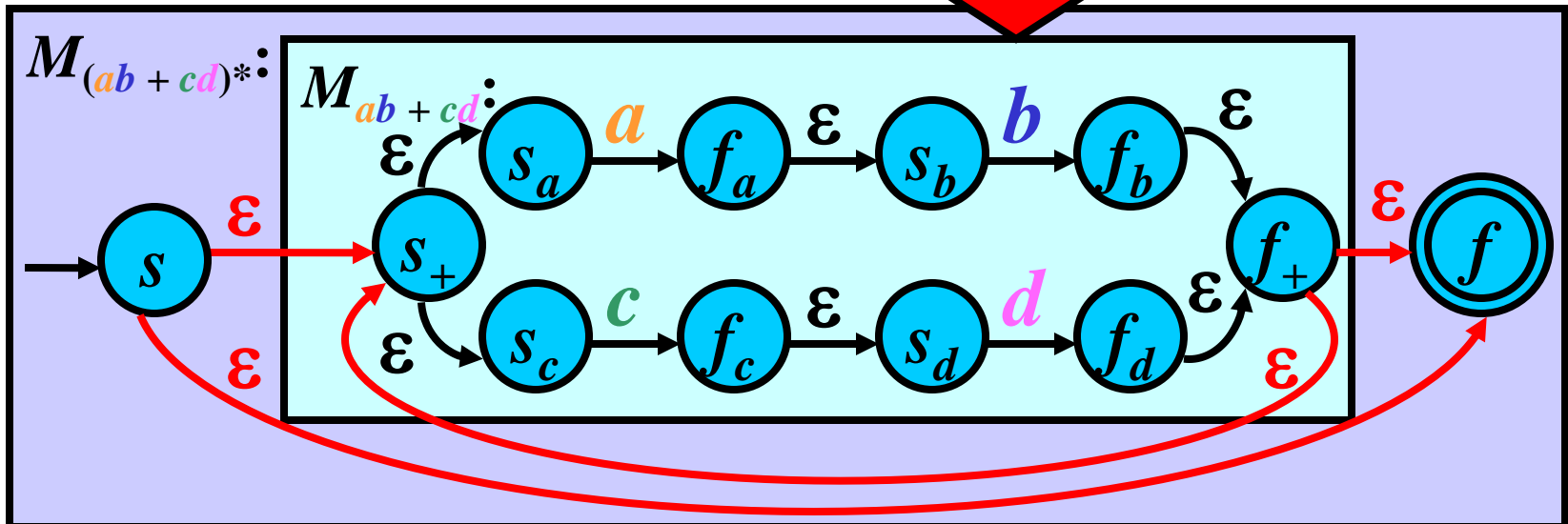


# RE to FA: Example 3/3

For RE  
 $ab + cd$ :



For a final RE  $(ab + cd)^*$ :





# Models for Regular Languages

**Theorem:** For every RE  $r$ , there is an FA  $M$  such that  $L(r) = L(M)$ .

**Proof** is based on the previous algorithm.

**Theorem:** For every FA  $M$ , there is an RE  $r$  such that  $L(M) = L(r)$ .

**Proof:** See page 210 in [Meduna: Automata and Languages]

**Conclusion:** The fundamental models for regular languages are

- 1) **Regular expressions**
- 2) **Finite Automata**