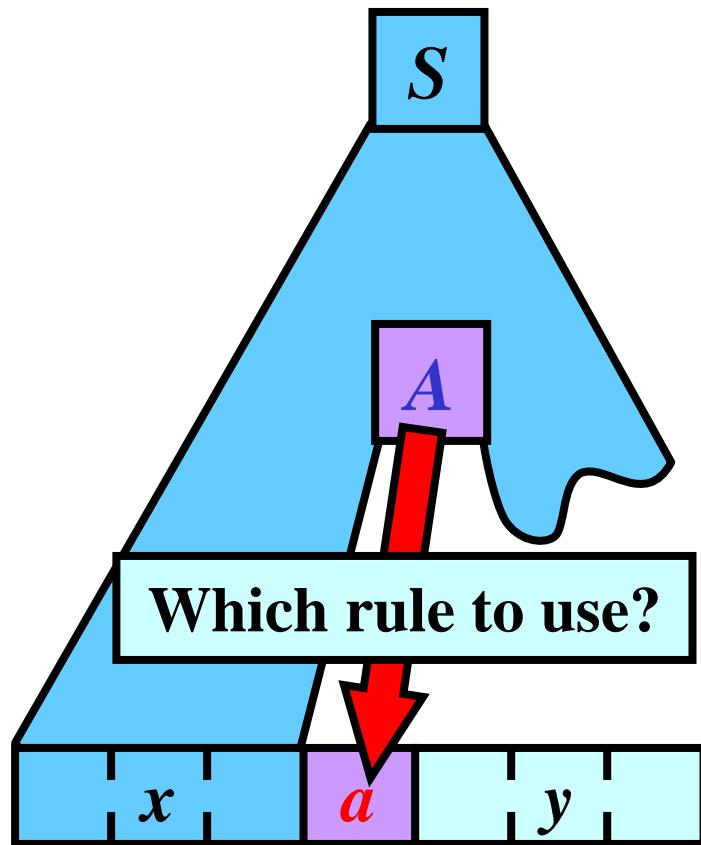


Part VIII.

Top-Down Parsing

Top-Down Parsing: Introduction

Problem:



Basic idea:

Table:

α	...	a	...
...			
A		$\alpha(A, a)$	
...			

Use rule $r: A \rightarrow x$

Question: Could you construct this table for **any** CFG?

Answer: NO

A Table-Based Selection of a Rule

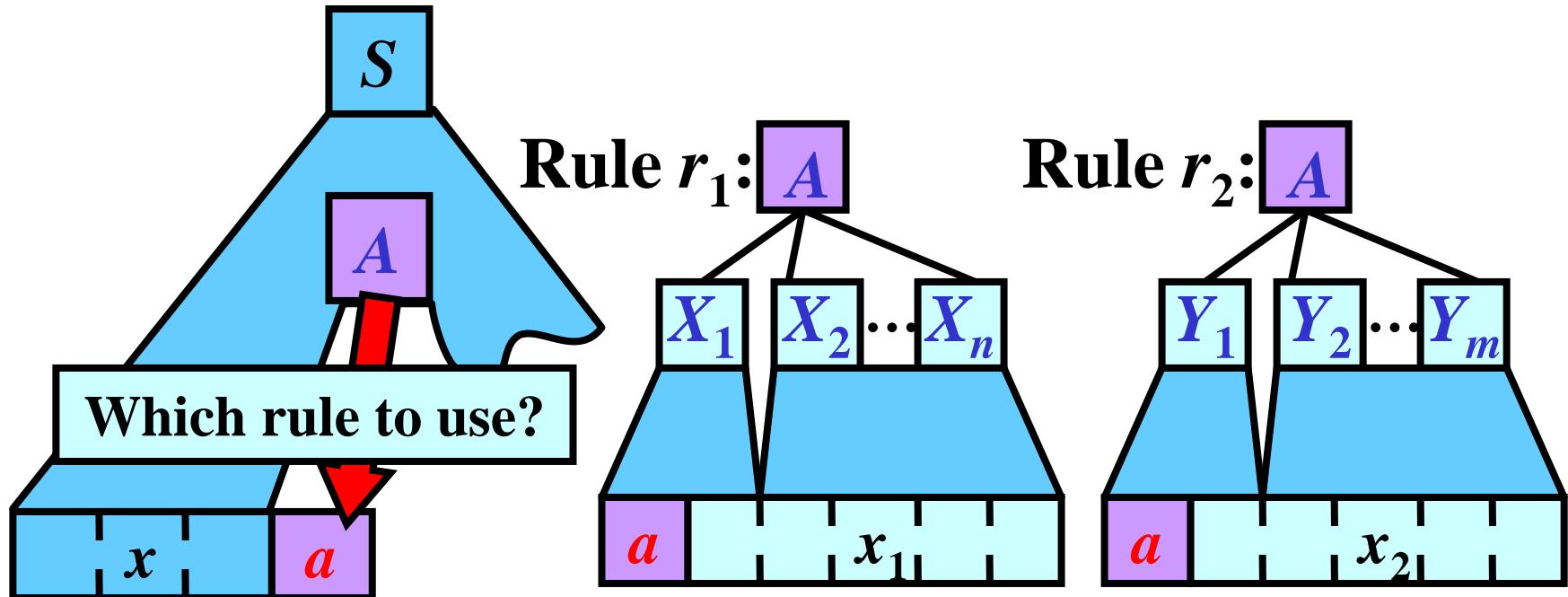


Table:

α	...	a	...
...			
A		$\alpha(A, a)$	
...			

Use rule $r_1: A \rightarrow X_1X_2\dots X_n$

?

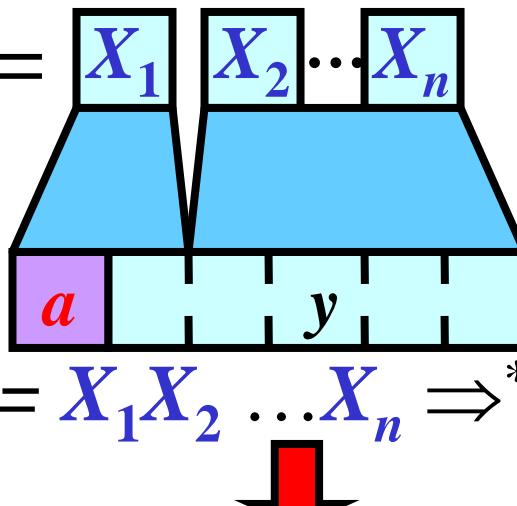
Use rule $r_2: A \rightarrow Y_1Y_2\dots Y_m$

Set *First*

Gist: $\text{First}(x)$ is the set of all terminals that can begin a sentential form derivable from x .

Definition: Let $G = (N, T, P, S)$ be a CFG. For every $x \in (N \cup T)^*$, we define the set $\text{First}(x)$ as $\text{First}(x) = \{a : a \in T, x \Rightarrow^* ay; y \in (N \cup T)^*\}$.

Illustration: $x = X_1 X_2 \dots X_n$



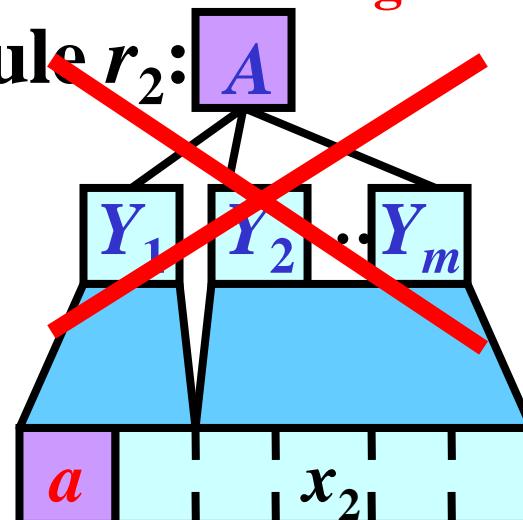
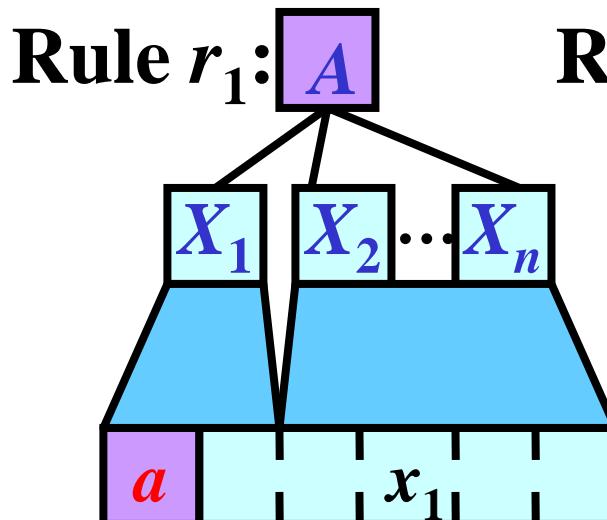
$$x = X_1 X_2 \dots X_n \Rightarrow^* a y$$

$a \in \text{First}(x)$

LL Grammars without ϵ -rules

Definition: Let $G = (N, T, P, S)$ be a CFG without ϵ -rules. G is an *LL grammar* if for every $a \in T$ and every $A \in N$ there is **no more than one** rule $A \rightarrow X_1X_2\dots X_n \in P$ such that $a \in \text{First}(X_1X_2\dots X_n)$

Illustration: Ruled out in an LL grammar **Table:**



α	...	a	...
...			
A		$\alpha(A, a)$	
...			

↓

Only rule r_1 :

$A \rightarrow X_1X_2\dots X_n$

Simple Programming Language (SPL)

1: <prog>	\rightarrow	<u>begin</u> <st-list>
2: <st-list>	\rightarrow	<stat> ; <st-list>
3: <st-list>	\rightarrow	<u>end</u>
4: <stat>	\rightarrow	<u>read id</u>
5: <stat>	\rightarrow	<u>write item</u>
6: <stat>	\rightarrow	<u>id := add(item)</u>
7: <it-list>	\rightarrow	, <item> <it-list>
8: <it-list>	\rightarrow)
9: <item>	\rightarrow	<u>int</u>
10: <item>	\rightarrow	<u>id</u>

Note: G_{SPL} is LL grammar

Example:

```
begin
  read i;
  j := add(i, 1);
  write j;
end
```

$\in \text{SPL}$

Algorithm: $First(X)$

- **Input:** $G = (N, T, P, S)$ without ε -rules
 - **Output:** $First(X)$ for every $X \in N \cup T$
-

• Method:

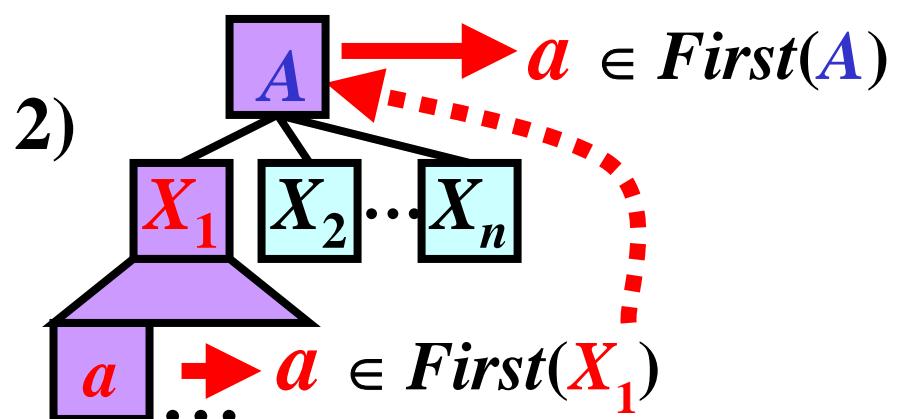
- for each $a \in T$: $First(a) := \{a\}$
 - **Apply the following rule until no $First$ set can be changed:**
 - if $A \rightarrow X_1 X_2 \dots X_n \in P$, then add $First(X_1)$ to $First(A)$
-

Illustration:

- 1) for each $a \in T$:

$$First(a) := \{a\}$$

because $a \Rightarrow^0 a$



$First(X)$ for SPL: Example

$First(\underline{\text{begin}}) := \{\underline{\text{begin}}\}$	$First(\underline{\text{id}}) := \{\underline{\text{id}}\}$	$First(\underline{,}) := \{\underline{,}\}$
$First(\underline{\text{end}}) := \{\underline{\text{end}}\}$	$First(\underline{\text{int}}) := \{\underline{\text{int}}\}$	$First(\underline{)} := \{\underline{)}\}$
$First(\underline{\text{read}}) := \{\underline{\text{read}}\}$	$First(\underline{:=}) := \{\underline{:=}\}$	$First(\underline{)}) := \{\underline{)}\}$
$First(\underline{\text{write}}) := \{\underline{\text{write}}\}$	$First(\underline{\text{add}}) := \{\underline{\text{add}}\}$	$First(\underline{:}) := \{\underline{:}\}$

$\langle \text{item} \rangle \rightarrow \underline{\text{id}} \in P:$	add $First(\underline{\text{id}})$	to $First(\langle \text{item} \rangle)$
$\langle \text{item} \rangle \rightarrow \underline{\text{int}} \in P:$	add $First(\underline{\text{int}})$	to $First(\langle \text{item} \rangle)$
Summary: $First(\langle \text{item} \rangle) = \{\underline{\text{id}}, \underline{\text{int}}\}$		

$\langle \text{it-list} \rangle \rightarrow \underline{)} \in P:$	add $First(\underline{)})$	to $First(\langle \text{it-list} \rangle)$
$\langle \text{it-list} \rangle \rightarrow \underline{,} \dots \in P:$	add $First(\underline{,})$	to $First(\langle \text{it-list} \rangle)$
Summary: $First(\langle \text{it-list} \rangle) = \{\underline{)}, \underline{,}\}$		

$\langle \text{stat} \rangle \rightarrow \underline{\text{id}} \dots \in P:$	add $First(\underline{\text{id}})$	to $First(\langle \text{stat} \rangle)$
$\langle \text{stat} \rangle \rightarrow \underline{\text{write}} \dots \in P:$	add $First(\underline{\text{write}})$	to $First(\langle \text{stat} \rangle)$
$\langle \text{stat} \rangle \rightarrow \underline{\text{read}} \dots \in P:$	add $First(\underline{\text{read}})$	to $First(\langle \text{stat} \rangle)$
Summary: $First(\langle \text{stat} \rangle) = \{\underline{\text{id}}, \underline{\text{write}}, \underline{\text{read}}\}$		

$\langle \text{st-list} \rangle \rightarrow \underline{\text{end}} \in P:$	add $First(\underline{\text{end}})$	to $First(\langle \text{st-list} \rangle)$
$\langle \text{st-list} \rangle \rightarrow \langle \text{stat} \rangle \dots \in P:$	add $First(\langle \text{stat} \rangle)$	to $First(\langle \text{st-list} \rangle)$
Summary: $First(\langle \text{st-list} \rangle) = \{\underline{\text{id}}, \underline{\text{write}}, \underline{\text{read}}, \underline{\text{end}}\}$		

$\langle \text{prog} \rangle \rightarrow \underline{\text{begin}} \dots \in P:$	add $First(\underline{\text{begin}})$	to $First(\langle \text{prog} \rangle)$
Summary: $First(\langle \text{prog} \rangle) = \{\underline{\text{begin}}\}$		

Construction of LL Table

α	...	a	...
...			
A		$\alpha(A, a)$	
...			

$\alpha(A, a) = A \rightarrow X_1 X_2 \dots X_n \in P$
 if $a \in First(X_1)$; otherwise,
 $\alpha(A, a)$ is blank \Rightarrow ERROR

Task: LL table for SPL

	id	int	$:=$...
$<prog>$				
$<st-list>$				
$<stat>$				
$<it-list>$				
$<item>$				

Rule $r: A \rightarrow X_1 X_2 \dots X_n$	$First(X_1)$
1: $<prog> \rightarrow begin \dots$	{ <u>begin</u> }
2: $<st-list> \rightarrow <stat> \dots$	{ <u>id</u> , <u>write</u> , <u>read</u> }
3: $<st-list> \rightarrow end \dots$	{ <u>end</u> }
4: $<stat> \rightarrow read \dots$	{ <u>read</u> }
5: $<stat> \rightarrow write \dots$	{ <u>write</u> }
6: $<stat> \rightarrow id \dots$	{ <u>id</u> }
7: $<it-list> \rightarrow , \dots$	{ <u>,</u> }
8: $<it-list> \rightarrow) \dots$	{ <u>)</u> }
9: $<item> \rightarrow int \dots$	{ <u>int</u> }
10: $<item> \rightarrow id \dots$	{ <u>id</u> }

Construct the rest
analogically.

Parsing Based on LL Table: Example

1: <prog>	$\rightarrow \text{begin } <\text{st-list}>$	6: <stat>	$\rightarrow \text{id} := \text{add} (\dots$
2: <st-list>	$\rightarrow <\text{stat}> ; <\text{st-list}>$	7: <it-list>	$\rightarrow , <\text{item}> <\text{it-list}>$
3: <st-list>	$\rightarrow \text{end}$	8: <it-list>	$\rightarrow)$
4: <stat>	$\rightarrow \text{read id}$	9: <item>	$\rightarrow \text{int}$
5: <stat>	$\rightarrow \text{write item}$	10: <item>	$\rightarrow \text{id}$

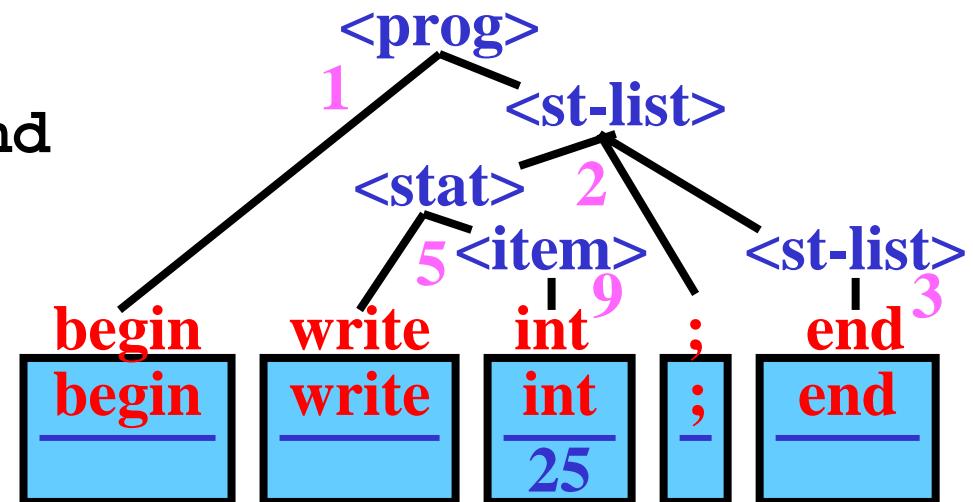
	beg	end	rd	wr	id	int	,	()	;	:=	add
<prog>	1											
<st-list>		3	2	2	2							
<stat>			4	5	6							
<it-list>							7			8		
<item>					10	9						

Source program:

begin write 25; end



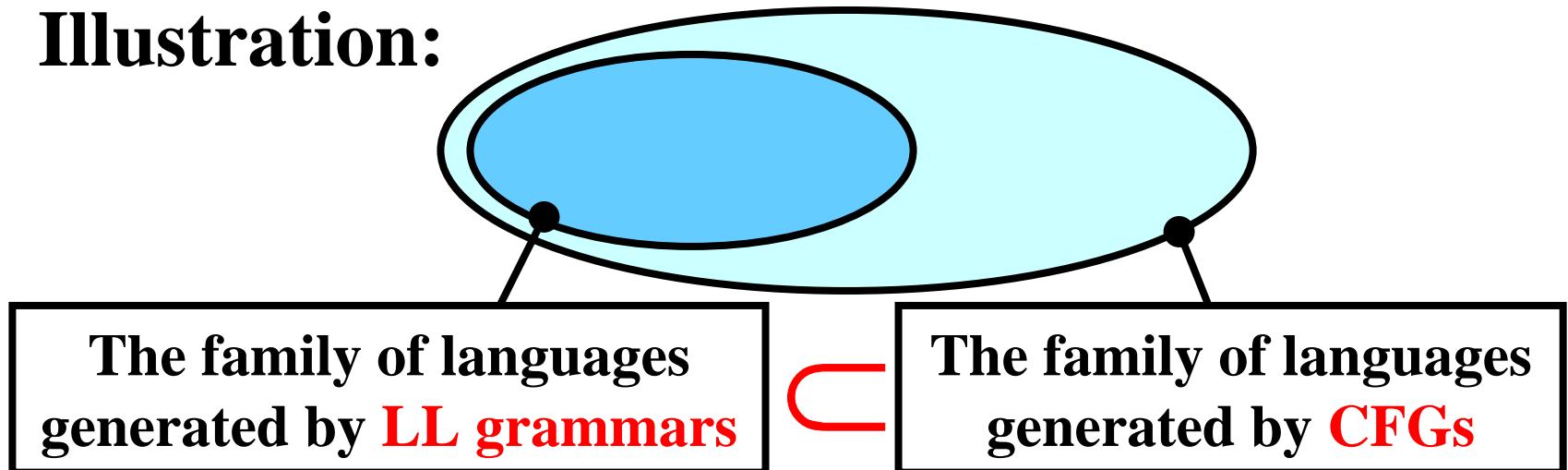
Lexical
Analyzer



LL Grammars: Useful Transformations

Generally: CFG are stronger than LL grammars

Illustration:



- **Some** CFGs can be converted to equivalent LL grammars

Basic conversions:

- 1) Factorization
- 2) Left recursion replacement

Note: A rule of the form $A \rightarrow Ax$, where $A \in N$, $x \in (N \cup T)^*$ is called a *left recursive rule*.

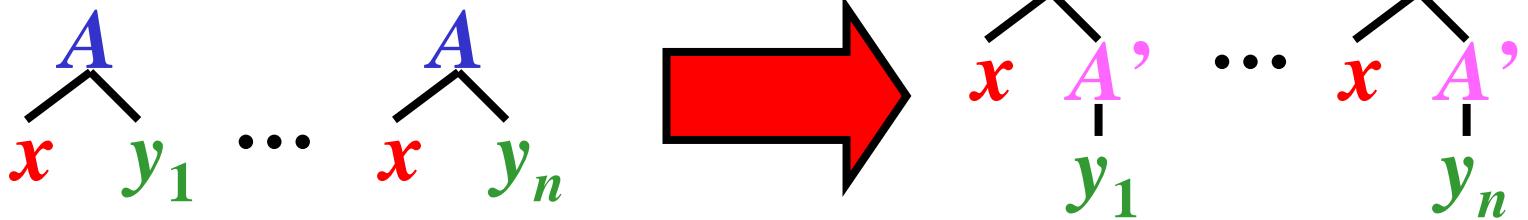
Factorization

Idea: Replace rules of the form

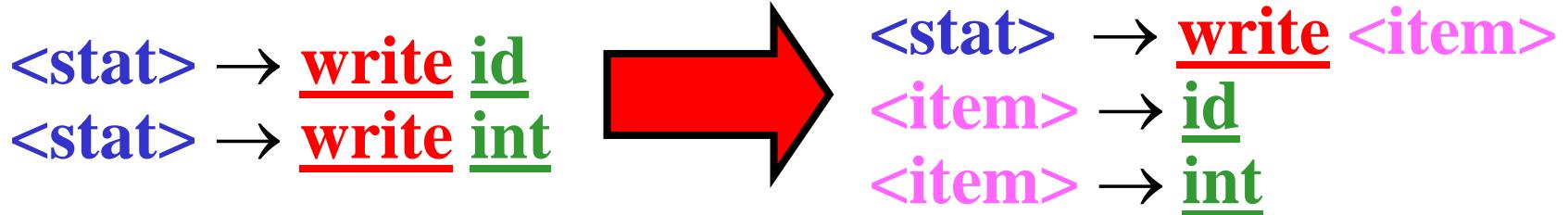
$A \rightarrow xy_1, A \rightarrow xy_2, \dots, A \rightarrow xy_n$ with
 $A \rightarrow xA', A' \rightarrow y_1, A' \rightarrow y_2, \dots, A' \rightarrow y_n$,

where A' is a new nonterminal

Illustration:



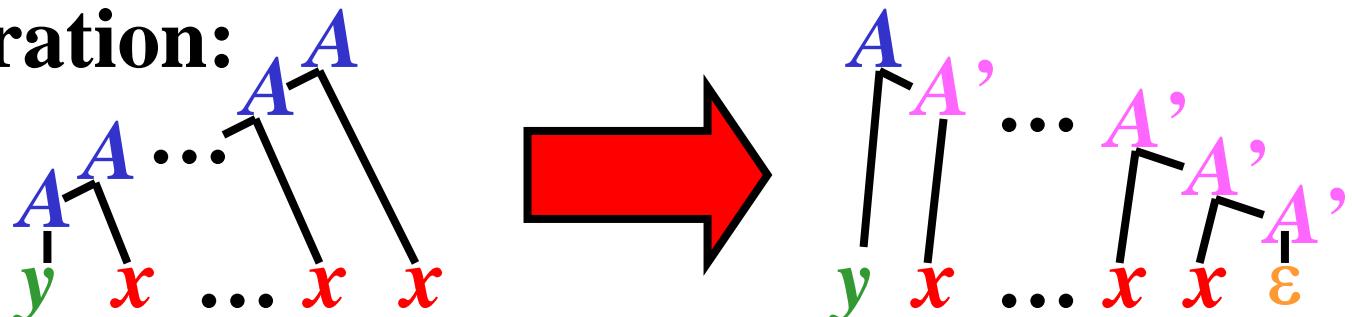
Example:



Left Recursion Replacement

Idea: Replace rules of the form $A \rightarrow Ax, A \rightarrow y$ with $A \rightarrow yA'$, $A' \rightarrow xA'$, $A' \rightarrow \epsilon$, where A' is a new nonterminal.

Illustration:



Example:

$$\begin{array}{l}
 \left. \begin{array}{l} E \rightarrow E+T \\ E \rightarrow T \end{array} \right\} \\
 \left. \begin{array}{l} T \rightarrow T^*F \\ T \rightarrow F \end{array} \right\}
 \end{array}
 \rightarrow
 \begin{array}{l}
 E \rightarrow TE', E' \rightarrow +TE', E' \rightarrow \epsilon \\
 T \rightarrow FT', T' \rightarrow *FT', T' \rightarrow \epsilon
 \end{array}$$

$$\begin{array}{l}
 F \rightarrow (E) \\
 F \rightarrow i
 \end{array}
 \quad
 \begin{array}{l}
 F \rightarrow (E) \\
 F \rightarrow i
 \end{array}$$

LL Grammars with ϵ -rules: Introduction

Why ϵ -rules?

- elimination of the left recursion introduces ϵ -rule
- ϵ -rules often make the language specification clearer

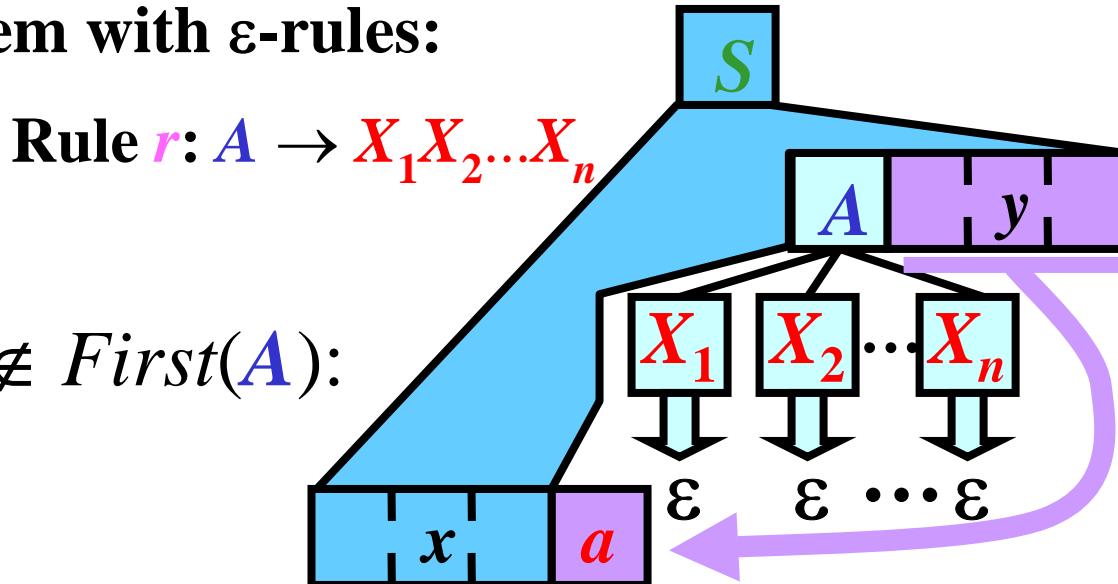
Simplification of this part:

Assume that every input string of tokens ends with $\$$.

Note: $\$$ acts as an *end marker*.

Main problem with ϵ -rules:

Maybe: $a \notin First(A)$:



Note: We must define other sets: *Empty*, *Follow* and *Predict*.

Grammar for Arithmetical Expressions

- $G_{expr3} = (N, T, P, \textcolor{green}{E})$, where
- $N = \{\textcolor{blue}{E}, \textcolor{blue}{E}', \textcolor{blue}{F}, \textcolor{blue}{F}', \textcolor{blue}{T}\}$,
- $T = \{\textcolor{red}{i}, +, *, (,)\}$,
- $P = \{ \begin{array}{ll} \textcolor{magenta}{1}: E \rightarrow TE', & \textcolor{magenta}{2}: E' \rightarrow +TE', \\ \textcolor{magenta}{3}: E' \rightarrow \varepsilon, & \textcolor{magenta}{4}: T \rightarrow FT', \\ \textcolor{magenta}{5}: T' \rightarrow *FT', & \textcolor{magenta}{6}: T' \rightarrow \varepsilon, \\ \textcolor{magenta}{7}: F \rightarrow (E), & \textcolor{magenta}{8}: F \rightarrow i \end{array} \}$

Example:

$$(i + i)^*(i + i) \in L(G_{expr3})$$

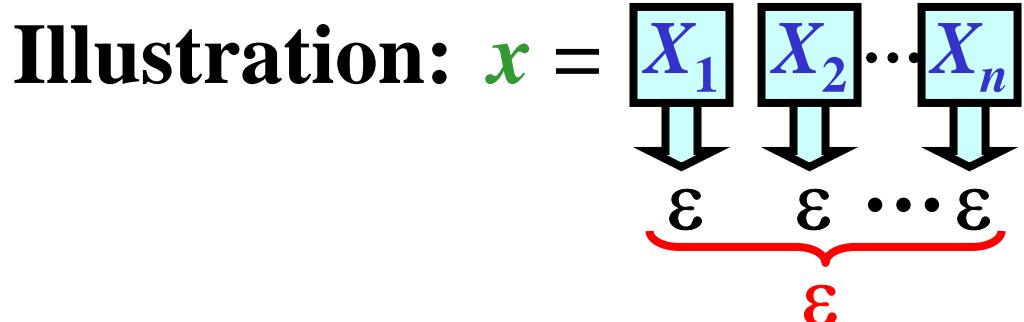
Set *Empty*

Gist: $\text{Empty}(x)$ is the set that include ϵ if x derives the empty string; otherwise, $\text{Empty}(x)$ is empty

Definition: Let $G = (N, T, P, S)$ be a CFG.

$\text{Empty}(\textcolor{brown}{x}) = \{\epsilon\}$ if $\textcolor{brown}{x} \Rightarrow^* \epsilon$; otherwise,

$\text{Empty}(\textcolor{brown}{x}) = \emptyset$, where $x \in (N \cup T)^*$.



$$x = X_1 X_2 \dots X_n \Rightarrow^* \epsilon$$

↓

$$\text{Empty}(\textcolor{brown}{x}) = \{\epsilon\}$$

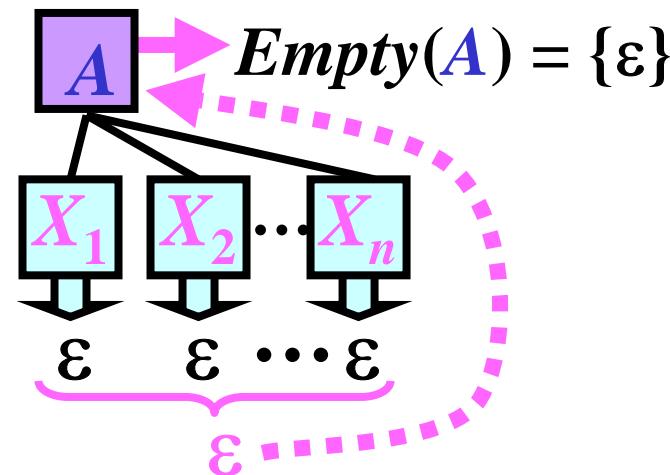
Algorithm: $\text{Empty}(X)$

- **Input:** $G = (N, T, P, S)$
- **Output:** $\text{Empty}(X)$ for every $X \in N \cup T$

- **Method:**
 - **for each** $a \in T$: $\text{Empty}(a) := \emptyset$
 - **for each** $A \in N$:
 - if** $A \rightarrow \varepsilon \in P$ **then** $\text{Empty}(A) := \{\varepsilon\}$
 - else** $\text{Empty}(A) := \emptyset$
 - **Apply the following rule until no** Empty **set can be changed:**
 - **if** $A \rightarrow X_1 X_2 \dots X_n \in P$ **and** $\text{Empty}(X_i) = \{\varepsilon\}$ **for all** $i = 1, \dots, n$ **then** $\text{Empty}(A) = \{\varepsilon\}$

Previous Algorithm: Illustration

- 1) for each $a \in T$: $\text{Empty}(a) := \emptyset$ because $a \not\Rightarrow^* \varepsilon$
 - 2) for each $r: A \rightarrow \varepsilon \in P$: $\text{Empty}(A) := \{\varepsilon\}$ because $A \Rightarrow^1 \varepsilon [r]$
- 3) Apply the following rules until no Empty set can be changed:**
- if $A \rightarrow X_1 X_2 \dots X_n \in P$ and $\text{Empty}(X_i) = \{\varepsilon\}$ for all $i = 1, \dots, n$ then $\text{Empty}(A) = \{\varepsilon\}$



Empty(X) for G_{expr3} : Example

$G_{expr3} = (N, T, P, \textcolor{green}{E})$, where: $N = \{\textcolor{blue}{E}, \textcolor{blue}{F}, \textcolor{blue}{T}\}$, $T = \{\textcolor{red}{i}, +, *, (,)\}$,
 $P = \{ \begin{array}{ll} \textcolor{blue}{1}: E \rightarrow TE', & \textcolor{blue}{2}: E' \rightarrow +TE', \\ \textcolor{blue}{3}: E' \rightarrow \varepsilon, & \textcolor{blue}{4}: T \rightarrow FT' \\ \textcolor{blue}{5}: T' \rightarrow *FT', & \textcolor{blue}{6}: T' \rightarrow \varepsilon, \\ \textcolor{blue}{7}: F \rightarrow (E), & \textcolor{blue}{8}: F \rightarrow i \end{array} \}$

Initialization:	$\text{Empty}(i) := \emptyset$	$\text{Empty}(E) := \emptyset$
	$\text{Empty}(+) := \emptyset$	$\text{Empty}(E') := \{\varepsilon\}$
	$\text{Empty}(*) := \emptyset$	$\text{Empty}(T) := \emptyset$
	$\text{Empty}(()) := \emptyset$	$\text{Empty}(T') := \{\varepsilon\}$
	$\text{Empty}()) := \emptyset$	$\text{Empty}(F) := \emptyset$

- No *Empty* set can be changed.
-

Algorithm: $First(X)$

- **Input:** $G = (N, T, P, S)$
- **Output:** $First(X)$ for every $X \in N \cup T$

- **Method:**
- **for each** $a \in T$: $First(a) := \{a\}$
- **for each** $A \in N$: $First(A) := \emptyset$
- **Apply the following rule until no $First$ set can be changed:**
- **if** $A \rightarrow X_1X_2\dots X_{k-1}X_k\dots X_n \in P$ **then**
 - add all symbols from $First(X_1)$ to $First(A)$
 - **if** $Empty(X_i) = \{\varepsilon\}$ for all $i = 1, \dots, k-1$, where $k \leq n$
 then add all symbols from $First(X_k)$ to $First(A)$

Previous Algorithm: Illustration

1) for each $a \in T$: $First(a) := \{a\}$ because $a \Rightarrow^0 a$

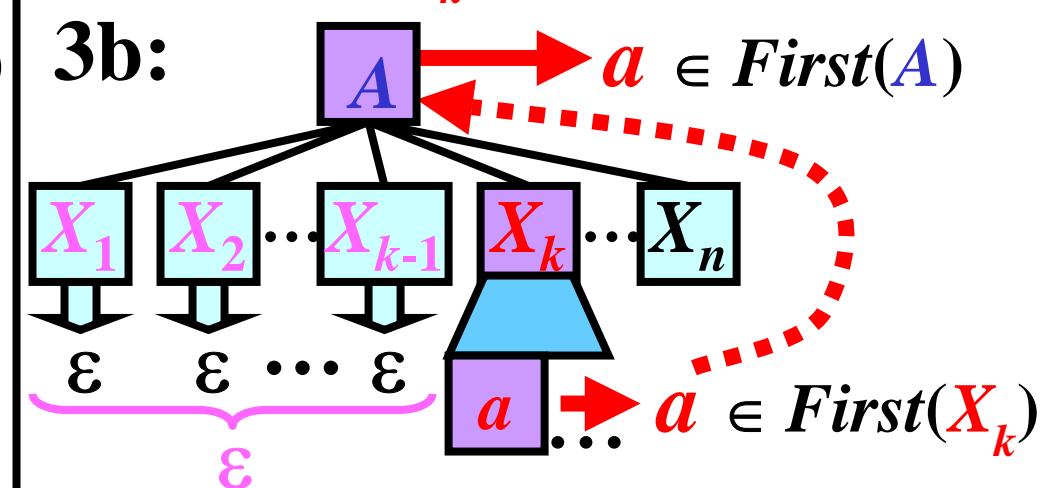
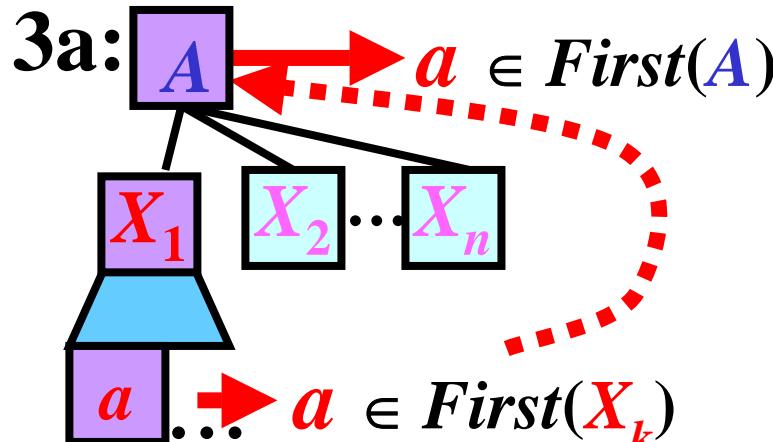
2) for each $A \in N$: $First(A) := \emptyset$ (initialization)

3) Apply the following rules until no *First* set or *Empty* set can be changed:

- if $A \rightarrow X_1 X_2 \dots X_{k-1} X_k \dots X_n \in P$ then

3a) add all symbols from $First(X_1)$ to $First(A)$

3b) if $Empty(X_i) = \{\varepsilon\}$ for all $i = 1, \dots, k-1$, where $k < n$
then add all symbols from $First(X_k)$ to $First(A)$:



$First(X)$ for G_{expr3} : Example

Initialization:	$First(i) := \{i\}$	$First(E) := \emptyset$
	$First(+) := \{+\}$	$First(E') := \emptyset$
	$First(*) := \{*\}$	$First(T) := \emptyset$
	$First(()) := \{(())\}$	$First(T') := \emptyset$
	$First()) := \{)\}$	$First(F) := \emptyset$

$F \rightarrow i \in P:$ add $First(i) = \{i\}$ to $First(F)$

$F \rightarrow (E) \in P:$ add $First(() = \{(())\}$ to $First(F)$

Summary: $First(F) = \{i, ()\}$

$T' \rightarrow *FT' \in P:$ add $First(*) = \{*\}$ to $First(T')$

Summary: $First(T') = \{*\}$

$T \rightarrow FT' \in P:$ add $First(F) = \{i, ()\}$ to $First(T)$

Summary: $First(T) = \{i, ()\}$

$E' \rightarrow +TE' \in P:$ add $First(+) = \{+\}$ to $First(E')$

Summary: $First(E') = \{+\}$

$E \rightarrow TE' \in P:$ add $First(T) = \{i, ()\}$ to $First(E)$

Summary: $First(E) = \{i, ()\}$

- **No $First$ set can be changed.**

$First(X)$ & $Empty(X)$ for G_{expr3} : Summary

$G_{expr3} = (N, T, P, E)$, where: $N = \{E, F, T\}$, $T = \{i, +, *, (,)\}$,
 $P = \{ \begin{array}{ll} 1: E \rightarrow TE', & 2: E' \rightarrow +TE', \\ 3: E' \rightarrow \varepsilon, & 4: T \rightarrow FT' \\ 5: T' \rightarrow *FT', & 6: T' \rightarrow \varepsilon, \\ 7: F \rightarrow (E), & 8: F \rightarrow i \end{array} \}$

Set $Empty$ for all $X \in N \cup T$:	$Empty(i) := \emptyset$	$Empty(E) := \emptyset$
	$Empty(+):= \emptyset$	$Empty(E') := \{\varepsilon\}$
	$Empty(*):= \emptyset$	$Empty(T) := \emptyset$
	$Empty(():= \emptyset$	$Empty(T') := \{\varepsilon\}$
	$Empty(()):= \emptyset$	$Empty(F) := \emptyset$

Set $First$ for all $X \in N \cup T$:	$First(i) := \{i\}$	$First(E) := \{i, ()\}$
	$First(+):= \{+\}$	$First(E') := \{+\}$
	$First(*):= \{*\}$	$First(T) := \{i, ()\}$
	$First(():= \{()\}$	$First(T') := \{*\}$
	$First(()):= \{()\}$	$First(F) := \{i, ()\}$

Note: for each $a \in T$: $Empty(a) = \emptyset$, $First(a) = \{a\}$

Algorithm: $First(X_1X_2\dots X_n)$

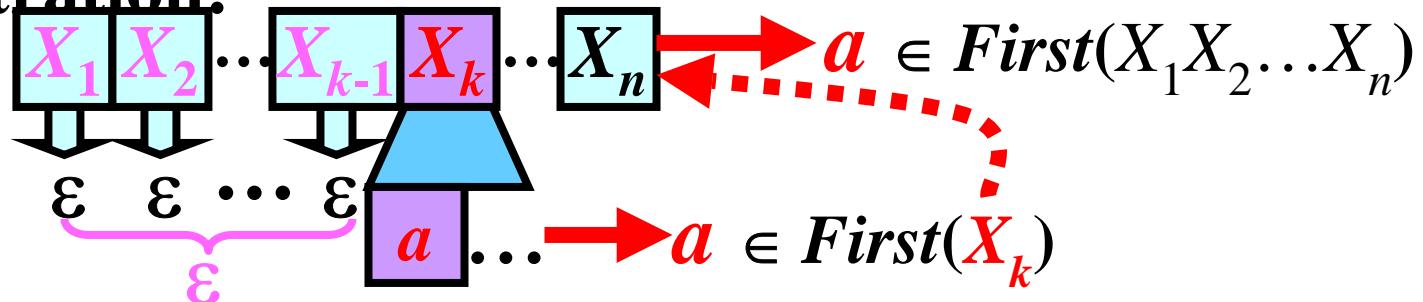
- **Input:** $G = (N, T, P, S)$; $First(X)$ & $Empty(X)$ for every $X \in N \cup T$; $x = X_1X_2\dots X_n$, where $x \in (N \cup T)^+$
- **Output:** $First(X_1X_2\dots X_n)$

• Method:

- $First(X_1X_2\dots X_n) := First(X_1)$
- Apply the following rule until nothing can be added to $First(X_1X_2\dots X_{k-1}X_k\dots X_n)$:
 - if $Empty(X_i) = \{\varepsilon\}$ for all $i = 1, \dots, k-1$, where $k \leq n$
then add all symbols from $First(X_k)$ to $First(X_1X_2\dots X_n)$

! Note: $First(\varepsilon) = \emptyset$

Illustration:



$First(X_1 X_2 \dots X_n)$: Example

$G_{expr3} = (N, T, P, E)$, where: $N = \{E, F, T\}$, $T = \{i, +, *, (,)\}$,
 $P = \{ \begin{array}{ll} 1: E \rightarrow TE', & 2: E' \rightarrow +TE', \\ 3: E' \rightarrow \varepsilon, & 4: T \rightarrow FT' \\ 5: T' \rightarrow *FT', & 6: T' \rightarrow \varepsilon, \\ 7: F \rightarrow (E), & 8: F \rightarrow i \end{array} \}$

Set $Empty$ & $First$	$Empty(E) := \emptyset$	$First(E) := \{i, ()\}$
for all $X \in N$:	$Empty(E') := \{\varepsilon\}$	$First(E') := \{+\}$
	$Empty(T) := \emptyset$	$First(T) := \{i, ()\}$
	$Empty(T') := \{\varepsilon\}$	$First(T') := \{*\}$
	$Empty(F) := \emptyset$	$First(F) := \{i, ()\}$

Task: $First(E'T'FET)$

1) $First(E'T'FET) := First(E') = \{+\}$

2) $First(E'T'FET)$: add $First(T') = \{*\}$ to $First(E'T'FET)$

\downarrow
 $Empty(E') = \{\varepsilon\}$

3) $First(E'T'FET)$: add $First(F) = \{i, ()\}$ to $First(E'T'FET)$

\downarrow
 $Empty(E') = Empty(T') = \{\varepsilon\}$

Summary: $First(E'T'FET) = \{+, *, i, ()\}$

Algorithm: $\text{Empty}(X_1 X_2 \dots X_n)$

- **Input:** $G = (N, T, P, S)$; $\text{Empty}(X)$ for every $X \in N \cup T$;
 $x = X_1 X_2 \dots X_n$, where $x \in (N \cup T)^+$
 - **Output:** $\text{Empty}(X_1 X_2 \dots X_n)$
-

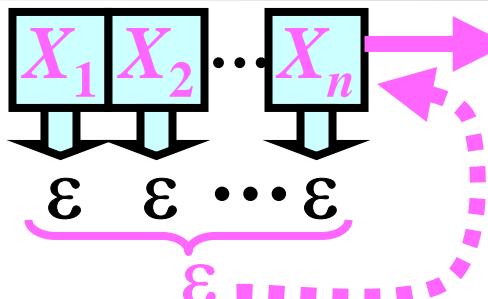
Method:

- if $\text{Empty}(X_i) = \{\varepsilon\}$ for all $i = 1, \dots, n$ then
 $\text{Empty}(X_1 X_2 \dots X_n) := \{\varepsilon\}$

else

$$\text{Empty}(X_1 X_2 \dots X_n) := \emptyset$$

! Note: $\text{Empty}(\varepsilon) = \{\varepsilon\}$

Illustration: 

$$\text{Empty}(X_1 X_2 \dots X_n) = \{\varepsilon\}$$

$\text{Empty}(X_1 X_2 \dots X_n)$: Example

$G_{expr3} = (N, T, P, \mathbf{E})$, where: $N = \{\mathbf{E}, \mathbf{F}, \mathbf{T}\}$, $T = \{\mathbf{i}, +, *, (,)\}$,
 $P = \{ \begin{array}{ll} \mathbf{1}: \mathbf{E} \rightarrow \mathbf{T} \mathbf{E}', & \mathbf{2}: \mathbf{E}' \rightarrow + \mathbf{T} \mathbf{E}', \\ \mathbf{3}: \mathbf{E}' \rightarrow \varepsilon, & \mathbf{4}: \mathbf{T} \rightarrow \mathbf{F} \mathbf{T}' \\ \mathbf{5}: \mathbf{T}' \rightarrow * \mathbf{F} \mathbf{T}', & \mathbf{6}: \mathbf{T}' \rightarrow \varepsilon, \\ \mathbf{7}: \mathbf{F} \rightarrow (\mathbf{E}), & \mathbf{8}: \mathbf{F} \rightarrow \mathbf{i} \end{array} \}$

Set Empty for all $X \in N$:	$\text{Empty}(\mathbf{E}) := \emptyset$ $\text{Empty}(\mathbf{E}') := \{\varepsilon\}$ $\text{Empty}(\mathbf{T}) := \emptyset$ $\text{Empty}(\mathbf{T}') := \{\varepsilon\}$ $\text{Empty}(\mathbf{F}) := \emptyset$
------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Task: $\text{Empty}(\mathbf{E}' \mathbf{T}')$

$\text{Empty}(\mathbf{E}') = \text{Empty}(\mathbf{T}') = \{\varepsilon\}$, so $\text{Empty}(\mathbf{E}' \mathbf{T}') = \{\varepsilon\}$

Set Follow

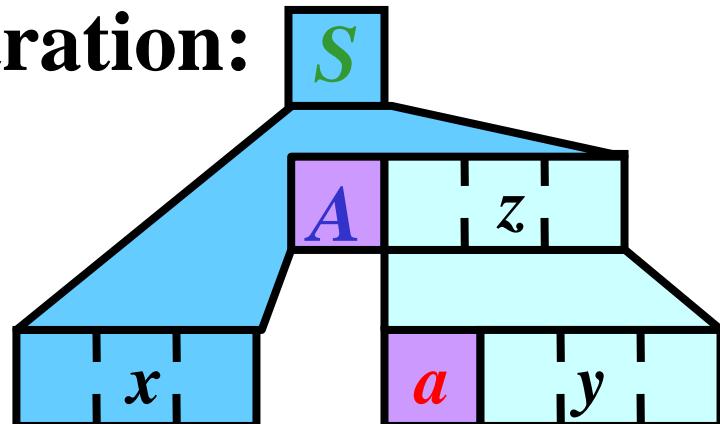
Gist: $Follow(A)$ is the set of all terminals that can come right after A in a sentential form of G

Definition: Let $G = (N, T, P, S)$ be a CFG. For every $A \in N$, we define the set $Follow(A)$ as

$$Follow(A) = \{a: a \in T, S \Rightarrow^* xAay, x, y \in (N \cup T)^*\}$$

$$\cup \{\$: S \Rightarrow^* xA, x \in (N \cup T)^*\}$$

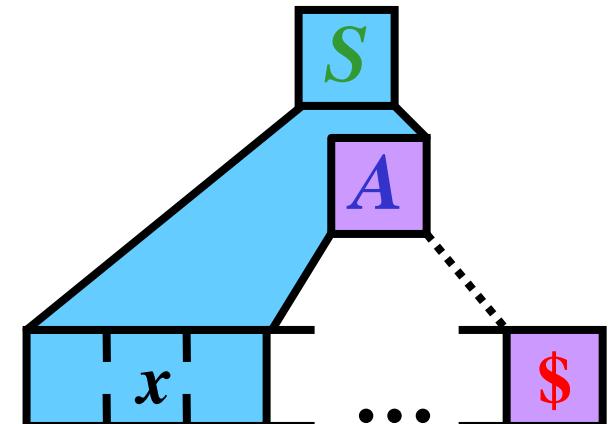
Illustration:



$$S \Rightarrow^* xAz \Rightarrow^* xAay$$

\downarrow

$a \in Follow(A)$



$$S \Rightarrow^* xA$$

\downarrow

$\$ \in Follow(A)$

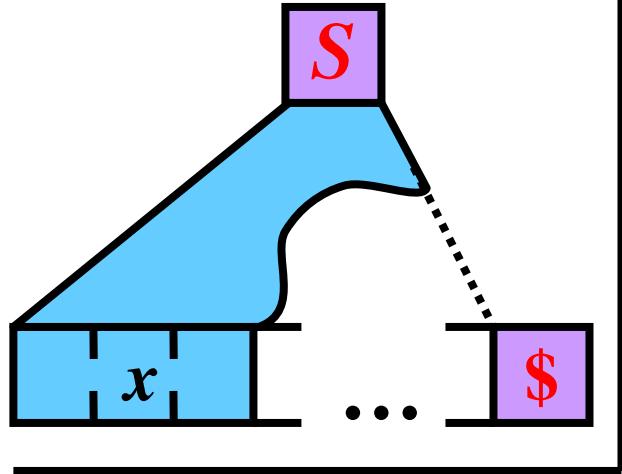
Algorithm: $Follow(A)$

- **Input:** $G = (N, T, P, S)$;
- **Output:** $Follow(A)$ for every $A \in N$

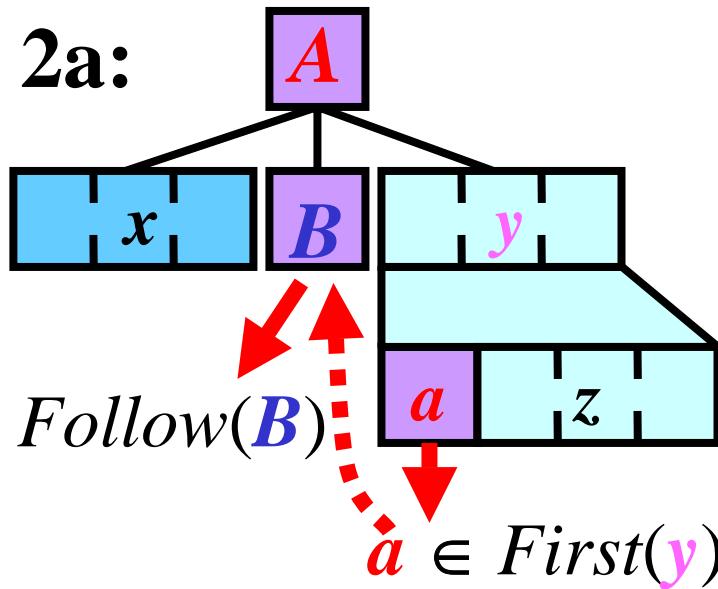
- **Method:**
 - $Follow(S) := \{\$\}$;
 - Apply the following rules until no $Follow$ set can be changed:
 - if $A \rightarrow xBy \in P$ then
 - if $y \neq \varepsilon$ then
 - add all symbols from $First(y)$ to $Follow(B)$;
 - if $Empty(y) = \{\varepsilon\}$ then
 - add all symbols from $Follow(A)$ to $Follow(B)$;

Previous Algorithm: Illustration

1) $\text{Follow}(S) := \{\$\}$



2a:



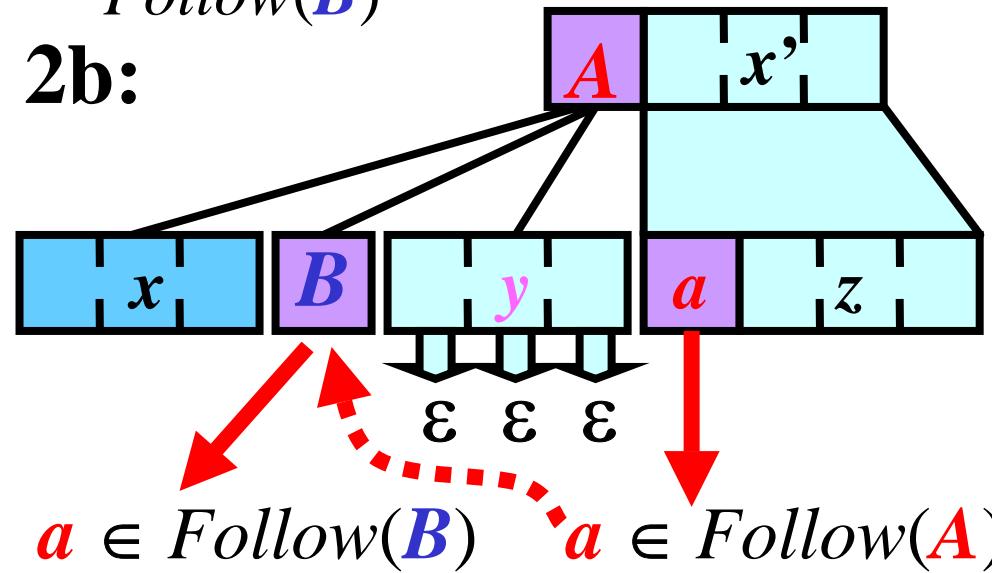
2) Apply the following rules until no Follow set can be changed:

- if $A \rightarrow xBy \in P$ then

2a) if $y \neq \epsilon$ then add all symbols from $\text{First}(y)$ to $\text{Follow}(B)$

2b) if $\text{Empty}(y) = \{\epsilon\}$ then add all symbols from $\text{Follow}(A)$ to $\text{Follow}(B)$

2b:



$Follow(X)$ for G_{expr3} : Example 1/3

$First(E)$	$\{i, ()\}$	$Empty(E)$	\emptyset	$Follow(E)$	\emptyset
$First(E')$	$\{+\}$	$Empty(E')$	$\{\epsilon\}$	$Follow(E')$	\emptyset
$First(T)$	$\{i, ()\}$	$Empty(T)$	\emptyset	$Follow(T)$	\emptyset
$First(T')$	$\{*\}$	$Empty(T')$	$\{\epsilon\}$	$Follow(T')$	\emptyset
$First(F)$	$\{i, ()\}$	$Empty(F)$	\emptyset	$Follow(F)$	\emptyset

0) $Follow(E) := \{\$\}$

1) $F \rightarrow (E) \in P:$ **add** $First(\textcolor{violet}{E}) = \{\}$ } **to** $Follow(E)$
 $\neq \epsilon$

Summary: $Follow(E) = \{\$, ()\}$

2) $E \rightarrow TE' \in P:$ **add** $Follow(E) = \{\$\, ()\}$ **to** $Follow(E')$
 $\neq \epsilon$: $Empty(\textcolor{violet}{E}') = \{\epsilon\}$

$E \rightarrow TE' \in P:$ **add** $First(\textcolor{violet}{E}') = \{+\}$ **to** $Follow(T)$
 $\neq \epsilon$

$E \rightarrow TE' \in P:$ **add** $Follow(E) = \{\$\, ()\}$ **to** $Follow(T)$
 $Empty(\textcolor{violet}{E}') = \{\epsilon\}$

Summary: $Follow(E') = \{\$\, ()\}, Follow(T) = \{+, \$, ()\}$

$Follow(X)$ for G_{expr3} : Example 2/3

$First(E)$	$\{i, ()\}$	$Empty(E)$	\emptyset	$Follow(E)$	$\{\$\,,)\}$
$First(E')$	$\{+\}$	$Empty(E')$	$\{\varepsilon\}$	$Follow(E')$	$\{\$\,,)\}$
$First(T)$	$\{i, ()\}$	$Empty(T)$	\emptyset	$Follow(T)$	$\{+, \$,)\}$
$First(T')$	$\{*\}$	$Empty(T')$	$\{\varepsilon\}$	$Follow(T')$	\emptyset
$First(F)$	$\{i, ()\}$	$Empty(F)$	\emptyset	$Follow(F)$	\emptyset

3) $E' \rightarrow +TE'$ $\underbrace{E'}_P \in P$: add $Follow(E') = \{\$\,,)\}$ to $Follow(E')$
 $\varepsilon: Empty(\varepsilon) = \{\varepsilon\}$

$E' \rightarrow +TE'$ $\underbrace{TE'}_P \in P$: add $First(E') = \{+\}$ to $Follow(T)$

$E' \rightarrow +TE'$ $\underbrace{TE'}_{\neq \varepsilon} \in P$: add $Follow(E') = \{\$\,,)\}$ to $Follow(T)$
 $Empty(E') = \{\varepsilon\}$

Summary: Nothing is changed

4) $T \rightarrow FT'$ $\underbrace{FT'}_P \in P$: add $Follow(T) = \{+, \$,)\}$ to $Follow(T')$
 $\varepsilon: Empty(\varepsilon) = \{\varepsilon\}$

$T \rightarrow FT'$ $\underbrace{FT'}_P \in P$: add $First(T') = \{*\}$ to $Follow(F)$

$T \rightarrow FT'$ $\underbrace{FT'}_{\neq \varepsilon} \in P$: add $Follow(T) = \{+, \$,)\}$ to $Follow(F)$
 $Empty(T') = \{\varepsilon\}$

Summary: $Follow(T') = \{+, \$,)\}$, $Follow(F) = \{*, +, \$,)\}$

$Follow(X)$ for G_{expr3} : Example 3/3

$First(E)$	$\{i, ()\}$	$Empty(E)$	\emptyset	$Follow(E)$	$\{\$,)\}$
$First(E')$	$\{+\}$	$Empty(E')$	$\{\varepsilon\}$	$Follow(E')$	$\{\$,)\}$
$First(T)$	$\{i, ()\}$	$Empty(T)$	\emptyset	$Follow(T)$	$\{+, \$,)\}$
$First(T')$	$\{*\}$	$Empty(T')$	$\{\varepsilon\}$	$Follow(T')$	$\{+, \$,)\}$
$First(F)$	$\{i, ()\}$	$Empty(F)$	\emptyset	$Follow(F)$	$\{*, +, \$,)\}$

5) $T' \rightarrow *FT'$ $\in P$: add $Follow(T') = \{+, \$,)\}$ to $Follow(T')$
 $\varepsilon: Empty(\textcolor{violet}{T}) = \{\varepsilon\}$

$T' \rightarrow *FT'$ $\in P$: add $First(\textcolor{violet}{T}') = \{*\}$ to $Follow(F)$

$T' \rightarrow *FT'$ $\stackrel{\neq \varepsilon}{\in} P$: add $Follow(T') = \{+, \$,)\}$ to $Follow(F)$

$Empty(\textcolor{violet}{T}') = \{\varepsilon\}$

End: Nothing is changed.

Summary:	$Follow(E) := \{\$,)\}$
	$Follow(E') := \{\$,)\}$
	$Follow(T) := \{+, \$,)\}$
	$Follow(T') := \{+, \$,)\}$
	$Follow(F) := \{*, +, \$,)\}$

Set Predict

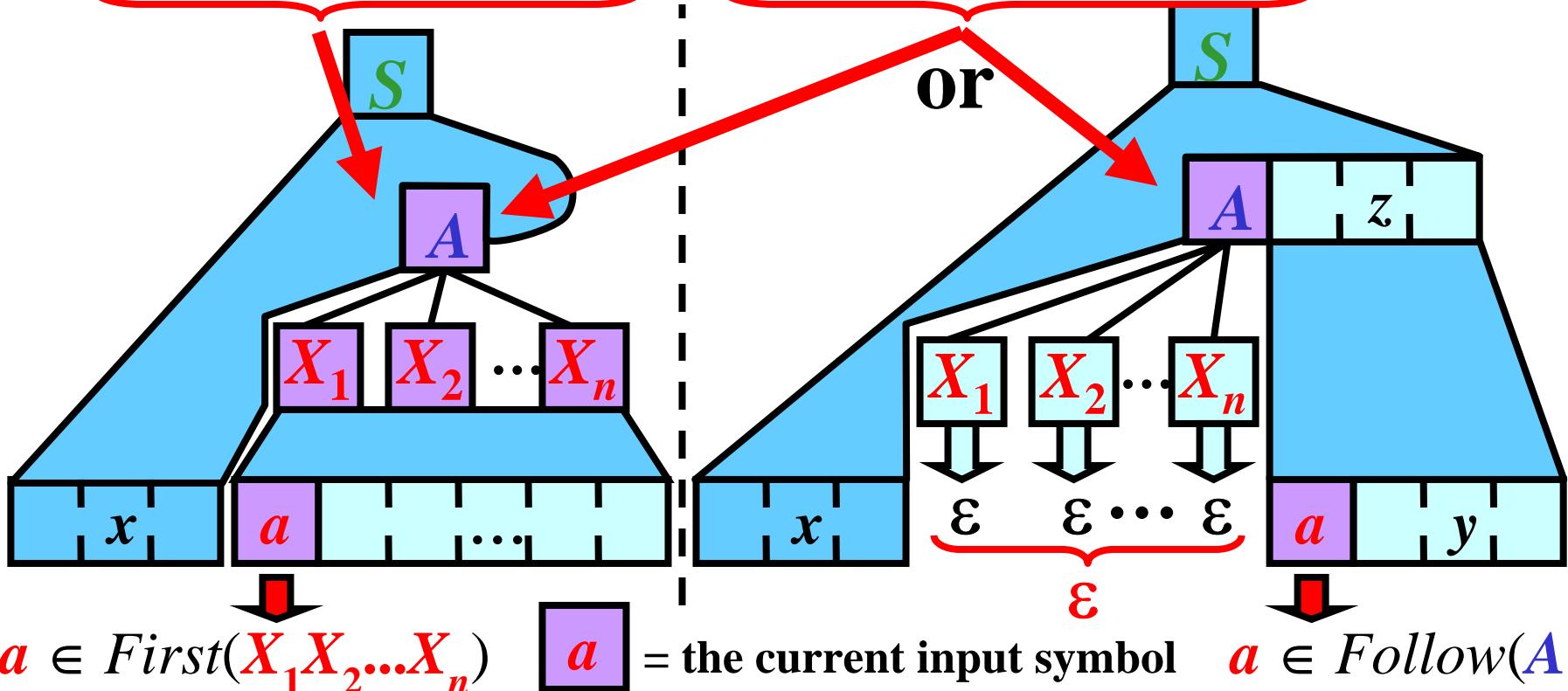
Gist: $\text{Predict}(A \rightarrow x)$ is the set of all terminals that can begin a string obtained by a derivation started by using $A \rightarrow x$.

Definition: Let $G = (N, T, P, S)$ be a CFG. For every $A \rightarrow x \in P$, we define $\text{Predict}(A \rightarrow x)$ so that

- if $\text{Empty}(x) = \{\varepsilon\}$ then
 $\text{Predict}(A \rightarrow x) = \text{First}(x) \cup \text{Follow}(A)$
- if $\text{Empty}(x) = \emptyset$ then
 $\text{Predict}(A \rightarrow x) = \text{First}(x)$

Set $Predict(A \rightarrow X_1X_2\dots X_n)$: Illustration

$\underbrace{Empty(X_1X_2\dots X_n) = \emptyset}$ vs. $\underbrace{Empty(X_1X_2\dots X_n) = \{\varepsilon\}}$



Summary: if $Empty(X_1X_2\dots X_n) = \{\varepsilon\}$ then

$Predict(A \rightarrow X_1X_2\dots X_n) = First(X_1X_2\dots X_n) \cup Follow(A)$;
otherwise, $Predict(A \rightarrow X_1X_2\dots X_n) = First(X_1X_2\dots X_n)$

$Predict(A \rightarrow x)$ for G_{expr3} : Example 1/2

$First(E)$	$\{i, ()\}$	$Empty(E)$	\emptyset	$Follow(E)$	$\{\$,)\}$
$First(E')$	$\{+\}$	$Empty(E')$	$\{\varepsilon\}$	$Follow(E')$	$\{\$\)$
$First(T)$	$\{i, ()\}$	$Empty(T)$	\emptyset	$Follow(T)$	$\{+, \$,)\}$
$First(T')$	$\{*\}$	$Empty(T')$	$\{\varepsilon\}$	$Follow(T')$	$\{+, \$,)\}$
$First(F)$	$\{i, ()\}$	$Empty(F)$	\emptyset	$Follow(F)$	$\{*, +, \$,)\}$

1: $E \rightarrow TE'$

$$Empty(TE') = \emptyset \text{ because } Empty(T) = \emptyset$$

$$Predict(1) := First(TE') = First(T) = \{i, ()\}$$

2: $E' \rightarrow +TE'$

$$Empty(+TE') = \emptyset \text{ because } Empty(T) = \emptyset$$

$$Predict(2) := First(+TE') = First(+) = \{+\}$$

3: $E' \rightarrow \varepsilon$

$$Empty(\varepsilon) = \{\varepsilon\}$$

$$Predict(3) := First(\varepsilon) \cup Follow(E') = \emptyset \cup \{\$\)$$

4: $T \rightarrow FT'$

$$Empty(FT') = \emptyset \text{ because } Empty(F) = \emptyset$$

$$Predict(4) := First(FT') = First(F) = \{i, ()\}$$

$Predict(A \rightarrow x)$ for G_{expr3} : Example 2/2

$First(E)$	$\{i, ()\}$	$Empty(E)$	\emptyset	$Follow(E)$	$\{\$\),)\}$
$First(E')$	$\{+\}$	$Empty(E')$	$\{\varepsilon\}$	$Follow(E')$	$\{\$\),)\}$
$First(T)$	$\{i, ()\}$	$Empty(T)$	\emptyset	$Follow(T)$	$\{+, \$,)\}$
$First(T')$	$\{*\}$	$Empty(T')$	$\{\varepsilon\}$	$Follow(T')$	$\{+, \$,)\}$
$First(F)$	$\{i, ()\}$	$Empty(F)$	\emptyset	$Follow(F)$	$\{*, +, \$,)\}$

5: $T' \rightarrow *FT'$

$$Empty(*FT') = \emptyset \text{ because } Empty(F) = \emptyset$$

$$Predict(5) := First(*FT') = First(*) = \{*\}$$

6: $T' \rightarrow \varepsilon$

$$Empty(\varepsilon) = \{\varepsilon\}$$

$$Predict(6) := First(\varepsilon) \cup Follow(T') = \emptyset \cup \{+, \$,)\} = \{+, \$,)\}$$

7: $F \rightarrow (E)$

$$Empty((E)) = \emptyset \text{ because } Empty(E) = \emptyset$$

$$Predict(7) := First((E)) = First(()) = \{()\}$$

8: $F \rightarrow i$

$$Empty(i) = \emptyset$$

$$Predict(8) := First(i) = \{i\}$$

Construction of LL Table

α	...	a	...
...			
A		$\alpha(A, a)$	
...			

$\alpha(A, a) = A \rightarrow X_1X_2\dots X_n \in P$
 if $a \in Predict(A \rightarrow X_1X_2\dots X_n)$;
 otherwise, $\alpha(A, a)$ is blank.

Task: LL table for G_{expr1}

	i	$+$	$*$	$($	$)$	$\$$
E	1 $\leftarrow i \in Predict(1)$					
E'						
T	4 $\leftarrow i \in Predict(4)$					
T'						
F	8 $\leftarrow i \in Predict(8)$					

Construct the rest
analogically.

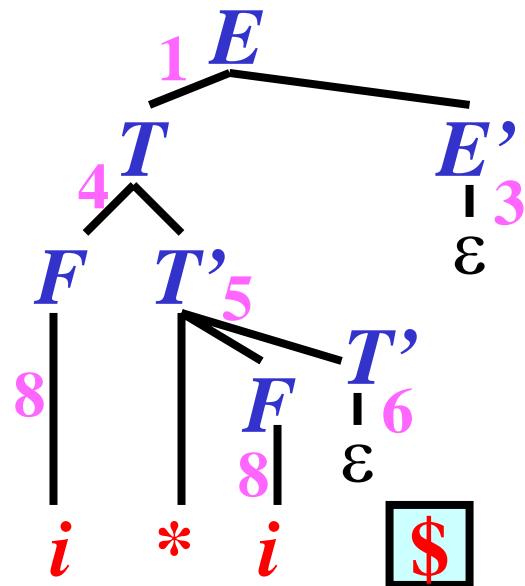
Rule r	$Predict(r)$
1: $E \rightarrow TE'$	{ i , ()}
2: $E' \rightarrow +TE'$	{+}
3: $E' \rightarrow \epsilon$	{\$,)}
4: $T \rightarrow FT'$	{ i , ()}
5: $T' \rightarrow *FT'$	{*}
6: $T' \rightarrow \epsilon$	{+, \$,)}
7: $F \rightarrow (E)$	{()}
8: $F \rightarrow i$	{ i }

Parsing Based on LL Table: Example

	<i>i</i>	+	*	()	\$
<i>E</i>	1			1		
<i>E'</i>		2			3	3
<i>T</i>	4			4		
<i>T'</i>		6	5		6	6
<i>F</i>	8			7		

- 1: $E \rightarrow TE'$ 5: $T' \rightarrow *FT'$
 2: $E' \rightarrow +TE'$ 6: $T' \rightarrow \epsilon$
 3: $E' \rightarrow \epsilon$ 7: $F \rightarrow (E)$
 4: $T \rightarrow FT'$ 8: $F \rightarrow i$

Question: $i * i \in L(G_{expr3})?$



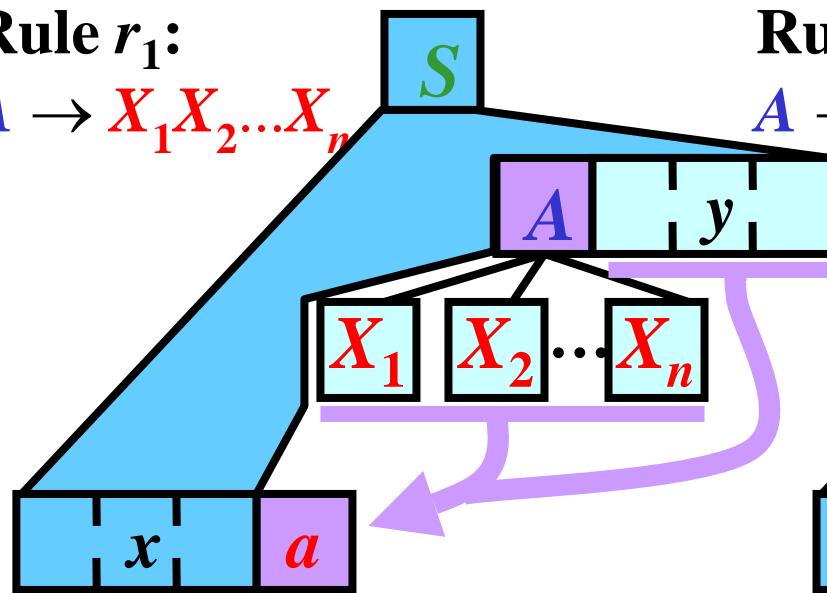
LL Grammars with ϵ -rules: Definition

Definition: Let $G = (N, T, P, S)$ be a CFG. G is an *LL grammar* if for every $a \in T$ and every $A \in N$ there is **no more than one** A -rule $A \rightarrow X_1X_2\dots X_n \in P$ such that $a \in \text{Predict}(A \rightarrow X_1X_2\dots X_n)$

Illustration:

Rule r_1 :

$$A \rightarrow X_1X_2\dots X_n$$

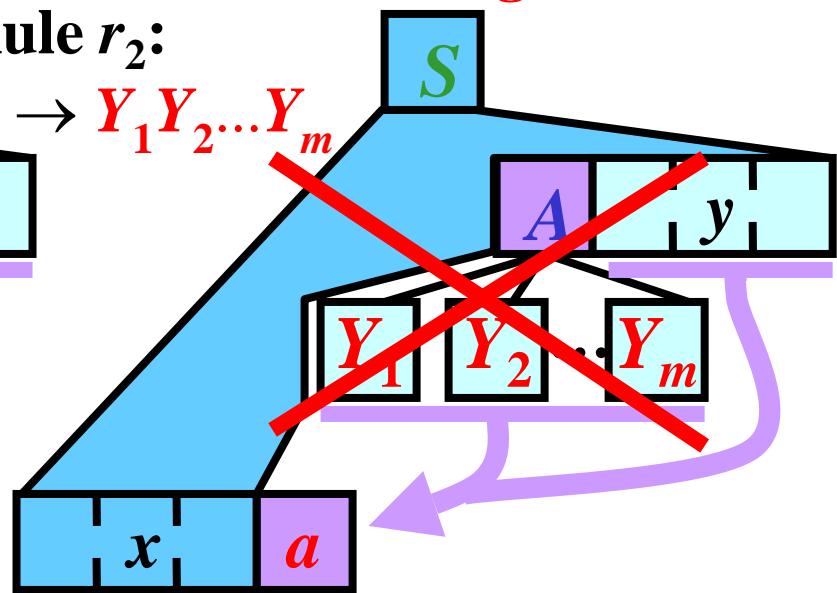


$$a \in \text{Predict}(A \rightarrow X_1X_2\dots X_n)$$

Ruled out in an LL grammar

Rule r_2 :

$$A \rightarrow Y_1Y_2\dots Y_m$$



$$a \in \text{Predict}(A \rightarrow Y_1Y_2\dots Y_m)$$

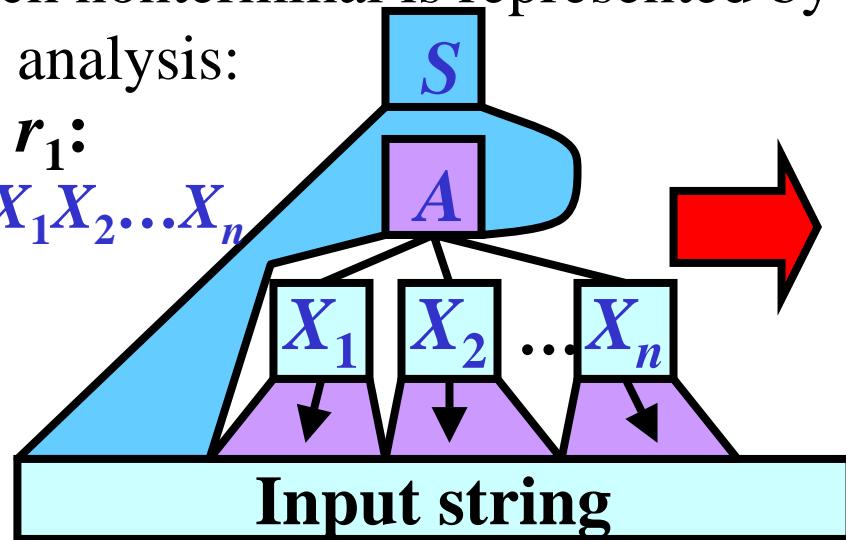
LL Analyzer Implementation

1) Recursive-Descent Parsing

- Each nonterminal is represented by a procedure, which performs its analysis:

Rule r_1 :

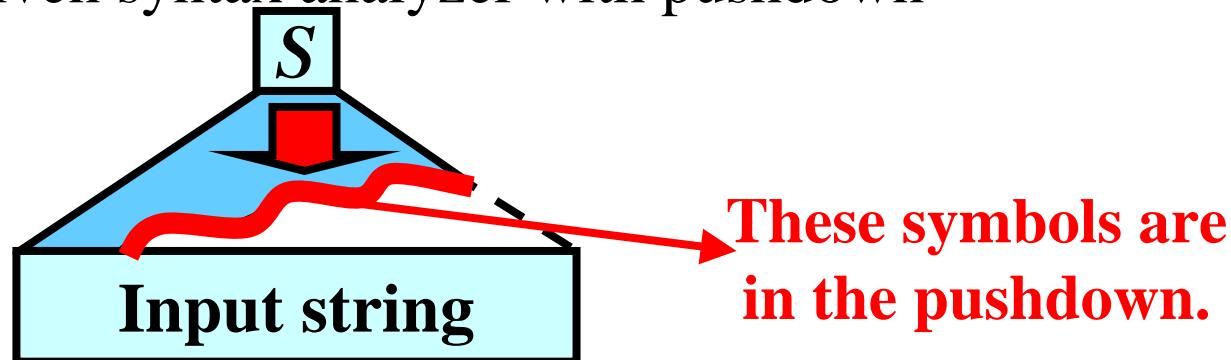
$$A \rightarrow X_1 X_2 \dots X_n$$



```
function A: boolean;  
begin  
  { $X_1$  analysis}  
  { $X_2$  analysis}  
  ...  
  { $X_n$  analysis}  
end
```

2) Predictive Parsing

- Table-driven syntax analyzer with pushdown



Recursive Descent: Example 1/4

```
Procedure GetNextToken;
begin
{ this procedure get the next token to global variable “token”}
end
```

- For $E \in N$: Rule 1: $E \rightarrow TE'$
- ```
function E: boolean;
begin
 E := false;
 if token in ['i', '('] then
 { simulation of rule 1: $E \rightarrow TE'$ }
 E := T and E1;
end;
```

- For  $T \in N$ : Rule 4:  $T \rightarrow FT'$
- ```
function T: boolean;
begin
  T := false;
  if token in ['i', '('] then
    { simulation of rule 4:  $T \rightarrow FT'$  }
    T := F and T1;
end;
```

Recursive Descent: Example 2/4

- For $E' \in N$: Rules 2: $E' \rightarrow +TE'$, 3: $E' \rightarrow \epsilon$

```

function E1: boolean;
begin
  E1 := false;
  if token = '+' then begin
    { simulation of rule 2:  $E' \rightarrow +TE'$  }
    GetNextToken;
    E1 := T and E1;
  end
  else
    if token in [ ')', '$' ] then
      { simulation of rule 3:  $E' \rightarrow \epsilon$  }
      E1 := true;
end;

```

2

	<i>i</i>	+	*	()	\$	
<i>E</i>	1			1			
<i>E'</i>	2			3	3		
<i>T</i>	4			4			
<i>T'</i>	6	5		6	6		
<i>F</i>	8			7			

3

Recursive Descent: Example 3/4

- For $T' \in N$: Rules 5: $T' \rightarrow *FT'$, 6: $T' \rightarrow \epsilon$

```

function T1: boolean;
begin
  T1 := false;
  if token = '*' then begin
    { simulation of rule 5:  $T' \rightarrow *FT'$  }
    GetNextToken;
    T1 := F and T1;
  end
  else
    if token in ['+', ')', '$'] then
      { simulation of rule 6:  $T' \rightarrow \epsilon$  }
      T1 := true;
end;

```

	<i>i</i>	+	*	()	\$
<i>E</i>	1			1		
<i>E'</i>	2			3	3	
<i>T</i>	4			4		
<i>T'</i>	6	5		6	6	
<i>F</i>	8			7		

Recursive Descent: Example 4/4

- For $F \in N$: Rules 7: $F \rightarrow (E)$, 8: $F \rightarrow i$

```

function F: boolean;
begin
  F := false;
  if token = '(' then begin
    { simulation of rule 7: F → (E) }
    GetNextToken;
    if E then begin
      F := (token = ')');
      GetNextToken;
    end;
  end
  else
    if token = 'i' then begin
      { simulation of rule 8: F → i }
      F := true;
      GetNextToken;
    end;
end;

```

	i	+	*	()	\$
E	1			1		
E'	2			3	3	
T	4			4		
T'	6	5		6	6	
F	8			7		

Main body:

```

begin
  GetNextToken;
  if E then
    write('OK')
  else
    write('ERROR')
end.

```

Recursive Descent: Illustration for $i^*i\$$

Start: **GetNextToken;**
Call E;

Input string:

i * i \$

F:

For token = **i**:
GetNextToken;
Return TRUE;

TRUE

F:

For token = **i**:
GetNextToken;
Return TRUE;

TRUE

T:

For token = **i**:
Call F, Call T1

TRUE

T1:

For token = *****:
GetNextToken;
Call F, Call T1

T1:

For token = **\$**:
Return TRUE;

TRUE

E:

TRUE

For token = **i**:
Call T, Call E1

E1:

For token = **\$**:
Return TRUE;

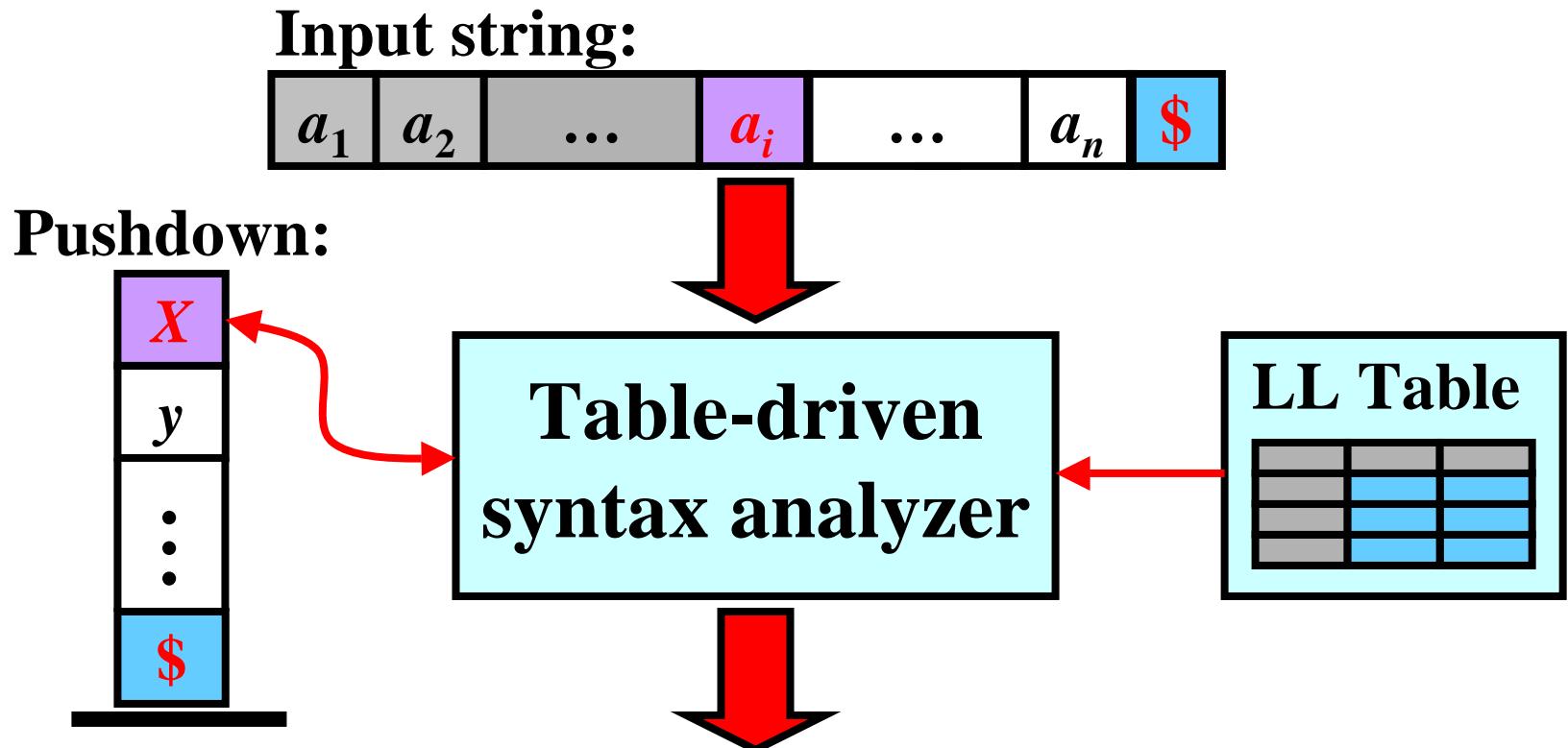
TRUE

TRUE

TRUE

Predictive Parsing

- Model of **table-driven syntax analyzer**:



Left parse = sequence of rules used in the leftmost derivation of the input string.

Table-Driven Parsing: Algorithm

- **Input:** LL-table for $G=(N, T, P, \mathbf{S})$; $x \in T^*$
- **Output:** Left parse of x if $x \in L(G)$; otherwise, error

- **Method:**
 - push($\$$) & push(\mathbf{S}) onto the pushdown;
 - **while** the pushdown is not empty **do**
 - let X = the pushdown top and a = the current token
 - **case** X **of**:
 - $X = \$$: **if** $a = \$$ **then** **success**
 else **error**;
 - $X \in T$: **if** $X = a$ **then** pop(X) & read next a from
 input string
 else **error**;
 - $X \in N$: **if** $r: X \rightarrow x \in \text{LL-table}[X, a]$ **then**
 replace X with reversal(x) on the
 pushdown & write r to output
 else **error**;
 - **end**

Table-Driven Parsing: Example

	<i>i</i>	+	*	()	\$
<i>E</i>	1			1		
<i>E'</i>	2			3	3	
<i>T</i>	4			4		
<i>T'</i>	5	6		6	6	
<i>F</i>	7	8				

Input string: *i * i \$*

Pushdown	Input	Rule	Derivation
\$ <i>E</i>	<i>i*i\$</i>	1: <i>E</i> → <i>TE'</i>	<i>E</i> ⇒ <u><i>TE'</i></u>
\$ <i>E'T</i>	<i>i*i\$</i>	4: <i>T</i> → <i>FT'</i>	⇒ <u><i>FT'E'</i></u>
\$ <i>E'T'F</i>	<i>i*i\$</i>	8: <i>F</i> → <i>i</i>	⇒ <u><i>iT'E'</i></u>
\$ <i>E'T'i</i>	<i>i*i\$</i>		
\$ <i>E'T'</i>	* <i>i\$</i>	5: <i>T'</i> → * <i>FT'</i>	⇒ <i>i*</i> <u><i>FT'E'</i></u>
\$ <i>E'T'F*</i>	* <i>i\$</i>		
\$ <i>E'T'F</i>	<i>i\$</i>	8: <i>F</i> → <i>i</i>	⇒ <i>i*i</i> <u><i>T'E'</i></u>
\$ <i>E'T'i</i>	<i>i\$</i>		
\$ <i>E'T'</i>	\$	6: <i>T'</i> → ε	⇒ <i>i*i</i> <u><i>E'</i></u>
\$ <i>E'</i>	\$	3: <i>E'</i> → ε	⇒ <i>i*i</i>
\$	\$		

Rules:

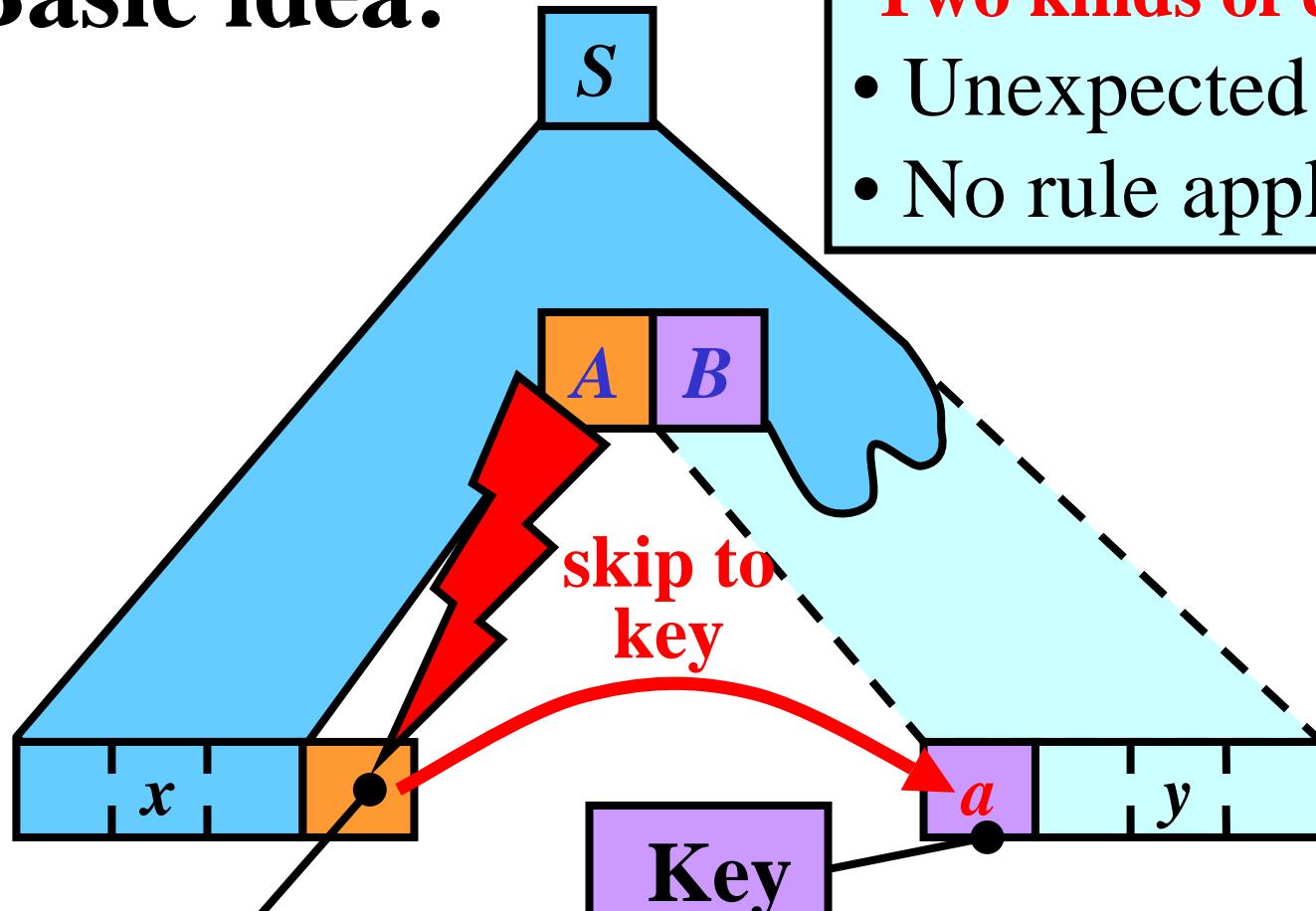
- 1: $E \rightarrow TE'$
- 2: $E' \rightarrow +TE'$
- 3: $E' \rightarrow \epsilon$
- 4: $T \rightarrow FT'$
- 5: $T' \rightarrow *FT'$
- 6: $T' \rightarrow \epsilon$
- 7: $F \rightarrow (E)$
- 8: $F \rightarrow i$

Success

Left parse: 1485863

Handling Errors: Introduction

Basic idea:



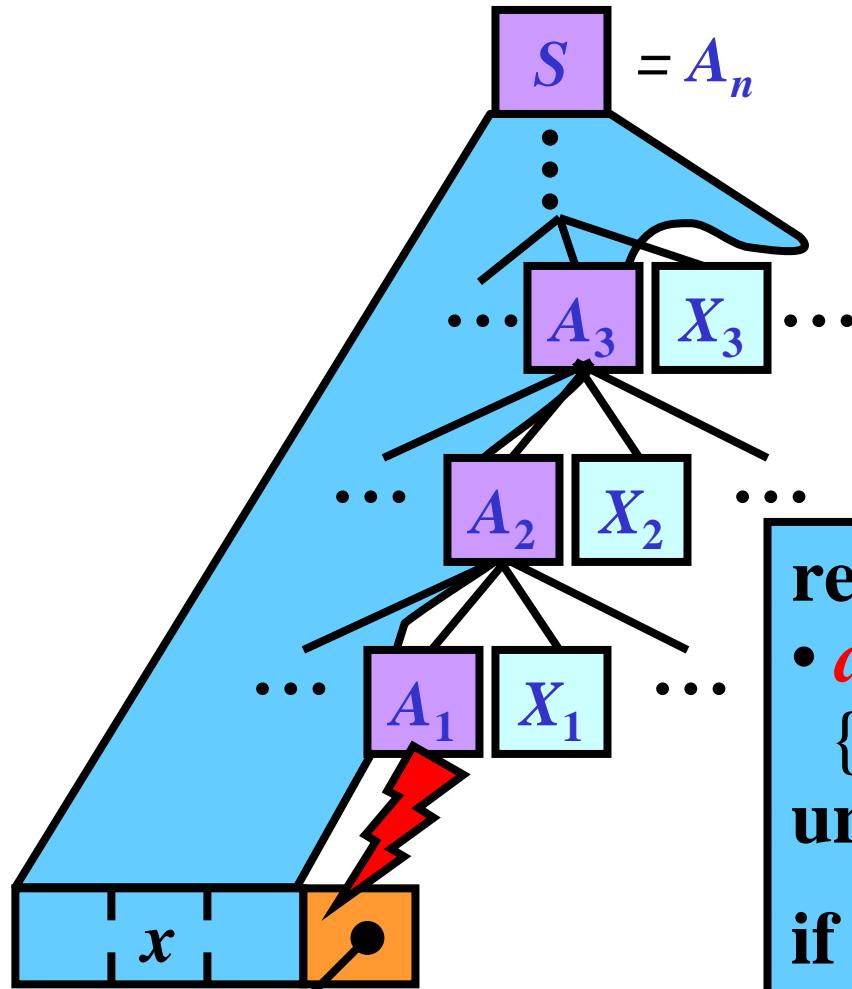
Two kinds of errors:

- Unexpected token
- No rule applicable

A wrong token

Note: $a \in Follow(A)$

Panic-Mode (Hartmann) Error Recovery



- Let $\text{Context}(A_1) = \text{Follow}(A_1) \cup \text{Follow}(A_2) \cup \dots \cup \text{Follow}(A_n)$

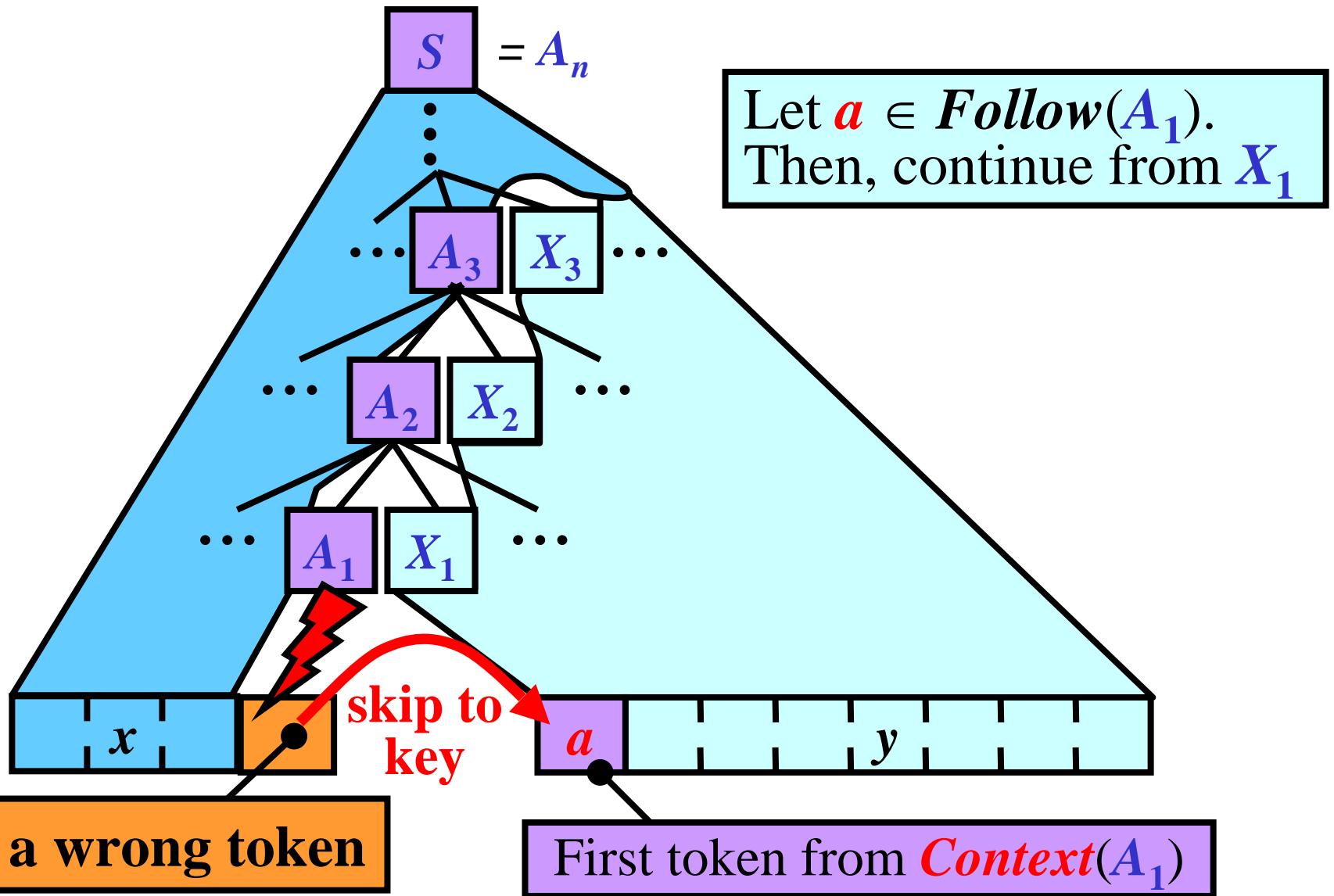
repeat

- $a := \text{GetNextToken};$
 { These tokens are skipped }

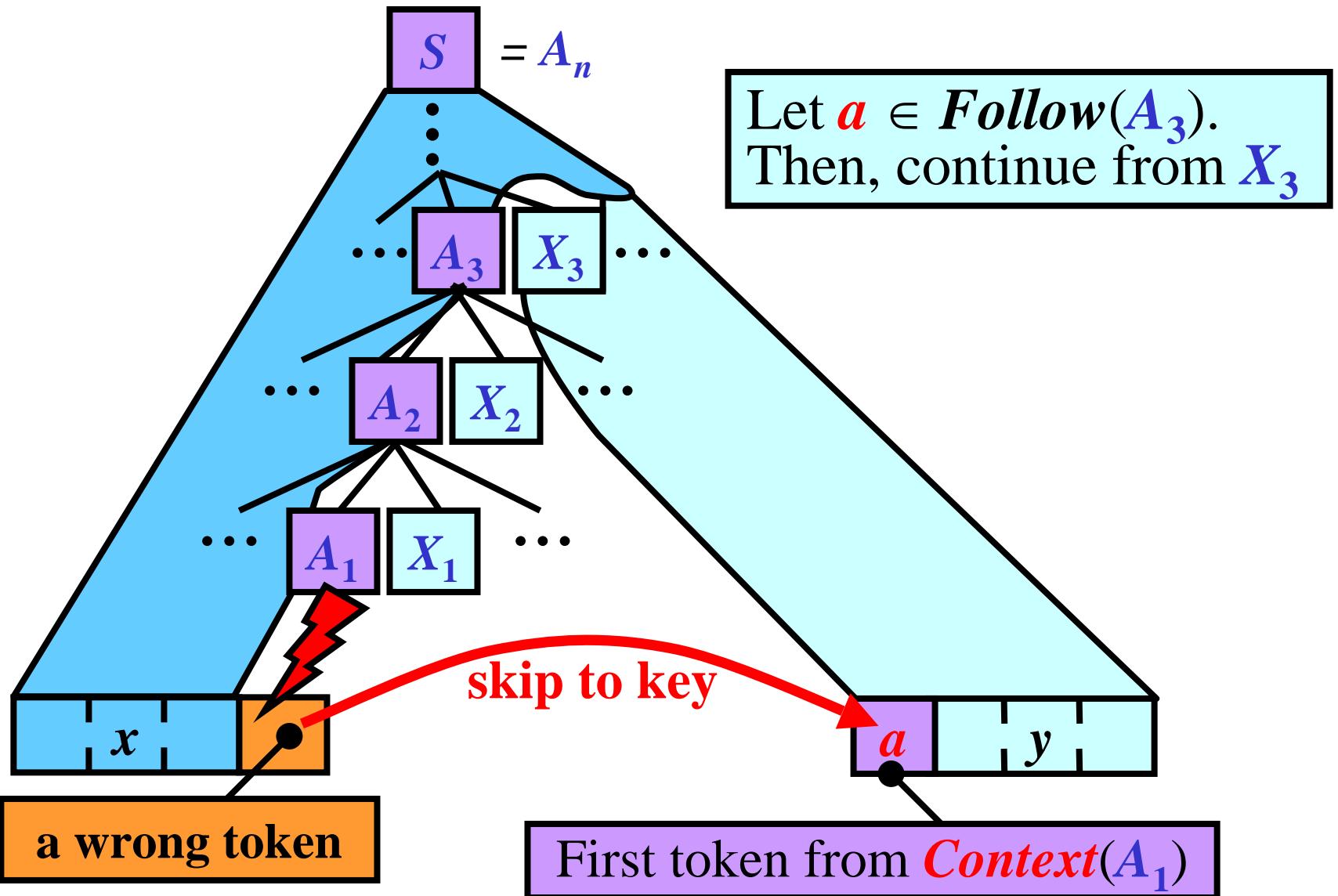
until a in $\text{Context}(A_1)$

if a in $\text{Follow}(A_i)$ **then**
 continue with parsing from
 the symbol X_i .

Panic-Mode Recovery: Illustration 1/2



Panic-Mode Recovery: Illustration 2/2



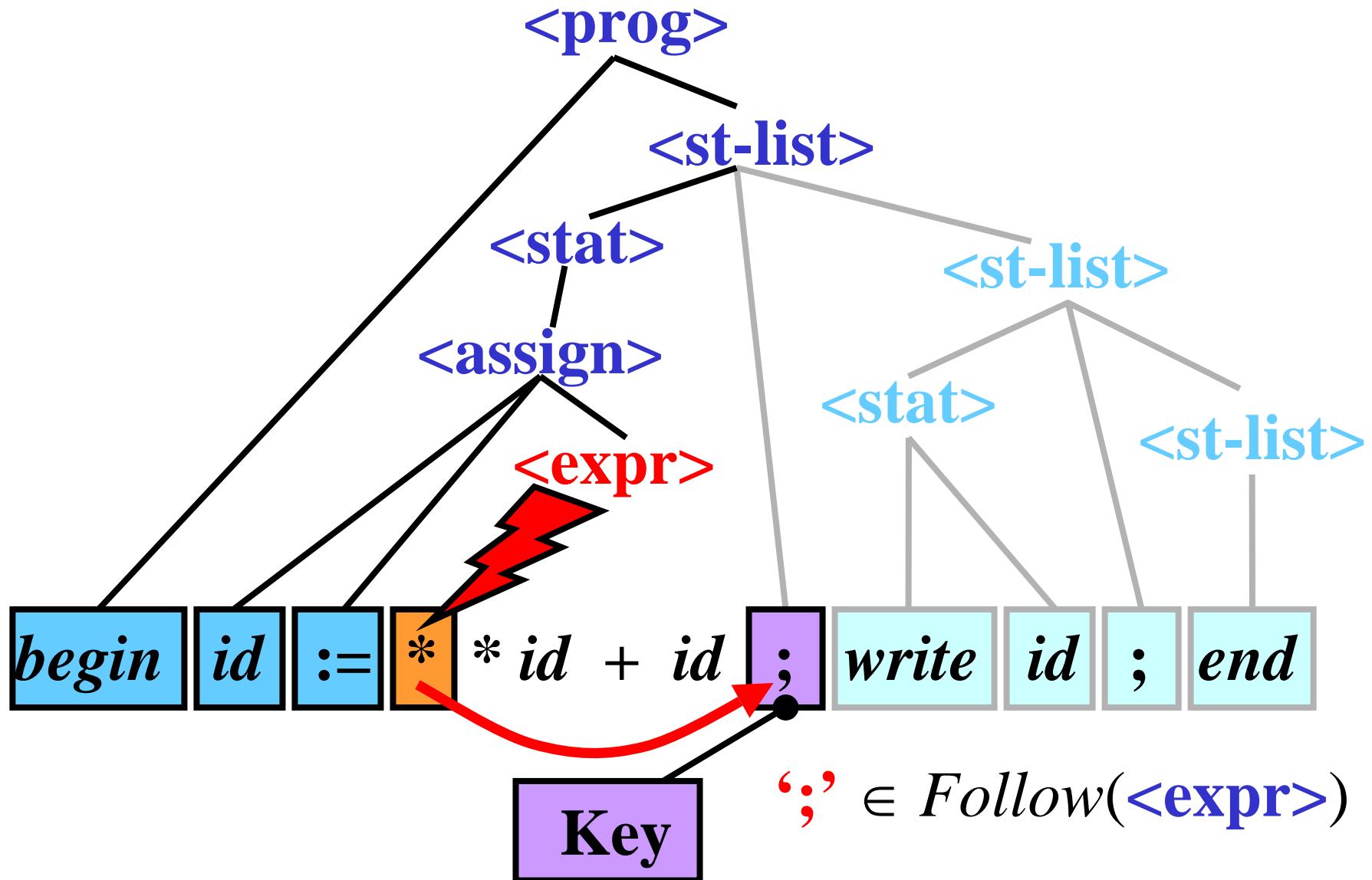
Context(X) for Predictive Parser: Variant I

For $G = (N, T, P, S)$,

$\text{Context}(A) = \text{Follow}(A)$ for every $A \in N$

- **Method:**
- Let A be pushdown top & no rule is applicable:
- **repeat**
 - $a := \text{GetNextToken};$
 {These tokens are skipped}
 - until** a in **$\text{Context}(A)$**
- pop A from the pushdown;

Variant I: Example



Context(X) for Predictive Parser: Variant II

For $G = (N, T, P, S)$,

$\text{Context}(A) = \text{First}(A) \cup \text{Follow}(A)$ for every $A \in N$

- **Method:**
- Let A be pushdown top & no rule is applicable:
- **repeat**
 - $a := \text{GetNextToken};$
 {These tokens are skipped}
 - until** a in **$\text{Context}(A)$**
- **if** $a \in \text{First}(A)$ **then** resume according to A
else pop A from the pushdown // $a \in \text{Follow}(A)$

Variant II: Example

